

CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

July 1, 2012 - June 30, 2013



September 30, 2013
Housing and Community Development Department

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EXECUTIVE SUMMARY

Each year, the City of Oakland receives federal grant funds under the Community Development Block Grant (CDBG), HOME Investment Partnership, Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) Programs. In May 2010, the City submitted to the U.S. Department of Housing and Urban Development (HUD) the required Five Year Consolidated Plan for Housing and Community Development, outlining needs, priorities, strategies and proposed actions for the period of July 1, 2010 through June 30, 2015. In addition, each year, the City prepares the Annual Action Plan prior to the program year, and the Consolidated Annual Performance and Evaluation Report (CAPER) at the end of the program year.

The CAPER provides information on accomplishments in the City of Oakland in meeting goals set forth in the Annual Action Plan of the Consolidated Plan for providing affordable housing, supportive services for the homeless and persons with special needs, and non-housing community development. The CAPER also provides information on the City's progress in meeting five-year goals of the 2010-2015 Consolidated Plan. The following executive summary outlines the program changes and accomplishments for Housing and Homeless, Economic Development and Public Services and Infrastructure program areas for July 1, 2012 through June 30, 2013.

Housing and Homelessness Accomplishments

The Five Year Consolidated Plan established priorities and goals for addressing issues of affordable housing and homelessness. The summary below is organized according to those priority areas.

The table beginning on page 50 lists goals and accomplishments for the period July 1, 2012 through June 30, 2013, and cumulatively for the entire five year period covered by the 2010-2015 Consolidated Plan. For the 2012-13 program year, the City's housing and homeless programs, funded through CDBG, HOME, HOPWA and ESG funds and leveraged funds, achieved the following:

Preservation/Expansion of the Supply of Affordable Rental, Ownership and Special Needs Housing

- Awarded a total of \$3,960,000 to two new construction projects, including HOME and local Affordable Housing Trust Fund dollars (1701 Martin Luther King Jr. Way and Lion Creek Crossings, Phase V).
- Initiated construction of Cathedral Gardens, including 99 units of affordable housing and 1 manager's unit.
- Transferred ownership of Eldridge Gonaway Commons to preserve its affordability, and initiated and completed minor rehabilitation of the 40-unit property.
- Initiated the rehabilitation of C. L. Dellums Apartments, 73 units of affordable rental apartments.

- Initiated the rehabilitation of Madison Park Apartments, an existing building with 96 units of affordable housing and 2 manager's units.
- Initiated the rehabilitation and the rehabilitation was completed in FY 2012-13 for James Lee Court, that consists of 26 units of affordable rental housing.
- Completed rehabilitation of Project Pride, 20 units of housing for extremely low income households.
- Completed project close-out of Clinton Commons (aka 720 E. 11th Street), an affordable housing rental development consisting of 55 units.
- Completed rehabilitation of Saint Andrew's Manor, 26 affordable rental units for low income senior citizens.
- Rehabilitation of the Savoy (that consisted of joining two adjacent SROs--The Oaks Hotel and Jefferson Oaks Apartments) was completed in FY 2012-13 and project close-out is expected to be completed in FY 2013-14. The building will include a total of 105 affordable units and 1 manager's unit.
- Construction was completed for the Harrison Senior new affordable apartments consisting of 73 rental units and 1 managers unit (total of 74 units constructed).
- New construction for Lion Creek Crossings, Phase IV was completed in FY 2011-12 and the loan close-out was completed in FY 2012-13.
- Rehabilitation of the existing affordable rental development, Slim Jenkins Plaza was both initiated and completed in FY 2012-13.
- Other new construction completions in FY 2012-13 include 6th & Oak Senior Apartments and Emancipation Village, housing for recently emancipated foster youth.
- Other building rehabilitations that were completed in FY 2012-13 include Dransin Manor, Kenneth Henry Court, and Marin Way Court.
- Sold seven affordable homeownership units in Pacific Renaissance Plaza.
- Executed Loan Agreement for the preservation and rehabilitation of Marcus Garvey Commons, 22 affordable rental units that rent at low income levels to families.

Assistance to Homeowners, Tenants and Neighborhoods Facing Foreclosure

- Launched ROOT loan fund program to help distressed homeowners save their homes through a buy-back program that resets loans to current market value.
- Door to door outreach workers reached 2,384 households with foreclosure prevention service and other information.
- Housing counseling and legal advocacy groups served 213 households with loan modification and other homeownership assistance.
- Tenant counseling and legal advocacy groups served 305 tenant households with eviction prevention, habitability repair, cash for keys, and other services.
- Launched Foreclosure Blight Abatement Program requiring lenders to register their properties in default or that have been foreclosed. Lenders registered 1,745 properties in the foreclosure process and timely abated 94% of their properties.
- The Homeownership Foreclosure Prevention program (Unity Council) conducted targeted mail and email outreach to 4,354 residents who received Notice of Default (NOD) or Notice of Trustee Sale (NTS), conducted workshops reaching 60 homeowners,

provided foreclosure counseling to 120 homeowners and provided financial and money management counseling to 120 distressed homeowners.

Assistance to First-Time Homebuyers

- The CalHome Program made 12 loans totaling \$602,065 including 5 Section 8 Homebuyers.
- The Mortgage Assistance Program (MAP) made 1 loan totaling \$73,150.
- The LHTF (SAM) Program was developed in order to fund up to \$1,023,750 of new loans in the FY2014 cycle, it is planned to assist a minimum of 14 families.
- No loans were made from the Down Payment Assistance Program (DAP) for Public Safety Officers and Oakland Unified School District (OUSD) Teachers, as these programs were suspended in early 2012. The programs had been underutilized and in 2012 the remaining funds were rolled into the MAP funds pool and disbursed to assist low income buyers of all professions.
- The Homeownership Education Program had 276 attendees at homebuyer workshops; 250 participants completed the program and received certificates.

Housing Rehabilitation and Neighborhood Improvement

- Residential Lending Unit was responsible for completing 288 rehabilitation projects.
- The Home Maintenance and Improvement Program (HMIP) completed rehabilitation of 22 units of owner-occupied housing. An additional 11 units are underway.
- HMIP benefited the homeowners with remediation of dangerous health and safety issues, major energy efficiency improvements, lead paint hazards, as well as housing code violations. The homeowners also benefited from home management counseling, lead hazard risk assessments and consultation, protection from predatory contractors and/or lenders and construction project monitoring provided by staff.
- The Weatherization and Energy Retrofit Program (WER) completed 23 homes with an additional 11 under construction. The WER Program benefits homeowners by providing financial and technical assistance to improve the energy efficiency of their homes. Eligible improvements include, window replacement, insulation, furnace replacement, appliance replacement and solar panel installation.
- The Lead Safe Hazard Paint Program (LSHP) completed 26 homes. An additional 19 units are underway. The LSHP Program benefited clients with exterior improvement of their home, by eliminating health hazards through lead abatement and violations of City codes in their homes.
- The Access Improvement Program (AIP) completed work on 14 units. An additional 12 unit are underway.
- Minor Home Repair Program (MHRP) repaired 201 housing units. There are currently N/A projects pending.
- The Emergency Home Repair Program (EHR) completed repairs on 0 units with one under construction. The HER Program benefits homeowners by providing financial assistance for single-system repairs. Primary repairs performed are roofs, plumbing and sewer system repairs.

- Rebuilding Together Oakland received \$75,904 in FY 2011-12 for the Home Repairs and Safety/Accessibility Modifications for Senior Citizens Program. This program renovated 31 homes in Central East Oakland and Elmhurst. This benefits low income senior and/or disabled homeowners.
- Rebuilding Together Oakland received \$20,750 in FY 2011-12 for the Blight Removal via Cleanup, Repair and Landscaping of Homes and Open Spaces in North Oakland. This program provided minor repairs, debris removal and landscaping of 20 owner-occupied homes; and debris removal and beautification of two median strips, one along San Pablo Avenue between Stanford Avenue and 65th Street and the other along Stanford Avenue between San Pablo Avenue and Market Street.
- Rebuilding Together Oakland received \$104,750 in FY 2012-13 for the Home Repairs and Safety/Accessibility Modifications for Senior Citizens Program. This program renovated 20 homes in Eastlake/San Antonio/Chinatown, Fruitvale/San Antonio and Elmhurst. This benefits low income senior and/or disabled homeowners.
- Rebuilding Together Oakland received \$20,750 in FY 2012-13 for the Blight Removal via Cleanup, Repair and Landscaping of Homes and Open Spaces in North Oakland. This program provided minor repairs, debris removal and landscaping of 20 owner-occupied homes; debris removal and beautification of two median strips, one along San Pablo Avenue between Stanford Avenue and 65th Street, and the other along Stanford Avenue between San Pablo Avenue and Market Street; and neighborhood clean-up between San Pablo Avenue, 65th Street, Vallejo Street and Market Street.

Homeless Services

- The City of Oakland awarded agencies to provide housing, housing services, rapid rehousing, homeless prevention, shelter and outreach. Through the Oakland PATH Strategy, funded by HUD Emergency Solutions Grant (ESG), leveraged and matching fund sources, and PATH partner programs (Homeless Mobile Outreach Program, Oakland PATH Rehousing Initiative - OPRI and Oakland Army Base Temporary Winter Shelter), 3,930 homeless and those at risk of homelessness received access to permanent housing, temporary shelter, hotel/motel vouchers, support services, rental assistance, eviction prevention, outreach, homeless encampment services and/or other support services. Of the 3,930 served, 567 obtained or maintained permanent housing, 60 permanent supportive housing, and 198 received some form of financial assistance; and approximately 83,000 shelter bednights were provided to 551 clients unable to access the shelter system during the cold and rainy winter months. PATH Capital Projects: The Savoy (formerly the Oaks Hotel and Jefferson Hotel) was completed in 2012. The former SROs that were adjacent buildings, now linked and managed as one building.

The City, along with Operation Dignity and Abode services administered the PATH Homeless Mobile Outreach Program, serving the homeless living in encampments. Within the FY 2012/13 program year, site assessments for a number of encampments were performed. More than 264 person living in homeless encampments received harm reduction services, outreach, and intensive case management.

- The City was awarded State Emergency Housing Assistance Program (EHAP) loan funds in the amount of \$999,998 in response to the 2006/2007 State EHAP NOFA. The funding was for repairs and replacement of major equipment and systems at the Henry Robinson Multi-Service Center. The proposed work consisted of the replacement of the passenger elevator, the installation of a sidewalk lift and hatch doors, the replacement of unit or parts of all heating, ventilation and air conditioning equipment building-wide and general upgrades to electrical and plumbing systems. Construction started in August of 2012 and much of the work was completed by June of 2013.
- The Crossroads Shelter, operated by East Oakland Community Project (EOCP) served 435 people. Clients of Crossroads received case management services and received education regarding available community resources. 235 clients, secured permanent housing or transitional housing.
- Through the City's Transitional/Supportive Housing Programs, approximately 175 individuals received transitional housing and services, assisting each household to become more stable, as they move toward obtaining permanent housing.
- The Oakland Army Base Temporary Winter Shelter provided 11,200 shelter bednights to approximately 160 unduplicated homeless individuals that would otherwise be sleeping on the streets during the wet and cold winter weather.
- The Homeless Mobile Outreach Program (HMOP) conducted field intakes with 58 new and 206 continuing homeless encampment clients; performed homeless encampment site assessments, encampment site clean-ups, outreach, intensive case management, and harm reduction (meals and supplies).
- Oakland PATH Rehousing Initiative (OPRI) which works in tandem with the HMOP/PATH, provides subsidized housing to persons living in homeless encampment situations or similar. Through OPRI, 180 people received outreach services and entered into permanent housing during the operating year and 77% of people (138) maintained permanent housing status.
- Through the Housing Opportunities for Persons with AIDS program (HOPWA), more than 836 persons with HIV/AIDS and their families received housing assistance, to include resources and referral services, tenant based rental assistance, permanent supportive housing and other housing services. Fifteen HOPWA housing units were completed during FY 2012- 2013. Acquisition, rehabilitation and/or development of additional set-aside of 27 HIV/AIDS living units are underway.
- Through the Food Distribution Hunger Program, more than 31,500 meals were provided through "brown bag food distributions" to 3,500 clients.
- Over 2,000 hot meals were provided at the City's Annual Thanksgiving Dinner for the homeless, low-income, and seniors of Oakland.

A table outlining the accomplishments of the Housing and Homeless Services program area is included at the end of the Executive Summary.

Economic Development Accomplishments

• Economic Development

Provide a comprehensive operating strategy to guide and prioritize business growth and employment, directing the City's economic development efforts, actions and activities towards the goal of growing revenue and employment in the City.

- Provide comprehensive business retention, expansion and attraction services to stimulate investment and job and business opportunities for Oakland residents.
- Implement a focused Economic Development Strategy to target resources on key industrial clusters and emerging economic sectors including: Custom and Advanced Manufacturing, including Specialty Food Production; Green & Cleantech Industry; Health & Wellness; International Trade & Logistics; Creative Industries, including Technology to promote investment and tax revenue to provide quality community services and employment and business opportunities for residents.
- Work with the Workforce Investment Board (WIB) to ensure responsive employer services to promote effective training and hiring of Oakland residents consistent with Economic Development Strategy sectors.
- Encourage small business development and micro-enterprise growth through effective services of the Oakland Business Assistance Center and through implementation of the Open for Business Pledge. The Pledge promotes a positive business climate for all businesses (start-up, small, mid and larger sized businesses).
- Strengthen commercial corridors in targeted neighborhoods and increase corridor potential for providing jobs, services and opportunities for residents through the Community Benefit and Business Improvement Districts; expand services and support formation of new districts through development of strong public private partnerships to focus on major capital improvement projects and key community issues like public safety.
- Encourage retail development throughout City.
- Encourage public/private partnerships with merchant associations, industry associations, Community Benefit Districts, Business Improvement Districts, private developers, the non-profit sector and other government agencies to promote revitalization and investment.
- Expand mobility in the Greater Downtown area so as to spur greater vitality and business growth.
- Market Oakland's business development successes and promote opportunities for leasing, development and investment.
- Seek grant opportunities which spur sustainable economic development opportunities.

• Commercial Lending

For the current reporting period, July 1, 2012 – June 30, 2013, City staff and community partners have worked to improve existing services. During this review period, the Commercial Lending staff accomplished the following by utilizing City and federally funded commercial loan programs:

- Underwrote 21 new loans totaling \$2,469,058.
- Leverage \$2,725,761 in private financing and investment capital.
- Created 155 job opportunities in Oakland.
- Retained 54 jobs within Oakland businesses.

Public Services and Infrastructure (Neighborhood Improvements) Accomplishments

• Public Services

Funding for public service activities was allocated for 15 subrecipient agreements awarded to 14 private, nonprofit agencies that serve low- and moderate-income persons in the seven Community Development Districts of Oakland. Funding for 3 additional programs that were to be carried out by subrecipients were reprogrammed because the subrecipients were unable to fully comply with and complete contract development requirements. In addition, 4 City-administered programs were funded. The programs implemented by the recipients and the City are:

- **Anti-Crime:** One subrecipient was funded for FY11-13 to provide multi-lingual crime prevention outreach and education but execution of the grant agreement could not be completed during FY11-12 because of delays in the subrecipient defining the scope of work. The funds were carried forward and combined with the funds awarded for FY12-13 and services were implemented in FY12-13. Workshops and information were provided to 381 limited-English-speaking Asian seniors.
- **Homeless:** Two subrecipients were funded to provide shelter accommodation, case management and supportive services - one serving youth, the other serving individuals and families. The number of individuals served was 572.
- **Hunger Relief:** One City-administered program received funding to purchase and distribute food to 650 individuals.
- **Microenterprise and Business Assistance:** Two subrecipients received funding for business development assistance, green business education, façade improvement and community revitalization coordination benefitting 4,087 individuals.
- **Miscellaneous Public Services:** Two subrecipients received funding for the provision of education, employment nutrition, counseling and case management, health screening and independent living skills for 37 individuals. One additional subrecipient that was funded for FY11-13 to provide job and entrepreneurial training could not be implemented in FY11-12 because the subrecipient did not provide required documents and the funds were

carried forward to be combined with the funds awarded for FY12-13 for service delivery in FY12-13 but the subrecipient was unable to complete contract development and the funds were reprogrammed.

- Senior Services: One City-administered program and one subrecipient received funding for the provision of in-home and other support services, companion services, information and referral, case management and medical home visits for 889 individuals. Funding for an additional subrecipient to provide translation and other support services to limited-English-speaking Ethiopian and Eritrean seniors was reprogrammed because the subrecipient was unable to complete contract development.
- Tenant/Landlord Counseling: One subrecipient received funding for the provision of outreach, counseling and education seminars for 203 individuals.
- Youth Services: Six subrecipients and two City-administered programs received funding for the provision of enrichment and support services, academic tutoring and education, skills training, personal development, monitoring of safe passage to schools, computer training and instruction, recreation and athletic activities, and intervention counseling for 3,770 individuals. One additional subrecipient that was funded for FY11-13 to provide nutritional education could not be implemented in FY11-12 because the subrecipient did not provide required documents and the funds were carried forward to be combined with the funds awarded for FY12-13 for service delivery in FY12-13 but the subrecipient was unable to complete contract development and the funds were reprogrammed.

- **Infrastructure (Neighborhood Improvements)**

Funding was allocated for 10 capital improvement projects located in predominantly low- and moderate-income areas. Three were administered by two private, non-profit subrecipients, and seven administered by the City.

- Improvements to 7 City-owned facilities were undertaken: 5 parks and recreation facilities, 1 teen center, and 1 sports complex. Work on 4 of the facilities has been completed, and is underway in the other 3 facilities.
- One subrecipient was awarded funding for two improvement projects. One was a street improvement project that provided for debris removal and cleanup and landscaping for 20 housing units and the street medians along 2 residential corridors, and neighborhood cleanup. The other was a housing related improvement project provided exterior/interior home repairs and safety accessibility for 20 housing units occupied by elderly and disabled homeowners.
- One subrecipient received funding to provide for the installation of surveillance cameras in a mixed residential/commercial area of Chinatown. Development of the grant agreement has been delayed while the subrecipient clearly defined the scope of work but the project is expected to be completed in the Fall of 2013.

Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2012-2013) Goal	Actual
Objective 1: Expansion of the Supply of Affordable Rental Housing				
Rental Housing New Construction: Units Built	403 Units Built/Underway	163	43	93
Rental Housing New Construction: Units Underway				352
Objective 2: Preservation of the Supply of Affordable Rental Housing				
Rental Housing Preservation: Units Built	650 Units Built/Underway	432	381	206
Rental Housing Preservation: Units Underway				477
Public Housing Reconstruction: Units Built		108	0	21
Public Housing Reconstruction: Units Underway				0
Objective 3: Expansion of the Supply of Affordable Ownership Housing				
Ownership Housing Construction: Units Built	52 Units Built/Underway	8	0	0
Ownership Housing Construction: Units Underway				12
Objective 4: Expansion of Ownership Opportunities for First-Time Homebuyers				
Mortgage and Down Payment Assistance	165 Households	92	0	1
Housing Counseling	Prepare Residents	1,066	400-600	250
Mortgage Credit Certificates	100 Households	26	0	0
Cal Home Program	15 Households	47	7	12
Objective 5: Improvement of Existing Housing Stock				
Owner-Occupied Housing Rehabilitation: Units Completed	1,365 Housing Units	1,691	955	314
Owner-Occupied Housing Rehabilitation: Units Underway				43

Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015)		Current Year (FY 2012-2013)	
	Goal	YTD	Goal	Actual
Objective 6: Provision of Rental Assistance for Extremely Low- and Very Low-Income Families				
Tenant Based Rental Assistance	Maintain Current Level of Assistance	781	574	360
Objective 7: Provision of Supportive Housing for Seniors and Persons with Special Needs				
Senior Housing Development: Units Built	300 Units Built/Underway	299	0	142
Senior Housing Development: Units Underway				0
Special Needs Housing Development: Units Built		28	11	17
Special Needs Housing Development: Units Underway				84
Access Improvement: Units Completed	40 Housing Units	54	8	14
Access Improvement: Units Underway				12
Objective 8: Prevention of Foreclosures and Stabilization of Neighborhoods				
Ownership Housing: Acquisition and Rehabilitation	150 Units Built/Underway	17	5	2
Rental Housing: Acquisition and Rehabilitation	58 Housing Units	59	59	39
Board Up/Clean Up Program	30 Households	126	0	0
Foreclosure Counseling	1000 Households	3,041	0	2,657
Land Trust Pre- and Post-Purchase Counseling	200 Households	6	0	0
Objective 9: Removal of Impediments to Fair Housing				
Referral, Information, and Counseling to Residents w/Disabilities ¹		6,246	1,290	2,203
Referral, Information, and Counseling to Residents	7500 Households	7,378	2,006	2,131
Discrimination Education and Investigation	300 Households	833	200	110

¹ The Action Plan FY 2010-11 incorrectly stated that this line item for Referral, Information, and Counseling to Residents with Disabilities was to be a subset of all information and referral clients served by these various grants. This is a stand-alone figure of all Oakland residents with a disability served by the contracted agency.

Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2012-2013) Goal	Actual
Prevention and Reduction of Homelessness and Elimination of Chronic Homelessness				
Homeless Reduction	4,653 Ind/HH			
Outreach	2,800 Individuals	1,044	125	411
Winter Shelter	2,700 Individuals	584	170	160
Year-round Shelter and Services	3,500 Individuals	4,280	630	2,906
HIV/AIDS Housing and Services	2,500 Individuals	2,163	400	836
Rapid Rehousing			300	253
Elimination of Homelessness	4,427 Ind/HH			
Development & Maintenance of Existing Permanent & Supportive Housing	761 Beds	469	138	0
Support Collaboratives to Assist Chronically Homeless in Enrolling in Appropriate Public Benefits Programs	10 Agencies	34	10	166
Integrated Planning Activities thru the Continuum of Care Council	20 Agencies	59	20	20
Raising Awareness about Chronic Homelessness in the Community				
Homelessness Prevention	4,563 Households	2,675	250	296
Special Needs Objectives				
HIV/AIDS Housing & Development	216 Bedroom Units	28	18	15

INTRODUCTION

One of the requirements for receiving federal funding for housing and community development activities is that State and local jurisdictions must prepare and submit to the U.S. Department of Housing and Urban Development (HUD) a Consolidated Plan for Housing and Community Development. Approval of the Consolidated Plan is a prerequisite to receiving funding under four formula grant programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons With AIDS (HOPWA). Grant recipients are also required to submit an annual performance report that identifies funds received and assesses progress in meeting the goals outlined in the plan.

The City of Oakland completed and submitted its Five Year Consolidated Plan on May 15, 2010. The Consolidated Plan included a needs assessment, housing and market analysis, a strategic plan and an Action Plan for the first year. Annual Action Plans are published for each subsequent program year.

This Consolidated Annual Performance and Evaluation Report (CAPER), provides information on accomplishments in the City of Oakland, for the program year July 1, 2012 through June 30, 2013, in meeting the goals set forth in the Annual Action Plan of the Consolidated Plan for providing affordable housing, housing and supportive services for the homeless and for persons with special needs, and non-housing community development.

The report is divided into narrative and program specific sections: narratives on program performance, and four separate reports on specific program performance under each of four formula grant programs through which the City receives funds from HUD.

The narrative sections provide a summary of the City's progress during the reporting period July 1, 2012 through June 30, 2013 to address the City's stated housing and community development goals and objectives. The information corresponds to the housing and community development priorities established in the Consolidated Plan published May 15, 2010.

More specific information regarding investments and expenditures during the year, as well as specific accomplishments for individual activities, is submitted by the City to HUD through HUD's Integrated Disbursement and Information System (IDIS). Some IDIS reports for the HOME, ESG and HOPWA programs are contained in this CAPER document. IDIS reports for the CDBG program are available upon request.

PART I:

NARRATIVE REGARDING ANNUAL PERFORMANCE

Narrative A: Non-Housing Community Development

Resources used for economic development, public services and infrastructure activities included Community Development Block Grant (CDBG), Community Services Block Grant (CSBG), U.S. Department of Agriculture/Head Start, Economic Development Initiatives (EDI), HUD 108 Loan Guarantees, Job Training Partnership Act, State Gas Tax, State Library Grant, Local Tax Revenues.

1. Funds Made Available During Program Year

a. Federal Resources for Non-Housing Community Development Activities

PROGRAM
a. <u>Community Development Block Grant</u> The City received \$7,254,122 in Community Development Block Grant funding, plus program income of \$500,000. The City also reprogrammed \$56,408 prior year district program funds. The total funding received from all sources is \$7,810,198.
b. <u>Community Development Block Grant-Recovery Funds (CDBG-R)</u> Under the American Recovery and Rehabilitation Act, the City received \$2,259,921 in CDBG-R funds.
c. <u>Community Services Block Grant</u> The City received \$1,253,958 in CSBG funds to support anti-poverty programs and services.
d. <u>Head Start</u> The City's Department of Human Services received \$18,352,486 to provide child care and tutorial programs.
e. <u>Department of Agriculture/Child Care Food Program</u> The USDA provided \$869,447 to fund school lunch programs for children in the Head Start Program.

b. State Resources for Non-Housing Community Development Activities

PROGRAM
a. Workforce Investment Act (WIA) <i>This Federal grant provides employment and training services to meet the needs of unemployed job seekers, underemployed workers and employers.</i>

c. Local Resources for Non-Housing Community Development Activities

PROGRAM
<p>a. <u>General Fund</u></p> <p>The City’s general fund provides job placement services to Oakland residents. Job training agencies including those funded under JTPA and CDBG refer their clients to the “Hire Oakland” program for job placement. The Port of Oakland operates a similar program for its Port tenants.</p> <p>The employment component of the City’s Contracting and Employment Services placed Oakland residents on City construction contracts.</p> <p>The Port of Oakland’s Employment Resources Development Department (ERDP) placed unemployed and underemployed Oakland residents with Port tenants including positions with the International Longshoremen’s & Warehousemen’s Union.</p>
<p>b. <u>Redevelopment and Private Investment</u></p> <p>There are nine active Redevelopment Areas in the City of Oakland that represent substantial public investment including: Acorn, Broadway/MacArthur/San Pablo, Central City East, Central District, Coliseum, Oak Knoll, Oakland Army Base, West Oakland and Stanford/Adeline. A limited amount of funds will be available to implement redevelopment for projects that were contracted prior to the dissolution of redevelopment agencies. Many projects are completed and underway including residential, retail, office and industrial development projects and streetscape, public facilities and other infrastructure projects.</p> <p>On June 27, 2011, the California Legislature enacted AB 1X 26, which abolishes all redevelopment agencies in the State of California. Subsequent legislation, AB 1484, enacted in June 2012, amended the original law and added a number of new procedural requirements. The Oakland Redevelopment Agency was suspended from entering into new commitments after June 27, 2011. Future redevelopment activity is limited to “winding down” activities underway pursuant to already existing legally enforceable obligations.</p> <p>During FY 2012-13, the Agency pursued projects in the redevelopment areas aimed at eliminating blight and attracting private investment that will create new employment and provide additional retail services for Oakland residents.</p>

2. Economic Development

In FY 2012-13, the Economic Development unit was involved in a variety of initiatives and activities to accelerate business creation, retention and expansion. These activities focused on increasing investment in Oakland for the benefit of its businesses, the City and its residents through continued improvements to Oakland's business-friendly environment.

a. Economic Development Initiatives

i. Industry Sectors

In order to most effectively leverage the City's resources, staff is underway with the development of a comprehensive Economic Development Strategy. This Strategy will be action-oriented and spell out ways to grow jobs, investment and revenue in the industry sectors of Oakland's economy with the most potential, benefiting all Oakland residents and business operators. By focusing on Oakland's growth industry clusters:

- Clean and Green Technology
- International Trade & Logistics
- Healthcare/Wellness/Life Science
- Creative Economy, including information technologies, advanced and additive manufacturing, specialty food & beverage production, and creative arts and digital media
- Advanced Manufacturing & Specialty Food Production
- Retail

Economic Development Specialists enable Oakland to compete successfully in the marketplace for investment and business activity by working to improve Oakland's business climate in the following areas:

- Enhance physical infrastructure
- Identify business and investment locations
- Connect employers with qualified workers
- Provide access to internal and external business support services
- Provide access to capital and financial incentives
- Improve the ease of doing business with the City

ii. Office Sector Development

Oakland's downtown office market has remained fairly stable in the face of the worst recession in recent history. Oakland's location, excellent variety of Class A and B office space, access to transportation, educated workforce and affordable housing has sheltered it from the huge vacancies experienced in San Francisco's downtown.

Oakland's attraction and retention efforts focused on the innovative industries—digital media, clean/green tech, life sciences—have resulted in a number of new leases. Most notably, pioneering “personalized radio” service provider Pandora is a ‘new economy’ anchor of Oakland's exciting Uptown district, with over 500 employees. At the other end of Broadway, solar innovator Sungevity continued to expand from its initial 50 employees to over 250 in the 2010-2011 year, ending FY 2012-13 with close to 300 employees, and launching an incubator known as the SfunCube which should help create more solar economy companies and jobs. BuidItGreen, which trains and advises architects and contractors in green building techniques for residential properties throughout California, more than doubled its staff and relocated within Oakland between mid-2010 and the present. Bright Source Energy, Lucid, Ecologic Brands and other green tech companies continue to tenant Oakland's central business district offices. In addition, there were several property acquisitions of note: Orton Development purchased two downtown office buildings. A number of small startups in digital media, green and retail located in Oakland's downtown area.

Key office investments or tenants in 2012-2013 include Westcore Properties, dba Westcore City Center LLC purchase of Clorox 520,000-square-foot office high-rise in downtown Oakland from The Clorox Company; key office building purchases by the San Francisco Regional Center; number of small tech companies relocating from the San Francisco office market came to Oakland. Overall, fourth quarter leasing activity is 1,373,473 square feet year to date compared to 1,355,831 square feet at year-end 2012. Key major employers also renewed leases or expanded their offices in Oakland. Significant changes are beginning to occur in the Coliseum area which was active in office purchase and leasing actions. Economic Development staff was involved in marketing efforts, tenant and property owner meetings and coordination of services with other city agencies.

One of the newest initiatives of the City of Oakland is a master planning project called Coliseum City – a Specific Plan effort to redevelop and reposition over 700 acres which are situated in the former Coliseum Redevelopment area. Approximately half of the acres are related to the Oakland Coliseum Sports facilities and adjacent properties and the other half is property located in the Airport Business Park. The Specific Plan will include a re-positioning strategy for the Business Park to incorporate opportunities for growth within the park of tech, scientific, and international trade enterprises, and to promote physical revitalization and densification of the privately-held office and industrial facilities within the park to accommodate such enterprises.

iii. Retail Sector Development

Economic Development staff continued efforts to implement the Oakland Retail Enhancement Strategy, a citywide effort to address the shopping needs of local residents and to curtail retail sales leakage to other cities. Strategy recommendations that were implemented in FY 2012-13 include:

- Advocated for Safeway as it moves through the entitlement on three major store/shopping center overhaul projects in Oakland. One store/center is under construction, one is poised to get approved by Planning Commission in September 2013 and a third is making good progress as they move toward its EIR.
- Provided retail expertise to Planning staff in the development of the Broadway/Valdez Specific Plan, focusing on an area with significant potential for as much as 1.1 million square feet of retail. The plan is expected to be adopted in early 2014.
- Provided support to the Oakland Retail Advisory Committee as it helps City leaders make informed decisions about retail development on projects such as Safeway, the Broadway/Valdez plan, and a small grocery anchored project.
- Used retail nodes' unique qualities to market them effectively to retailers; one way this was accomplished was with a retail broker tour of the Korean/Northgate neighborhood.
- In the past two years, several hundred retailers were assisted by Economic Development staff and other City partners with a variety of retail development services: identifying locations, lease negotiations, making improvements to storefronts. Other significant retail successes included:
 - CVS opened a new store at Eastmont Town Center in a long-underserved part of Oakland.
 - Foothill Square Shopping Center, which will include a Foods Co supermarket, Ross Dress for Less and Anna's Linens in a long-underserved part of Oakland.
 - Half a dozen shops and eateries comprising Temescal Alley opened in the Temescal district.
 - Restaurants continued to open at a fast clip in Oakland, particularly in downtown Oakland, as well as several new shops.
 - Facilitated tours and information to interested retail developers, brokers and national tenants.
 - Supported the creation of a new Popuphood mobile vendor cluster at Latham Square in downtown Oakland and Popuphood's monthly programming in City Hall's Frank Ogawa Plaza, including a mobile store event.

- Art Murrmur, a group of art galleries and complementary businesses located in and around downtown Oakland, hosts a monthly First Friday event that continues to draw thousands of people.

iv. Industrial & Advanced Manufacturing Sector Development

Economic Development industrial sector staff worked on helping attract new businesses to Oakland, aiding the retention and expansion of existing commercial-industrial businesses citywide, while also attracting new large businesses to Oakland in the fields of digital fabrication, specialty manufacturing, construction material supply, sales and specialty contracting service, goods movement and logistics, and wholesaling. In addition to day-to-day contact with a range of businesses, staff conducted a number of sector projects.

Industrial Sector staff continued to lead a number of initiatives to support industrial business:

- Staff facilitated the development of a modern, \$42 million industrial project—the first in the city in nearly two decades. The 375,000 development located in the Coliseum area will provide logistic warehouse facilities. Additionally, staff continues to support the redevelopment of the former Oakland Army Base to a modern 1m square global logistics center, that will create numerous employment and business development opportunities.
- Created sub-sector strategies, including support cluster networks. Continued expansion and marketing of the specialty, artisan food & beverage production, including update of the Oakland Food & Beverage Trail; founded the Oakland Makers with a consortium of industrial arts and manufacturers to promote advanced technical creative business in Oakland, and the upcoming efforts to market and brand the unique industrial and productive arts movement in Oakland.
- Led Business Alert in East and West Oakland identifies blight, graffiti, dumping and other crime activities that deter and directly affect business operations, and makes recommendations for action. Staff has added areas of infrastructure improvement to the discussion and planning nature of these committees, where input from local commercial industrial business operators help City staff improve streets and utilities in the industrial areas. In addition, a new Business Alert Program for Central Estuary area will be formed this Fall, 2013.
- Continued the sponsorship of WestOaklandWorks.com website, a business-to-business communication tool, helping to attract new businesses to West Oakland, branding the area as a global place to do business, and communicating the wealth of resources available to business. Efforts also included social media outreach to market West Oakland as a safe, productive and friendly place to do business.
- Economic Development will host the Urban Manufacturing Alliance, second annual national conference in Oakland in October 2013. Led tours of

Oakland's industrial businesses and the Waterfront Food Trail with media, lenders, dignitaries and food industry specialist as well as national experts on domestic manufacturing.

- Made presentations at major conferences in the areas of industrial business, rehabilitation of industrial resources, and new production business trends.
- Relocated and helped over 35 companies in the expansion of artisan manufacturing, foods, and design engineering firms.

v. Green & Clean Tech Sector

The City's Green and Clean Tech sector continued to emerge and grow during 2012-13, playing a prominent role in the overall recovery of Oakland's larger economy, accounting for at least 200 firms and more than 3,500 high quality jobs. Green business projects include work with companies which offer a product or service which reduces environmental impacts. More specifically, this sector includes firms specializing in energy efficiency/green building, renewable energy, waste reduction and recycling, recycled, recycled content manufacturing, environmental consulting, and community-based research and advocacy organizations. Specific activities and accomplishments in FY 2012-13 included the following.

- As noted above in discussion of the office sector, residential solar leader Sungevity continues to anchor the City's vibrant Jack London Square with approximately 300 employees, delivering "sunshine on line" in 9 U.S. states, as well as the Netherlands and Australia. Sungevity also supports another 350 full time job equivalents in the community where their solar systems are actually installed.
- Sungevity also formally launched a solar incubator/accelerator known as the SfunCube, which houses more than 10 startups and early stage solar companies that are focused on overcoming technical, economic and social barriers to adoption of solar energy. Formally launched in Spring 2013, we expect big things from the SfunCube going forward, helping to deliver on Sungevity's vision for Oakland to become the epicenter of solar technology development.
- Mentioned in last year's report under the name Solar Mosaic and celebrated then as a successful startup also incubated by Sungevity, a newer version of the company simply named Mosaic emerged out of its pilot phase in which it proved its "crowdfunding" technique for commercial solar projects in part by installing system at four Oakland non-profits, the company has gone on to collect investments of over \$3.8 million from hundreds of investors in 15 projects, delivering returns of between 4.5 and 5.75%. This company has grown to over 30 employees.
- Highlighting the waste reduction and recycling sector was the continued growth of DR3, which recycles over 10,000 mattresses per month and employs 17 people, and Commercial Waste and Recycling, which provides processing of construction materials in Oakland, and including a successful

spinoff business called Asphalt Shingle Recycling. Both DR3 and Asphalt Shingle Recycling were featured in the City's annual Oakland: Building Green Business supplement in the San Francisco Business Times, which ran in late May, 2013. Both were also featured on tours as part of the California Resource Recovery Association conference, which brought over 700 recycling professionals to Oakland in August 2012.

- During 2012-13, staff was also in a lead role representing Oakland on the Business Development and Steering committees of the regional East Bay Green Corridor Partnership economic development initiative, an alliance of 14 cities and education/research institutions. In August 2013, the Corridor finalized and issued its innovative solar permitting guidelines, which are designed to standardize and streamline solar permitting in all 9 member cities of the Corridor.

vi. Oakland Business Assistance Center

Now entering its fourth year of operation, the Oakland Business Assistance Center (BAC), through its staff, office and website, serves as a user-friendly starting point for Oakland businesses and startups. BAC staff provides assistance with business-related information and city services, and makes connections between entrepreneurs and business owners to the appropriate City and non-City service providers.

In FY 2012-13, the BAC provided:

- 1,346 inquiries from phone calls, walk-ins and referrals
- 798 web-to-leads generated from www.OaklandBAC.com
- BAC staff organized 21 presentations, workshops and community meetings
- Notable workshop were the California State Certification Workshop, whereby 30 new Oakland businesses were certified for state and local programs
- To expand the number of lending options to micro enterprises and small businesses, the City of Oakland become a Kiva Zip Trustee in May, 2013.

The BAC also has conducted small business community outreach to make the public aware of the myriad of resources that we provide, in addition to facilitating business expansion and retention.

vii. Enterprise Zone Tax Credit Program

The State of California Enterprise Zone (EZ) Program targets economically distressed areas using special state and local incentives to promote business investment and job creation. By encouraging entrepreneurship and employer growth, the program strives to create and sustain economic expansion in the communities. This is one of the state's premier community development programs. Most importantly, Enterprise Zones play a key role in linking newly

created jobs with individuals in the community who are either underemployed or unemployed. Oakland's Enterprise Zone was established in 1993, and in 2009 was renewed for 15 years. The zone covers most of the City west of Interstate 580, as well as sections of the cities of Emeryville and Berkeley.

- In FY 2012-13, the Enterprise Zone Program issued 4,573 vouchers and saw revenues of \$401,649. Each tax voucher issued represents a new or existing job. Over 800 businesses participated in the Program identifying new jobs for Oakland residents. For prior years FY 2011-12 – 4,283 issued; FY 2010-11 - 3,807 issued; FY 2009-10 - 4,297 issued; FY 2007-08 - 3,682 issued; and FY 2006-07 - 4,559 vouchers were issued.
- In 2012-13, the Oakland On line vouchering system was upgraded to provide easy access to apply for tax credit voucher, the Oakland Enterprise Zone continues to pioneer effective vouchering systems, having launched the first EZ online system in California in 2005.
- In 2012, the Oakland Enterprise Zone expanded into the City of Emeryville to retain and create jobs joining the City of Berkeley as an expansion city.

viii. Oakland Brownfield Program

One way Oakland encourages infill development is by reutilizing brownfields, underutilized sites where reuse is complicated by the real or perceived threat of environmental contamination. The City is the Strategic Partner to the state's California Pollution Control Financing Authority for the administration of the CALReUSE Environmental assessment loan program, and has also had a brownfield cleanup revolving loan fund, now fully expended, with funds originating from the U.S. Environmental Protection Agency.

ix. Community Benefit Districts/Business Improvement Districts

The Oakland Community Benefit District ("CBD") and Business Improvement District ("BID") Programs have proven successful mechanisms to support economic revitalization in the City of Oakland. In FY 2012-13, the nine CBD and BIDs generated approximately \$3.3 million in assessments. CBD/BID revenues pay for enhanced cleaning, security, streetscape improvements, and marketing and economic development activities in member commercial/residential districts throughout the City. As Oakland increases mixed use development along commercial corridors, residential property owners will also benefit from the enhanced services of a CBD.

In FY 2012-13, a new Community Benefit District was approved; the Jack London Improvement District (JLID) will launch in January, 2014. Projected annual assessment budget for JLID is \$767,000. Overall, the ten CBDs and BIDs will generate \$4,067,000 in additional public and private investment.

Oakland's nine CBD/BIDs represent approximately 1,900 business and property owners located in Rockridge, Montclair, Lakeshore/Lake Park, Temescal/Telegraph, Lake Merritt/Uptown, Downtown Oakland, Laurel, Koreatown/Northgate, and Fruitvale. There are approximately four additional commercial and/or industrial districts currently soliciting community interest and discussion on formation of a BID. Economic Development staff continues to inform and participate in such discussions.

In order to support the creation of additional CBDs, this year the City initiated a grant program for CBD formation groups, which JLID participated in.

x. Oakland World Trade Program

Staff continues to provide administrative and marketing support to Oakland's very successful Foreign Trade Zone. Staff is preparing an application to add an Alternative Site Framework designation to the Oakland Foreign Trade Zone to expand the Program to serving nearby counties and cities. This will support the Port of Oakland and business development efforts.

Staff has been actively working with one of the federally-approved Foreign Direct Investment (EB5) Regional Centers under the U.S. Dept. of Homeland Security's EB5 program. Such funding enabled the purchase of the historical downtown Tribune Tower, which will be home to a new media call center, a restaurant and other businesses with a technology focus. Overall, the SFRC has purchased six significant properties in Oakland, that will lead to major job creation efforts. Staff continued to work supporting other EB5 projects, at least two of which are in serious negotiations for property purchase in Oakland.

xi. City of Oakland Free Broadway Shuttle

In August 2010, the City of Oakland launched a free shuttle service serving the Central Business District. The Broadway Shuttle enables workers, residents and visitors to conveniently circulate between downtown's commercial districts, including Jack London Square, Old Oakland, Chinatown, the Downtown and Lake Merritt Financial Districts, and the Uptown Arts & Entertainment District. The Broadway Shuttle is an effective economic development tool that has assisted in the attraction of multiple office tenants to Downtown Oakland. Employers and workers see a great value in the shuttle because it links BART, Amtrak, the Ferry and AC Transit to office buildings and other downtown destinations.

Data suggests that over 50% of shuttle passengers use the service with the intent of purchasing a good or service from a business along the route. These passengers spend a total of \$8.86 million annually at restaurants, other retailers and professional service offices as part of their Shuttle trip, according to staff's on-board survey of 309 passengers in August 2011.

A robust public-private partnership – including the Bay Area Air Quality Management District, Alameda County Transportation Commission, Jack London Square Partners, two downtown Business Improvement Districts, Forest City LLC, and the SF Bay Ferry – should ensure the long-term financial sustainability of the project. The Broadway Shuttle, also called the “Free B” or the “B,” operates Monday-Thursday 7am-7pm; Friday 7am-1am and Saturday 6pm-1am. A Lifeline Transportation grant from the Alameda County Transportation Commission and Metropolitan Transportation Commission will fund extended service until 10 pm on the weekdays. In addition to attracting key office tenants, the shuttle also gives a further boost to the thriving nightlife and restaurant scenes in downtown Oakland.

xii. Promoting Oakland

The Cultural Arts & Marketing Division, Department of Economic and Workforce Development support economic development efforts through a range of services. Key accomplishments in 2012-2013 are:

- Cultural Arts & Marketing (CAM) staff produced a major economic development marketing supplement inserted in the October 12, 2012 edition of the San Francisco Business Times. The 28-page publication featured testimonials from business leaders from key sectors touting the virtues of doing business in and with the City of Oakland. The publication also featured comprehensive sections on Oakland neighborhoods, quality of life, and arts, culture and tourism.
- CAM is collaborating with Visit Oakland on a major campaign to brand and promote Oakland as a great place to live, work, visit and play. The campaign is set to launch in early 2014.
- CAM supported economic development through ongoing promotion and marketing support for the Broadway Shuttle including print advertisements, event sponsorships and assistance with editorials.
- CAM produced the 13th Anniversary Arts & Soul Oakland festival in August, 2013, drawing tens of thousands and upwards to \$850k in free positive publicity for Oakland. CAM also played a key role in addressing issues surrounding the monthly First Fridays street festival in the Koreatown-Northgate district that draws approximately 8,000-10,000 attendees monthly, thereby making the event safer and more manageable.
- CAM supports the Oakland’s 12 top annual neighborhood and downtown festivals through technical assistance and funding to help offset related City costs and fees. These events represent a combined attendance of 3.0 million people and \$3.0 million in private investment.
- CAM continues to provide significant support to Oakland Grown; the City’s buy local campaign, which has evolved into a model for the nation. CAM plays a key role in marketing Oakland as a destination through a popular holiday shopping campaign in association with local merchants, free parking during the holidays at City meters and garages, promotion of the Oakland

Grown gift and rewards card, in addition to Plaid Friday, Oakland's answer to Black Friday, held the Friday after Thanksgiving. Plaid Friday has helped turn the day into retail success for many Oakland merchants who had been previously not fared well while shoppers rush to the malls.

- CAM opened the Uptown Arts Park in April, 2013 through a grant from the National Endowment of the Arts and remaining Redevelopment funds. The Arts Park serves to infill portions of a vacant parcel adjacent to the Fox Theater in the City's burgeoning Uptown Arts & Entertainment District. The Arts Park features rotating exhibitions of large-scale sculpture by renowned Bay Area industrial artists.
- CAM co-produced Love Our Lake Day, which drew upwards to 15,000 visitors to Lake Merritt for an afternoon of recreation and enrichment—and to celebrate the completion of the \$200 million Measure DD lake and park enhancements. The streets surrounding Lake Merritt were closed to vehicle traffic to make way for bicyclists, walkers, joggers and strolling performances.

xiii. Commercial Lending Program (CLP)

The City of Oakland and former Oakland Redevelopment Agency established and maintains its Commercial Lending Section (CLS) for the purpose of providing small business technical assistance and lending services for Oakland businesses unable to obtain credit through traditional resources. The Commercial Lending Section maintains a couple of professional services contracts with qualified service providers capable of delivering business technical support, strategic planning, and commercial lending services.

The commercial lending revolving loan funds previously established by the City and the Agency continue to be available to Oakland's business community. The Commercial Lending (CL) Section oversees, either directly or through contracted services, a loan portfolio of seven loan programs. The administration of each loan program is guided by federal regulations, crafted to ensure targeted audiences receive program benefits.

Throughout the Enhanced Enterprise Community (EEC) grant period, staff has endeavored to make occasional program structure modifications to enable delivery of a program that is responsive to the community's need and also functions within federal standards for job creation and default rates.

For the current reporting period, July 1, 2012 – June 30, 2013, City staff and community partners have worked to improve existing services. During this review period, the Commercial Lending staff accomplished the following by utilizing City and federally funded commercial loan programs:

- Underwrote 21 new loans totaling \$2,469,058.
- Leveraged \$2,725,761 in private financing and investment capital.

- Created 155 job opportunities in Oakland.
- Retained 54 jobs within Oakland businesses.

xiv. Neighborhood Economic Development Fund (NEDF)

Oakland Business Development Corporation (OBDC) will serve Commercial Loan Program clients requesting loans of less than \$249,500. OBDC will provide loan packaging assistance, and offer one-on-one management and technical assistance in connection with Neighborhood Economic Development Fund (NEDF) and Enhanced Enterprise Community (EEC) loan funds. OBDC will also track and monitors jobs creation and retention for all City commercial loans.

xv. Micro Loan Program

OBDC operates a micro-loan program (maximum loan amount of \$50,000) on behalf of the City. This loan program provides start-up and direct financing to Oakland-based businesses for working capital, inventory and equipment. These programs are unique in the ability to provide much needed capital to Oakland's underserved business communities. Loans are intended for micro-enterprises with fewer than 5 employees whose owners are low and moderate income.

xvi. Business Façade Improvement Program

In FY 2012-13, there were no façade improvement projects completed in NCR target areas. There was a total investment of \$44,628 in CDBG funded façade improvement design grants for a number of projects which are currently under construction or coming online in the current fiscal year. The CDBG Façade Improvement program has affectively been on hiatus until this week, in order to comply with and close out projects under the Redevelopment Success Agency.

There is currently \$21,875.30 encumbered in a contract with an architectural firm to provide on-call design services to the CDBG funded Façade Improvement Program for a two-year period (FY 2011-13). Of the original \$99,000 in design service contract encumbrances, \$44,628 was expended in FY 2012-13. Design assistance was provided to approximately 9 projects.

Economic Development Activities and Accomplishments

PROJECT/ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	PROGRAMS & RESOURCES	ONE YEAR GOALS	ACCOMPLISHMENTS
Economic Development Project Delivery Costs <i>Citywide</i>	Lead the City's efforts in economic development by working directly with business clients—providing assistance, referrals and problem-solving—and working with City leaders and partners to create a business-friendly environment in Oakland, thereby increasing the number of jobs available to Oaklanders, entrepreneurship and City revenue.	CDBG: \$376,177	Continue to working directly with business clients, City leaders and partners to support and encourage business growth and prosperity, creating and retaining 750 jobs.	<p>Oakland Business Assistance Center staff worked with approximately 1,346 businesses in FY 2012-13, focusing primarily on startup and micro businesses.</p> <p>Focusing on more established retail, industrial and office businesses, sector specialist staff aided more than 480 businesses in FY 2012-13.</p> <p>More than 800 jobs were created or retained in these efforts, an uptick over prior years due to an improving economy and continually improving Oakland profile.</p>
Business Loan Program (Program Delivery Costs) <i>Citywide</i>	Oakland Business Development Corporation (OBDC) will serve Commercial Loan Program clients requesting loans of less than \$249,500. OBDC will provide loan packaging assistance, and offer one-on-one management and technical assistance in connection with Neighborhood Economic Development Fund (NEDF) and Enhanced Enterprise Community (EEC) loan funds. OBDC will also service all City commercial loans.	CDBG: \$192,427 CDBG and Expanded EEC Section 108 loan guaranty authority as authorized agent of the City.	10 NEDF loans and 3 Expanded EEC Section 108 loans. Technical and referral assistance to eligible clients.	The City continues their agreement with OBDC to underwrite loans up to \$249,500. OBDC was successful in marketing City loan programs and underwrote 14 ORA loan, 2 NEDF & 2 EEC HUD 108 loan.

Economic Development Activities and Accomplishments (cont'd)

PROJECT/ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	PROGRAMS & RESOURCES	ONE YEAR GOALS	ACCOMPLISHMENTS
Commercial Lending Program (CLP) <i>Citywide</i>	CLP provides owners of small businesses and entrepreneurs in Oakland with direct commercial business loans for the purpose of business expansion, attraction and retention with the goal of creating jobs for Oakland residents. To better impact the small business community, an expansion of the three (3) Enhanced Enterprise Communities (EEC) designated target areas has been extended through Oakland Seven Community Development (CD) districts to support new business activity and job creation within the 7 CD districts.	CDBG \$119,247	Oversee lending and technical assistance provided to Oakland's small business community including loan underwriting, loan servicing, business plan technical assistance, employment monitoring, and collection of delinquent loan. Oversee the provision of technical assistance to approximately 700 clients; fund approximately \$1m of loans; increase employment opportunities and create approximately 60 new jobs for low-to-moderate income Oakland residents; oversight management of the Oakland Business Development Corporation.	The Commercial Lending office continues to offer the Oakland Small Business community core services through contract services with area non-profits. Commercial Lending Unit continues with its scope of services with the Oakland Business Development Corporation (OBDC) authorizing that organization to underwrite commercial loans up to \$249,500. In FY 12-13 there were 21 loans underwritten totaling \$2,469,058, leveraging \$2,725,761 in private financing and investment capital and creating 155 jobs opportunities and assisting businesses to retain 54 jobs in Oakland.
Commercial Property Façade Improvement Program <i>Citywide in selected areas</i>	Redevelopment staff works with businesses and property owners to improve the physical and economic condition of commercial districts through the Commercial Property Façade Improvement Program.	CDBG \$270,234.45	Complete 17-19 façade improvement projects.	0 façade improvement projects were completed. There was a total investment of \$44,628 in CDBG funded façade improvement grants. The CDBG Façade Improvement program has affectively been on hiatus until late September, 2013, in order to comply with and close out projects under the Redevelopment Successor Agency.

Economic Development Activities and Accomplishments (cont'd)

PROJECT/ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	PROGRAMS & RESOURCES	ONE YEAR GOALS	ACCOMPLISHMENTS
Micro Loan Program <i>Citywide</i>	OBDC operates a micro-loan program (max. \$20,000) on behalf of the City. Loans are intended for micro-enterprises with fewer than 5 employees whose owners are low and moderate income.	CDBG funds as part of Business Loan Program.	5 micro loans. Technical and referral assistance to eligible clients	3 Micro loans

3. Public Services and Infrastructure (Neighborhood Improvements)

In keeping with the goals established by the Oakland City Council and the Five-Year Consolidated Plan Strategy, the City of Oakland allocated \$1,587,047 in FY12-13 funding for CDBG-eligible activities based on recommendations from the City's seven Community Development District Boards.

a. Public Services

These activities were carried out through 15 subrecipient grant agreements with 14 private, nonprofit agencies that serve low- and moderate-income persons in the seven Community Development Districts of Oakland. In addition, 4 City-administered programs were funded. The activities are in the categories identified in the Strategic Plan for Non-Housing Community Development Needs contained in the Consolidated Plan for July 1, 2010 to June 30, 2015. The number of programs implemented by the subrecipients and the City are in the following by categories:

Anti-Crime	1
Homeless	2
Hunger Relief	1
Microenterprise and Business Assistance	2
Miscellaneous Public Services	2
Senior Services	2
Tenant/Landlord Counseling	1
Youth Services	8

Funding for 3 additional programs that were to be carried out by subrecipients were reprogrammed because the subrecipients were unable to fully comply with and complete contract development requirements. One subrecipient had been awarded funding for two programs (1 for Miscellaneous Public Services and 1 for Youth) for FY11-13 but was unable to complete negotiation of the grant agreements in FY11-12, and the funds were carried forward and combined to be implemented with the FY12-13 awards. The other subrecipient was awarded funding for Senior Services.

b. Infrastructure (Neighborhood Improvements)

FY12-13 funding was allocated for 10 capital improvement projects located in areas with predominantly low- and moderate-income residents. Three were administered by two private, non-profit subrecipients, and seven administered by the City.

- Improvements to 7 City-owned facilities were undertaken: 5 parks and recreation facilities, 1 teen center, and 1 sports complex. Work on 4 of the facilities has been completed, and is underway in the other 3 facilities.
- One subrecipient was awarded funding for two improvement projects. One was a street improvement project that provided debris removal and cleanup and landscaping for 20 housing units and the street medians along two residential corridors as well as

neighborhood cleanup. The other was a housing related improvement project that provided exterior/interior home repairs and safety accessibility for 20 housing units occupied by elderly and disabled homeowners.

- One subrecipient received funding to provide for the installation of surveillance cameras in a mixed residential/commercial area of Chinatown. Development of the grant agreement was delayed until the subrecipient clearly defined the scope of work but the project is expected to be completed in the Fall of 2013.

CDBG Infrastructure (Neighborhood Improvements) and Public Services

ACTIVITY NAME	DESCRIPTION OF ACTIVITY	ONE YEAR GOALS	ACCOMPLISHMENTS
<u>Anti-Crime</u> <ul style="list-style-type: none"> ▪ Oakland Chinatown Chamber of Commerce 	Multi-lingual outreach and education Crime prevention	495 individuals	381 individuals
<u>Homeless</u> <ul style="list-style-type: none"> ▪ Covenant House California ▪ East Oakland Community Project 	Shelter accommodation Supportive services Case management	440 individuals	572 individuals
<u>Housing Related Services</u> <ul style="list-style-type: none"> ▪ Rebuilding Together Oakland: Home Repairs & Safety/Accessibility Modifications Program 	Exterior/interior home repairs Safety accessibility	20 housing units	20 housing units
<u>Hunger Relief</u> <ul style="list-style-type: none"> ▪ City of Oakland/Dept. of Human Services, Community Housing Services 	Food purchase & distribution	2,460 individuals	650 individuals
<u>Microenterprise and Business Assistance</u> <ul style="list-style-type: none"> ▪ AnewAmerica Community Corporation ▪ Oakland Citizens' Committee for Urban Renewal: Heartlands Neighborhood Revitalization/Façade Improvement 	Green business training, counseling and technical assistance Resource access Financial planning education Façade improvement referral Community revitalization coordination	5,025 individuals	4,087 individuals
<u>Miscellaneous Public Services</u> <ul style="list-style-type: none"> ▪ Healthy Oakland/Oakland Community Health Ambassador Program ▪ Spanish Speaking Citizen's Foundation/Rising Sun: Professional Pastry Program (<i>funding reprogrammed</i>) ▪ Vietnamese American Community Center 	Meals Counseling and case management Health screening Independent living skills Job and entrepreneurial training Education Employment	67 individuals	37 individuals

CDBG Infrastructure (Neighborhood Improvements) and Public Services (cont'd)

ACTIVITY NAME	DESCRIPTION OF ACTIVITY	ONE YEAR GOALS	ACCOMPLISHMENTS
<u>Public Facilities and Infrastructure</u> <ul style="list-style-type: none"> City of Oakland/ Office of Parks & Recreation City of Oakland/Public Works Agency: Maxwell Park Improvements and Peralta Hacienda Historical Park Peralta Creek Accessible Interpretive Path 	Rehabilitation of recreational facilities and parks Rehabilitation of neighborhood centers Installation of sports equipment Creation of kitchen gardens Sod and play surface replacement Safety enhancements Planning of park play options Accessible park walkway	7 Facilities	7 Facilities <ul style="list-style-type: none"> 4 completed 3 underway
<u>Seniors</u> <ul style="list-style-type: none"> City of Oakland/Dept. of Human Services: Senior Companion Program Ethiopian Community and Cultural Center (<i>funding reprogrammed</i>) LifeLong Medical Care 	In-home support Companion services Support services Information and referrals Case management Medical home visits Translation services	560 individuals	242 individuals
<u>Street Improvements</u> <ul style="list-style-type: none"> Oakland Chinatown Chamber of Commerce Rebuilding Together Oakland 	Installation of surveillance cameras Blight removal through street clean-up and streetscape	20 housing units Improvements to 3 street medians	<ul style="list-style-type: none"> 20 housing units, 2 street medians and residential improved, and neighborhood cleanup Installation of 16 cameras to be completed
<u>Tenant/Landlord Counseling</u> <ul style="list-style-type: none"> Center for Independent Living 	Outreach Counseling Education seminars	75 individuals	203 individuals

CDBG Infrastructure (Neighborhood Improvements) and Public Services (cont'd)

ACTIVITY NAME	DESCRIPTION OF ACTIVITY	ONE YEAR GOALS	ACCOMPLISHMENTS
Youth <ul style="list-style-type: none"> ▪ Attitudinal Healing Connection ▪ City of Oakland/Dept. of Human Services: Safe Walk to School Program ▪ City of Oakland/Parks & Recreation: Rainbow Teen Center Youth Program ▪ First Place for Youth ▪ Oakland Parents Together ▪ OCCUR: Eastmont Technology Center Program ▪ Project Re-Connect ▪ Spanish Speaking Citizen's Foundation/Rising Sun Healthy Cooking for Youth (<i>funding reprogrammed</i>) ▪ Student Program for Academic & Athletic Transitioning 	Enrichment services Academic tutoring and education Skills and job training Job development and employment Monitoring of safe passage to school Supportive services Computer training and instruction Career development Recreation and athletic activities Intervention counseling Culinary arts and nutrition instruction Entrepreneurial training Digital arts training Urban agriculture	3,740 Individuals	3,770 individuals

Narrative B: Fair Housing

1. Summary of the Analysis of Impediments to Fair Housing

The City of Oakland's Community and Economic Development Agency completed an updated Analysis of Impediments to Fair Housing (AI) in January 2011. Copies are available on the City's web site. The following narrative is a summary of the 2011 analysis:

Oakland is a City with considerable ethnic and racial diversity. It is also a City with a large number of minority and low income households who face particular problems securing decent housing, as do families with children and persons with disabilities. Patterns of racial clustering and segregation are readily identifiable, suggesting that discrimination continues to be a serious problem and an impediment to fair housing choice.

Information provided by fair housing organizations provides additional evidence of discrimination, as revealed by data related to complaints.

The most significant barrier to fair housing, however, is the lack of affordable housing. Because minorities are more likely than non-minorities to be low income, the housing problems of low income people are most acutely experienced by minority households. The lack of funding and suitable sites for the development of new affordable housing thus serves to limit fair housing choice.

Adding to the difficulty of providing affordable housing is neighborhood opposition to the development of new assisted rental housing. This opposition, while based on fears of safety, traffic congestion, and reduced property values, is often based on misperceptions of the type of housing that is proposed and by stereotyped impressions of the characteristics of the households who will occupy that housing. It should be noted that such opposition is found in minority and non-minority neighborhoods alike.

Discrimination in lending is also a problem, as revealed by analysis of rates of mortgage loan approvals and denials reported in annual data collected under the Home Mortgage Disclosure Act as well as several studies on current lending practices.

The foreclosure crisis has wiped out equity in communities of color and these communities are having difficulty rebounding due to the lack of access to loans. Many of those who have lost their homes are re-entering the rental market with poor credit scores and loss of assets. Some are at risk of homelessness. In addition, foreclosures cause blight and lower property values in neighborhoods with a history of redlining. This, in turn, threatens the remaining homeowners' ability to refinance homes or homebuyers to buy homes in these areas of minority concentration.

To some extent, City zoning and land use practices may also act as a barrier to housing choice for persons with disabilities.

The City is committed to the promotion of fair housing choice, and in an effort to affirmatively further fair housing will undertake a number of steps to eliminate barriers to fair housing, as

outlined in the conclusion of this report and in the City’s Annual Consolidated Plan for Housing and Community Development.

2. Actions Taken to Overcome Impediments to Fair Housing

The table on the following pages lists the fair housing impediments described in the AI that were addressed by City programs in FY 2012-13. In addition the table specifies actions taken to remove those impediments and identifies City staff or City-funded programs that carried out those actions. Also included are the recommended activities from the AI and related accomplishments in the FY 2012-13 supported by the City of Oakland to address impediments to, and affirmatively further fair housing in the City.

3. Actions to Affirmatively Further Fair Housing

IMPEDIMENT ACTION <i>Responsible Organization</i>	DESCRIPTION OF ACTIVITY	ACCOMPLISHMENTS FY 2012-13
<p>Lack of Affordable Housing</p> <p>Support the development of affordable housing.</p> <p><i>City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> • <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Housing Development Section</i> ○ <i>Housing Policy & Programs Section</i> • <i>Planning Department</i> <ul style="list-style-type: none"> ○ <i>Strategic Planning Section</i> 	<ul style="list-style-type: none"> • Work with developers to identify and pursue all available funding for affordable housing. • Work to remove constraints to the development of housing as specified in the Housing Element. • In annual NOFA, give priority to following activities: <ul style="list-style-type: none"> ○ Housing developments that include units for extremely low- and very low-income households. ○ Encourages the siting of affordable housing in areas without concentrations of poverty. 	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> • Narrative C • Narrative E, #3 Actions to address public policy barriers to affordable housing

IMPEDIMENT ACTION <i>Responsible Organization</i>	DESCRIPTION OF ACTIVITY	ACCOMPLISHMENTS FY 2012-13
<p>Community Opposition to the Siting of Affordable Housing</p> <p>Participate in community outreach and education.</p> <p><i>City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> • <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Housing Development Section</i> ○ <i>Housing Policy & Programs Section</i> • <i>Planning Department</i> <ul style="list-style-type: none"> ○ <i>Strategic Planning Section</i> 	<ul style="list-style-type: none"> • Encourage affordable housing developers to include a community outreach program as a part of their predevelopment process. • Participate in public information and education activities to highlight affordable housing accomplishments and their positive impacts in communities where they are located. • Conduct briefings and work sessions with the City Council to provide decision makers with information on the City's low income housing needs and the impact of past and current affordable housing developments and policies. • Encourage developers to assist in the formation of resident councils in affordable housing developments. • Monitor existing affordable housing to ensure that management and maintenance are of the highest quality. 	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> • Narrative C, Affordable Housing Activities • Narrative E, #3Actions to address public policy barriers to affordable housing <p>In addition,</p> <ul style="list-style-type: none"> • The City provides assistance to East Bay Housing Organizations for its annual Affordable Housing Week, which includes tours, presentations and other activities that highlight the accomplishments of affordable housing developments in the City of Oakland. • There are five active Community Housing Development Organizations (CHDOs) in the City of Oakland that certify their annual activities that align with this action to reduce impediments to fair housing. • The City's Housing Policy & Programs Section regularly consults with City Council members on their questions regarding affordable housing policy development. • The City's Housing Development Section's Asset Manager regularly monitors HOME funded developments to insure proper management and maintenance of these properties, including compliance with fair housing requirements.

IMPEDIMENT ACTION <i>Responsible Organization</i>	DESCRIPTION OF ACTIVITY	ACCOMPLISHMENTS FY 2012-13
<p>Discrimination in the Sale or Rental of Housing</p> <p>Support counseling, education, and advocacy programs.</p> <p><i>Causa Justa :: Just Cause</i> <i>Center for Independent Living</i> <i>Centro Legal de la Raza</i> <i>East Bay Community Law Center</i> <i>Eden Council on Hope and Opportunity</i> <i>City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> • <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Housing Development Section</i> 	<ul style="list-style-type: none"> • Provide funding to nonprofit agencies to provide fair housing counseling, investigate complaints, provide information and referrals. • Provide outreach and information materials in other languages in order to reach out to underserved populations. • Encourage owners and managers of affordable housing to provide translation assistance or referrals to community-based organizations that can assist with the translation for housing applicants. 	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> • Narrative C, Affordable Housing Activities, Objective #9: Removal of Impediments to Fair Housing <p>In addition,</p> <ul style="list-style-type: none"> • The City requires that all projects that receive public assistance, whether funded with federal or non-federal funds, comply with the City's Affirmative Fair Marketing guidelines. A copy of these guidelines is included in each year's Consolidated Plan Action Plan, as part of the special submission requirements for the HOME Program.
<p>Lack of Accessible Features in Housing</p> <p>Fund accessibility improvement grant program.</p> <p><i>City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> • <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Residential Lending Section</i> 	<ul style="list-style-type: none"> • Provide Access Improvement Grants to existing homeowners and owners of rental developments 	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> • Narrative C, Affordable Housing Activities, Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs

IMPEDIMENT ACTION <i>Responsible Organization</i>	DESCRIPTION OF ACTIVITY	ACCOMPLISHMENTS FY 2012-13
<p>Barriers to the Provision of Supportive Housing</p> <p>Participate in community outreach and education.</p> <p><i>City of Oakland:</i></p> <ul style="list-style-type: none"> ○ <i>Department of Human Services</i> <p><i>City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> ● <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Housing Development Section</i> ○ <i>Housing Policy & Programs Section</i> 	<ul style="list-style-type: none"> ● Work with PATH/Everyone Home partnerships to find sources for long-term services linked to housing, particularly for the homeless and those at-risk of being homeless. ● Provide education and outreach regarding housing with supportive services. 	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> ● Narrative D, Prevention and Elimination of Homelessness <p>In addition:</p> <ul style="list-style-type: none"> ● The City provides assistance to East Bay Housing Organizations for its annual Affordable Housing Week, which includes tours, presentations and other activities that highlight the accomplishments of affordable housing developments in the City of Oakland.

IMPEDIMENT ACTION <i>Responsible Organization</i>	DESCRIPTION OF ACTIVITY	ACCOMPLISHMENTS FY 2012-13
<p>Discrimination in Mortgage Lending</p> <p>Increase private lending activity in minority areas through community reinvestment efforts.</p> <p><i>Eden Council on Hope and Opportunity The Unity Council City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> • <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Housing Policy & Programs Section</i> ○ <i>Homeownership Section</i> ○ <i>CDBG Section</i> 	<ul style="list-style-type: none"> • Monitor and assess HMDA data and Community Reinvestment Act lender evaluations. • Encourage financial institution participation in mortgage lending to low and moderate income individuals and in low and moderate income communities through joint efforts by the City and industry organizations to promote existing lending programs and create new lending programs. • Fund consumer counseling that includes financial literacy and credit counseling in particular for households considering lending choices. 	<p>Analysis of HMDA data was conducted for the research and writing of the Analysis of Impediments to Fair Housing, January 2011.</p> <p>The City continues to be an active participant in efforts to insure that lenders comply with their obligations under the Community Reinvestment Act.</p> <p>Regarding the City's Linked Banking Ordinance, in the Fall of 2011 the City surveyed 30 banks with branches in the City; 10 responded and 8 achieved their fair share banking goal and thus were considered "eligible depositories" in the City.</p> <p>Subsequently, the City's Linked-Banking Ordinance was updated in June 2012 (Resolution no. 83988 C.M.S). The general requirements of the ordinance limits the City's banking business to lending institutions that are meeting community credit needs. The updated ordinance added a requirement seeking a more comprehensive survey for banks interested in doing business with the City.</p> <p>Given the time and expense to submit and analyze the 2011 data, the City did not request updated survey data from the banks that were listed in 2011 as the City's eligible depositories. In early 2013 an additional bank submitted data and was ultimately added to the City's list of eligible depositories. The City's list now consists of 9 banks.</p> <p>In 2013, the City issued a Fiscal Services RFP. According to the June 2012 update to the Linked Banking Ordinance, eligible depositories should have preference in the Fiscal Services RFP. As of June 30, 2013 the responses to the RFP continue to be reviewed by a committee assembled by the City's Fiscal Services Department.</p> <p>CDBG funds continued to support a Home Equity Conversion counseling program and a Foreclosure Counseling and Prevention program.</p>

IMPEDIMENT ACTION <i>Responsible Organization</i>	DESCRIPTION OF ACTIVITY	ACCOMPLISHMENTS FY 2012-13
<p>Foreclosures</p> <p>Participate in community outreach and education.</p> <p>Support the rehabilitation and resale of foreclosed homes.</p> <p><i>The Unity Council Oakland Community Land Trust City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> • <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Housing Development Section</i> 	<ul style="list-style-type: none"> • Use NSP funds and other funding sources to acquire, rehabilitate and resell foreclosed homes to low income homebuyers. • Work with non-profit housing service providers to target programs to extremely low, low and moderate income homeowners at risk of losing their homes to foreclosure. 	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> • Narrative C, Affordable Housing Activities, Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods
<p>Housing Conditions</p> <p>Support the rehabilitation of owner- and renter-occupied housing stock.</p> <p><i>City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> • <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Residential Lending Section</i> 	<ul style="list-style-type: none"> • Fund housing rehabilitation both inside and outside areas of minority concentration. 	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> • Narrative C, Affordable Housing Activities, Objective #5: Improvement of the Existing Housing Stock

IMPEDIMENT ACTION <i>Responsible Organization</i>	DESCRIPTION OF ACTIVITY	ACCOMPLISHMENTS FY 2012-13
<p>Access to Transportation</p> <p>Support the development of transit oriented development.</p> <p><i>City of Oakland, Community & Economic Development Agency:</i></p> <ul style="list-style-type: none"> • <i>Housing & Community Development Department</i> <ul style="list-style-type: none"> ○ <i>Housing Development Section</i> 	<ul style="list-style-type: none"> • Prioritize affordable housing developments near transit to provide better access to jobs and services. 	<p>Please refer to the following sections of this report for details on these activities:</p> <ul style="list-style-type: none"> • Narrative C, Affordable Housing Activities, MacArthur Transit Village development description

Narrative C: Affordable Housing

This narrative describes actions taken to preserve, improve and expand the supply of affordable housing for low- and moderate-income households. It also includes information on actions undertaken to meet the needs of non-homeless persons needing supportive housing.

Information on actions to address homelessness may be found in Narrative D: Continuum of Care, and in the program-specific narrative for the Emergency Shelter Grant (ESG) program. Additional information on assistance to homeless and non-homeless persons with AIDS may be found in the program-specific narrative for the Housing Opportunities for Persons with AIDS (HOPWA) program.

1. Funds Made Available

The following is a listing of new Federal grant funds that were made available to the City in FY 2012-13, and how those funds were allocated among uses. Although these funds were allocated during the fiscal year, the commitments and expenditures that were made by the City included funds received and obligated in prior years.

A summary of *allocations* of housing funds made during the fiscal year for housing development and first-time homebuyer activities, using both Federal and non-Federal funds regardless of the year the funds were first made available, is included in Narrative F: Leveraging, Commitments, Match and Support for Other Applications. Narrative F also includes a listing of other funds (local government, private, and Federal funds made available to entities other than the City for housing activities) that were made available.

Detail on actual *commitments* and *expenditures* of Federal formula grant funds is contained in the IDIS system.

Listings of specific commitments made with HOME, Emergency Shelter Grant (ESG) funds and Housing Opportunities for Persons with AIDS (HOPWA) funds are included in the Program-Specific Narratives for each of those programs.

a. Community Development Block Grant (CDBG)

The City allocated \$3,140,136 in CDBG grant funds and program income for housing activities, including housing rehabilitation programs and services such as housing counseling. An additional \$485,550 was allocated to homeless programs and \$297,140 was allocated for fair housing activities.

b. Neighborhood Stabilization Program (NSP)

The City was awarded \$2,070,087 in funding from the NSP3 program for acquisition and rehabilitation of vacant and foreclosed single-family and multi-family housing. At least 25 percent of these funds will be used to benefit very low income households

through the acquisition and rehabilitation of the California Hotel. Final allocation of the remaining funds has not yet been determined by the City Council.

c. Rental Rehabilitation Program

There were no funds received in program income (loan repayments) derived from loans made under the now-discontinued Federal Rental Rehabilitation Program. Repayments received in prior years were allocated primarily to provide additional funding for the Neighborhood Housing Revitalization Program (NHRP) and other rehabilitation programs to provide loans and grants for rehabilitation of owner-occupied homes. The NHRP provides financial assistance to owners of vacant and blighted residential properties of one to four units that are in need of extensive rehabilitation to correct code violations and to eliminate safety and health hazards.

d. HOME

The City received a total HOME grant of \$2,355,822. Funds were allocated to the following uses:

Housing Development	\$2,120,240
<u>Program Administration/Monitoring</u>	<u>\$235,582</u>
TOTAL	\$2,355,822

The City also received program income in the amount of \$268,637 in the form of repayment of loans made for first time homebuyer, home maintenance Improvement program and development activities. This program income was re-allocated for additional housing rehabilitation activities. Because Federal regulations require that program income be expended prior to drawdown of grant funds, on a cash flow basis the program income is applied to the first eligible HOME expenditures that are incurred by the City.

e. Emergency Solutions Grant

The City received \$656,315 in Emergency Solutions Grant Funds, of which \$49,224 was allocated to cover the City's program administration costs and \$607,091 allocated for rapid rehousing, homeless prevention, shelter and outreach services provided to the homeless and near homeless under the City's Permanent Access To Housing (PATH) Strategy.

f. Emergency Solutions Grant (2011 Substantial Amendment)

The City received \$207,596 under the 2011 Emergency Solutions Grant Funds Substantial Amendment, of which \$24,796 was allocated to cover the City's program administration costs and \$182,800 allocated for rapid rehousing, homeless prevention, shelter and outreach services provided to the homeless and near homeless under the City's Permanent Access To Housing (PATH) Strategy during the 2013 calendar year. Priority of these funds are for Rapid Rehousing financial assistance and stabilization services to assist those closest to the streets.

Supportive Housing Program

The City received four Supportive Housing Program grants for the 2012/13 fiscal year, supporting the following City programs: Matilda Cleveland Transitional Housing Program (\$259,824); Families In Transition/Scattered Sites Program (\$245,420), the Homeless Families Support Network (\$1,829,618), and the Oakland Homeless Youth Housing Collaborative (\$699,770). In addition, a total \$25,680,783 was awarded to the Alameda County Continuum of Care through the HUD SuperNOFA process. Of the \$25.6 million awarded county-wide, \$3.03 million directly benefitted City of Oakland SHP programs.

Another \$4.1 million out of the \$25.6 million awarded under the SHP HUD SuperNOFA benefitted agencies based in Oakland or providing substantial direct benefit to persons residing in Oakland. Those programs include the following:

Walker House, \$208,502

Walker House in Oakland, operated by the Ark of Refuge, provides permanent supportive housing for 10 homeless, medically fragile dual and triply diagnosed adults living with disabling HIV disease and/or other disabilities who have critical need of care and supervision. Supportive services include attendant care by nursing attendants, meals, registered nurse case management, medication management (to assist with complex medical regimens), and on-site drug counseling as well as a 24-hour supervision for medical emergencies and crisis intervention.

Rosa Parks House, \$164,038

Building Opportunities for Self-Sufficiency operates Rosa Parks House, a transitional housing program in Oakland serving homeless individuals with mental disabilities and/or with HIV/AIDS. Rosa Parks provides transitional housing and supportive services (case management, mental health and recovery services and HIV/AIDS services) to 23 individuals. The program is designed to provide a structure for residents to achieve a level of personal and financial stability in order to move them along to a more permanent, independent living arrangement and to sustain that housing over time.

Homeless Services One Stop Employment Center, \$863,257

This program offers employment and comprehensive supportive services. Provides outreach to the homeless population, addiction recovery counseling and referrals, job preparation assistance, job placement assistance, supportive services (food/clothing/transportation), referrals to mainstream social services, computer skills training, and paid work experience training.

InHOUSE (Homeless Management Information System (HMIS)), \$384,582

Information about Homelessness, Outcomes, and Service Engagement (InHOUSE), will be a unified data hub that provides an on-going structure to

deliver and manage client service, assess needs within the Alameda County homeless service system, enumerate the homeless, monitor efforts to end homelessness, and coordinate data with multiple systems of care.

Lifelong Medical - Alameda County Health Housing and Integrated Services, \$539,398

The Health, Housing and Integrated Services Network is an interagency partnership which, through the establishment of Integrated Services Teams, provides a full range of support services linked to permanent housing for homeless individuals. Services are provided to 400 tenants each year, the majority of whom has one or more special needs, including serious mental illness, substance use related problems, and HIV/AIDS. The Project provides support services at seven permanent housing sites located across Oakland and Berkeley.

Housing Stabilization Team, \$274,259

Building Opportunities for Self-Sufficiency's Housing Stabilization Team provides two levels of service to homeless people with disabilities and special needs: (1) rental subsidies for up to 18 months with case management and services; and (2) services provided on-site in SROs to help residents who came from the streets or shelters stabilize in housing.

Oakland PATH Rehousing Supportive Housing Program, \$827,904

Abode Services, Inc. provides increased rapid rehousing opportunities to Oakland's shelters, expanding services provided under the City of Oakland's OPRI (Oakland PATH Rehousing Initiative) Program.

Alameda County Shelter Plus Care – FACT Housing Program, \$827,904

The Forensic Assertive Community Treatment (FACT) program is an outpatient community based program of East Bay Community Recovery Project. The program serves persons frequently involved in the criminal justice systems in Alameda County that have a serious mental illness and possibly co-occurring substance abuse disorders. FACT's housing program operates on the "Housing First" model, in which Partners are housed first, and then offered services on a voluntary basis. FACT program to houses individuals that would have difficulty obtaining housing and services on their own because of their multiple health issues and criminal justice histories. Shelter Plus Care subsidies are used for eligible clients.

g. Housing Opportunities for Persons with AIDS (HOPWA)

The City received an award of \$2,673,899 under the 2012 HOPWA program. The City is the lead agency for the metropolitan area, and distributed funds to Alameda County and Contra Costa County based on the relative proportion of AIDS cases, as follows:

Alameda County	\$ 1,959,257
Contra Costa County	\$661,124
Grantee Administration (City)	<u>\$53,478</u>
TOTAL	\$2,673,899

2. Characteristics of Persons Assisted with Housing

Information on the racial and income characteristics of persons assisted with housing financed with Federal grant funds is contained in the Integrated Disbursement and Information System (IDIS), a centralized database system maintained by HUD.

Summary information contained in the table included at the end of Section C includes persons assisted with HOME, CDBG, ESG, HOPWA and other federal funds, for projects and activities completed during the program year.

3. Geographic Distribution of Assistance

Maps showing the geographic distribution of first-time homebuyer, housing rehabilitation, and housing development activities funded with HOME and CDBG funds are included at the end of this section. Maps are also provided for activities assisted with ESG and HOPWA funds. The accomplishment tables in this section provide more specific information on the location of housing activities, regardless of whether Federal or non-Federal funds were used.

4. Efforts to Meet “Worst-Case Needs”

The City has undertaken efforts to assist persons with “worst-case needs.” These include:

- Persons with disabilities;
- Households living in substandard housing;
- Low-income households paying more than 50% of income for rent; and
- Households that have been involuntarily displaced by public action.

For persons with disabilities, a principal focus of the City's housing efforts has been the expansion of the supply of affordable housing for persons with AIDS. The City has used HOME and encumbered Low/Mod Housing Funds (formerly Redevelopment Agency funds prior to it being eliminated), in conjunction with funding from the Section 811 program, for new construction of such housing. Funding for new construction and rental assistance is also provided under the HOPWA program.

The City provides rehabilitation assistance for homeowners who are living in housing that is dilapidated or substandard. The City's code enforcement program is intended to encourage owners to bring their properties up to code. Continued violations of housing code requirements result in liens against the property, providing financial incentives for owners to complete the necessary work. In extreme cases, the City may order a property be closed and the tenants relocated. Under the City's Code Enforcement Relocation Ordinance, the City provides relocation assistance to these tenants and then places a lien against the substandard property for the cost of the relocation.

The City also seeks to expand assistance for low income persons with high cost burdens. City-assisted housing developments require that 15 percent of all units have rents equal to 30 percent of the monthly income of households at or below 30% of median income, in order to provide affordability to a broader range of low income persons, particularly those currently experiencing high cost burdens.

The City also uses project-based and tenant-based rental assistance to assist extremely low income households, including those with special supportive services needs. The City has worked closely with the Oakland Housing Authority (OHA) to expand the use of project-based Section 8. A total of 324 new Project Based Section 8 units came on-line in FY 2012-13. By the close of FY 2012-13, OHA had a total of 3,104 project based vouchers (PBV) in use or formally committed to projects. At the end of FY 2012-13, a total of 1,256 PBV units were under a HAP contract and in use (rented). In FY 2012-13, only one new PBV commitment was made to a project totaling 25 additional PBV units. Under the existing regulations, Public Housing Authorities (PHA) are limited to project-basing up to 20 percent (20%) of the amount of budget authority allocated to the PHA by HUD in the PHA voucher program. In addition, PHAs are limited to project-basing up to 25 percent (25%) of units in a single development. Through the MTW program, OHA has received approval from HUD to remove the cap on the number of PBVs allocated to a single development, and to exceed the 20% cap on the budget authority allocated to the program. These flexibilities have allowed the commitment of more Project Based units in Oakland than would have otherwise been possible.

Affordable housing developments assisted by the City require that preference be given to persons who have been displaced by public action.

In 2006-07, the City Council adopted the currently active Permanent Access to Housing (PATH) plan, which brings together affordable housing developers and service providers to create and operate permanent supportive housing for homeless persons. An "Oakland Pipeline" has been established to coordinate financing and plan to expand the inventory of housing to serve homeless people who are among those with "worst-case needs."

5. Detailed Status of Housing Activities

The tables on the following pages provide detailed information on all housing activities undertaken by the City, regardless of whether they were financed with federal funds. Information on actions to prevent and reduce homelessness is contained separately in Narrative D.

Affordable Housing Planned Actions and Accomplishments, FY 2012-2013

Objective #1: Expansion of the Supply of Affordable Rental Housing

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
1701 Martin Luther King Jr. Way 1701 Martin Luther King Jr. Way <i>Western Oakland</i>	New construction of 26 affordable rental units (including 1 manager's unit) 20-1 BR 5-Studios	14 units at or below 30% AMI 11 Units at or below 50% AMI	HOME: \$1,960,000	Newly funded project in Spring 2013.	Newly funded project in Spring 2013. Predevelopment activities included applying for 9% tax credits.
720 E. 11th Street Project (aka Clinton Commons) 720 E. 11 th Street <i>Chinatown/Eastlake/San Antonio</i> See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	New construction of 55 rental units: 18 1-BR units 17 2-BR units 17 3-BR units 3 4-BR units	25 units for households at or below 35% AMI (including 3 HOPWA units and 6 MHSA units); 12 units for households at or below 50% AMI (including 2 MHSA unit); 17 units for households at or below 60% AMI.	HOME: \$1,669,500 Low/Mod Housing Fund: \$5,827,497 <i>Total City/Low/Mod Funds:</i> \$7,497,000	Continue with construction, which is anticipated to be completed by August 2012.	Construction completed and full lease up was achieved in October 2012.

Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
94th and International 9400-9500 International Blvd. <i>Elmhurst</i>	New Construction of 59-unit family affordable housing project (including 1 managers unit) 18-1 BR 23-2 BR 18-3 BR 2,999 sq. ft. commercial	30% to 50% AMI 6 units affordable at 30% Area Median Income 16 units affordable at 35% Area Median Income 36 units affordable at 50% Area Median Income	Low/Mod Housing Fund: \$5,597,000	Arrange financing; complete entitlements. Applying for 9% tax credits in March 2012. If awarded, project will begin construction in December 2012.	Project did not receive tax credits, but has re-applied in 2013.
Cathedral Gardens 688 21st Street <i>Western Oakland</i> See also Objective #7: Provision of Supportive Housing for Seniors and Other Persons with Special Needs	New construction and rehabilitation for former rectory building totaling 100 new units. 35 1-bdrm units 34 2-bdrm units 31 3-bdrm units Services provided for developmentally disabled, persons with HIV/AIDS, and formerly homeless households with a mental disability	40 units for extremely low income households at or below 30% AMI. 30 units for low income households at or below 50% AMI 29 units for moderate income households at or below 60% AMI 69 units for small families 31 units for large families 5 units for homeless households 15 units for people with developmental disabilities or HIV/AIDS	Low/Mod Housing Fund: \$9,840,000 OHA: 40 Project-Based Section 8 Vouchers	Apply for and receive 9% Low Income Housing Tax Credits. Anticipate construction to start by June, 2012. Anticipated completion date is February, 2014.	Started construction in July, 2012. Anticipated construction completion date is May, 2014.

Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Grove Park 3801-3807 Martin Luther King Jr. Way <i>North Oakland</i>	Purchase of 3801-3807 Martin Luther King Jr. Way for possible assembly with two adjacent parcels.	8 units must be kept affordable at 80% AMI for 45 years.	Low/Mod Housing Funds (via V-HARP): \$800,000	No one year goals. Developer legally abandoned project. New developer (LANDIS) is negotiating to buy construction lender's note. City affordability restrictions will remain in place.	No progress on this development in FY 2012-13.

Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Housing Development Program <i>Citywide</i>	Funding for new construction of affordable housing. Specific projects will be selected through a competitive Notice of Funding Availability (NOFA) process during the program year.	<p>Low income renters with incomes between 30% and 60% of AMI.</p> <p>Homeowners with incomes up to 120% of median income may be assisted using Low/Mod Housing Funds.</p>	<p>HOME: \$2,355,822</p> <p>Low/Mod Housing Fund: \$0</p>	<p>The Low-Mod housing fund will no longer receive new tax increment funds as of February 2012 due to the dissolution of the City of Oakland Redevelopment Agency.</p> <p>Federal HOME funds have also been slated for drastic cuts in FY 2012-13.</p> <p>Due to these two dramatic cuts in funding, the City's affordable housing NOFA award will be severely curtailed or suspended in FY 2012-13.</p> <p>A portion of these funds may be used for rehabilitation of existing affordable housing (see below under "Objective 2: Preservations of Existing Rental Housing.")</p> <p>A portion of any Low/Mod Housing Fund that are maintained by the passage of State Legislation is reserved specifically for activities in the Central City East and West Oakland Redevelopment Areas.</p>	<p>A total of \$3,960,000 was awarded to two new construction projects in March 2013. Funds awarded included HOME and local Affordable Housing Trust Fund.</p>

Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Lion Creek Crossings (formerly Coliseum Gardens HOPE VI) New Rental Housing, Phase IV 69 th Avenue at Snell Street <i>Elmhurst / Central East Oakland</i> See also Objective #2: Preservation of the Supply of Affordable Rental Housing	New construction of 72 income restricted, family apartments (1- manager's unit). 16 1-BR units 28 2-BR units (inc. manager's unit) 28 3-BR units (Phase IV consists of a total of 72 units: 51 units of new construction, including 1 manager's unit, in addition to the new construction of 21 units of family apartments recorded under "Objective #2: Preservation of the Supply of Affordable Rental Housing.)	21 units to serve extremely low-income households (0-30% Area Median Income) 50 units to serve very low-income households (31-50% Area Median Income) 1 unit set aside for an onsite manager and has no income restrictions	Low/Mod Housing Fund: \$2,980,547 HOME: \$3,499,453 Total City/Agency Funds: \$6,480,000	Continue lease-up of completed structure. Finalize building permits and Certificates of Occupancy.	Construction completed June 2012.
Lion Creek Crossings (formerly Coliseum Gardens HOPE VI) New Senior Rental Housing, Phase V 6710 – 6760 Lion Way <i>Elmhurst / Central East Oakland</i> See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	New construction of 127 senior rental units and one manager's unit (total of 128 new units). 2-Studios 117-1BR units 9-2BR units (including one manager's unit)	70 Extremely Low- Income units (0-30% AMI) 57 Very Low-Income units (31-50% AMI) -- 127 Project-Based Section 8 vouchers 1 On-site manager's unit	TBD	Determine funding sources and apply for other sources of funding to secure full project funding.	Project awarded City funds in Spring 2013. Funding was not accepted by the developer due to tight development timelines and relatively low amount of City funding awarded.

Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
MacArthur Apartments 9800 MacArthur Blvd <i>Elmhurst</i>	New construction of 32 rental units 14 1-BR 8 2-BR 10 3-BR 1,000 sq. ft. commercial space Supportive services for 6 MHSA units	14 units to serve tenants with extremely low incomes (0-30% Area Median Income) 16 units to serve tenants with very low incomes (31-50% Area Median Income) 1 unit to serve a tenant with low income (51- 80% Area Median Income) Units are to serve small families (2-4 persons) and large families (5+ persons) 6 Special needs units for individuals with mental illness or emotional disturbance that are homeless or at-risk of homelessness	Low/Mod Housing Fund \$4,485,000	Secure the remaining financing for the project including a 9% Tax Credit. Construction scheduled to commence in April 2012. Anticipated completion date: December 2013.	Construction started in April 2012. Anticipated construction completion date is September 2013.

Objective #1: Expansion of the Supply of Affordable Rental Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
MacArthur Transit Village (aka Mural Apartments) 40th Street and Telegraph Ave. <i>North Oakland</i>	New construction of 90 units 2 studio units 22 1-BR units 29 2- BR units 36 3- BR units 1 manager's unit	29 units for extremely low income households at or below 30% AMI. 60 units for low income households at or below 50% AMI. --- 53 units for small families 36 units for large families	Low/Mod Housing Fund: \$17,200,000 OHA: 22 Project-Based Section 8 Vouchers	Apply for and receive Low Income Housing Tax Credits and Bonds Anticipate construction to start by August 2012 Anticipated completion date is May 2014.	Received commitments of funding from all sources by June, 2013. Delays were due to the slow progress of the infrastructure improvements of the larger transit village project. Anticipate construction to start by September, 2013. Anticipated construction completion is March 2015.
MLK/MacArthur 3829 Martin Luther King Jr. Way <i>North Oakland</i>	Site acquisition of a parcel for future housing.	25% of parcel purchased with these funds to be affordable to households earning not more than 80% AMI.	Low/Mod Housing Fund Site Acquisition Loan: \$52,000	Developer now bankrupt; City will eventually foreclose to get control of parcel and combine into Grove Park or similar plans. See Grove Park for further details.	No progress on this development in FY 2012-13.
Saint Joseph's Affordable Family Apartments 2647 International Blvd. <i>Fruitvale/San Antonio</i>	New construction of 62 units: 15 1-BR units 27 2-BR units (inc. 1 manager's unit) 20 3-BR units	25 units for households at or below 35% AMI; 18 units for households at or below 50% AMI; 18 units for households at or below 60% AMI.	Low/Mod Housing Fund: \$6,427,656 HOME: \$3,850,344 Total City/Low/Mod Housing Funds: \$10,278,000	Construction started date: February 2012. Anticipated completion date: June 2013.	Construction started in February 2010. Anticipated completion date is September 2013.

Objective #2: Preservation of the Supply of Affordable Rental Housing

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
C.L. Dellums Apartments 644 14 th Street <i>Western Oakland</i>	Rehabilitation and ownership interest transfer of existing 73 unit affordable rental development. 72 Studio units 1 Manager's unit	Seventy-two households earning up to 50% of Area Median Income	HOME: \$1,200,000	Close rehabilitation loan and start construction.	Closed loan, commenced rehabilitation work.
California Hotel 3501 San Pablo Avenue <i>Western Oakland</i> See also Objective #7: Provision of Supportive Housing for Seniors and Other Persons with Special Needs	Rehabilitation of 137 units: 4 2-BR 12 1-BR 119 Studios 2 manager's units	55 units for extremely low-income households (at or below 30% Area Median Income) 80 units for low-income households (between 31 – 50% Area Median Income) 25% of the units will be set aside for housing of formerly homeless people with special needs	Low/Mod Housing Fund: \$5,253,000 HOME: \$3,168,000 Total City/Agency Funds: \$8,421,000	Continue construction / rehabilitation. Anticipated completion date: October 2013.	Construction continued and is anticipated to be completed in November 2013.

Objective #2: Preservation of the Supply of Affordable Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Capital Needs Rehabilitation Program <i>Citywide</i>	Funding for rehabilitation and preservation of affordable housing. Specific projects will be selected through a competitive Notice of Funding Availability (NOFA) process during the program year.	Low income renters with incomes between 30% and 60% of AMI.	A portion of the funds allocated for the affordable housing NOFA under "Objective 1: Expansion of Supply of Affordable Housing" may be used for this purpose.	The Low-Mod Housing Fund will no longer receive new tax increment funds as of February 2012 due to the dissolution of the City of Oakland Redevelopment Agency. The City's affordable housing NOFA award will be severely curtailed or suspended in FY 2012-13.	Due to the reduced amount of funding available, no funds were awarded through this program in FY 2012-13.

Objective #2: Preservation of the Supply of Affordable Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Drasnin Manor 2530 International Blvd <i>Fruitvale/San Antonio</i> See also Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods	Rehabilitation and ownership interest transfer of 26 existing affordable rental units 3 1-BR units 9 2-BR units (inc. manager's unit) 14 3-BR units 2,958 sq. ft. commercial	25 units targeted to Low-Income households below 50% of AMI. Project Based Section 8 vouchers on 25 units will push effective affordability levels much deeper. Units are for small (2-4 persons) and large families (5+ persons). 1 Unit for mobility impaired	Low/Mod Housing Fund: \$1,800,000 Neighborhood Stabilization Program: \$1,159,031	New ownership has secured property (only the resident manager unit is occupied as of Spring 2011), and is finalizing rehabilitation scope. Start rehabilitation efforts and re-occupy property with new tenants. Anticipated completion date: April 2013 (delayed due to Redevelopment activity suspension 2011-12).	Rehabilitation work completed May 2013. Project close-out underway.
Effie's House, Phase 2 829 E 19th Street <i>Chinatown/Eastlake/San Antonio</i>	Rehabilitation of 21 units (including 1 manager's unit) 11 studios 10 1-BR units	2 units for households at or below 35% AMI 2 units for households at or below 50% AMI 17 units for households at or below 60% AMI.	Low/Mod Housing Fund: \$1,260,000 Weatherization Assistance Program: \$32,914	Estimated Rehabilitation start date: TBD Estimated Rehabilitation completion date: TBD	The rehabilitation project started December 2012 and is estimated to complete in January 2014.

Objective #2: Preservation of the Supply of Affordable Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Eldridge Gonaway 1165 Third Avenue <i>Chinatown/Eastlake/San Antonio</i>	Rehabilitation of 40 existing affordable rental units: 10 1-BR units 14 2-BR units 14 3-BR units 2 4-BR units	39 units targeted to Low- Income households below 50% of AMI. --- 24 units are for smaller families 16 units are for larger families	Low/Mod Housing Fund: \$1,655,000 Predevelopment Loan: \$35,000 ARRA-WAP: \$99,976	Transfer the property to the new ownership entity and begin construction by April 2012. Anticipated completion date: February 2013.	The property transferred ownership and began rehabilitation in December 2012. The project completed rehabilitation in February 2013.

Objective #2: Preservation of the Supply of Affordable Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
James Lee Court 690 15th Street <i>Western Oakland</i>	Rehabilitation of 26 rental units 5 1-BR 7 2-BR 10 3-BR 4 4-BR	10 units serving extremely low- income tenants (0-30% Area Median Income) 5 units serving very low-income tenants (31-50% Area Median Income) 9 units serving low-income tenants (51-80% Area Median Income) 2 units for those with incomes greater than 80% of Area median Income. Those tenants include small families (2-4 persons) and large families (5+ persons). 5 Special needs units for formerly homeless persons and families	Low/Mod Housing Fund: \$2,396,000 ARRA-WAP: \$138,131	Phase 1 of rehab commenced in September 2011 Anticipated completion date: August 2012 Phase II to begin upon approval of additional funds	Phase 1 of rehabilitation project completed in September 2012. Phase 2 of rehabilitation project commenced in September 2012 and was completed in March 2013.
Kenneth Henry Court 6455 Foothill Blvd. <i>Central East Oakland</i>	Rehabilitation of 51-unit property (including 1 manager's unit) 8 1-BR 27 2-BR 13 3-BR 2 4-BR	20 units at 40% Area Median Income 23 units at 50% Area Median Income 7 units at 60% Area Median Income	Low/Mod Housing Fund: \$1,375,000	All needed financing is to close March 2012, with construction to begin by end of that month. Anticipated construction completion: February 2013.	Rehabilitation work substantially complete by December 31, 2013, full scope completed in June 2013. Project close-out underway.

Objective #2: Preservation of the Supply of Affordable Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Lion Creek Crossings (formerly Coliseum Gardens HOPE VI) Public Housing Reconstruction, Phase IV 69 th Avenue at Snell Street <i>Elmhurst / Central East Oakland</i> See also Objective #1: Expansion of the Supply of Affordable Rental Housing	Reconstruction of 21 public housing units. (Phase IV consists of a total of 72 units: 51 units of new construction, including 1 manager's unit, in addition to the reconstruction of 21 units of family apartments. The 51 new units are recorded under "Objective #1: Expansion of the Supply of Affordable Rental Housing.")	21 units for households at less than 30% of AMI.	Low/Mod Housing Fund: \$2,980,547 HOME: \$3,499,453 <i>Total City/Agency Funds: \$6,480,000</i>	Continue lease-up of completed structure. Finalize building permits and Certificates of Occupancy.	Construction completed June 2012.
Madison Park Apartments 100 9 th Street <i>Chinatown/East Lake/San Antonio</i>	Rehabilitation of 98 units (including 2 managers' units) 20 studios 69 1BR units 9 2BR units	21 units for households at or below 30% AMI 75 units for households at or below 50% AMI	HOME: \$1,250,000 Weatherization Assistance Program: \$152,111	The construction start date was estimated to begin January 2012. The estimated construction completion date: August 2012	Construction began March 2013 and is estimated to be completed in December 2013.
Madrone Hotel 477 8 th Street <i>Western Oakland</i>	Rehabilitation of 31 Single Resident Occupancy units and one manager's unit.	All units are at or below 50% AMI	HOME: \$989,000	New rehabilitation project funded June 2012.	Rehab loan slated to close October 2013.

Objective #2: Preservation of the Supply of Affordable Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Marcus Garvey Commons 721 Wood Street <i>Western Oakland</i>	Rehabilitation of 21 units of affordable family housing. 4 1BR units 7 2BR units 8 3BR units 2 4 BR units 1 Manager's Unit	12 units reserved for very low-income households (30-50% Area Median Income) 9 units reserved for low income households (50-80% Area Median Income)	Low/Mod Housing Fund: \$352,000	Rehabilitation project delayed due NEPA findings received after this initial grant. Owner/Asset Manager seeking additional funds for remediation of this additional scope of work. Estimated construction completion date: December 31, 2013.	The Successor Agency Loan (Low/Mod Housing Funds) closed on March 1, 2013. The HOME loan closed on August 22, 2013. Construction will begin once the quiet title action to cancel the joint venture has been resolved via the courts.
Marin Way Court 2000 International Boulevard <i>Chinatown/Eastlake/San Antonio</i> See also Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods	Rehabilitation and ownership interest transfer of 20 existing affordable rental units 20 2-BR units	5 units targeted to low-income households at or below 50% AMI 14 units targeted to moderate income households at or below 80% AMI	No public funds are being allocated for this project.	Project was acquired by private investors who intend to rehabilitate and manage the property for low income rental housing. Completion expected by June 2012.	Completed rehabilitation in May, 2012. No additional City funds were used for this project.
Oakland Point Limited Partnership 915-917 Chester St., 1025 Center St., 1442-46 10 th St., 1430 10 th St., 963 Center St., 1734 9 th St., and 1501 8 th St. <i>Western Oakland</i>	Rehabilitation of 31 rental units: 6 studio units 2 1-BR units 15 2-BR units 3 3-BR units 5 4-BR units	All units to serve low-income tenants (35-50% AMI) In addition, units to serve small families (2-4 persons) and large families (5+ persons)	Low/Mod Housing Fund: \$2,397,000	Construction completion and loan close-out.	Project completed and loan closed-out October 2012.

Objective #2: Preservation of the Supply of Affordable Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Saint Andrew's Manor 3250 San Pablo Avenue <i>Western Oakland</i>	Rehabilitation of 60 units of senior affordable housing.	<p>During Section 8 term, all units will be affordable to senior households earning at or below 50% AMI. Afterwards, 10% of units will be affordable at 30% AMI, and the remainder at 50% of AMI.</p> <p>In the event of senior lien foreclosure or loss of Section 8 subsidies, rents would be allowed to increase to 60% of AMI, with minimum 20% of units remaining at 50% AMI.</p>	<p>HOME: \$1,248,300</p> <p>Weatherization Assistance Program: \$126,538</p>	<p>City HOME fund loan in the amount of \$748,000 closed in prior Action Plan period FY 2010-11. The additional \$500,000 loan of City HOME funds was awarded in March 2010 and this loan closed in September 2011. Construction began in September 2011.</p> <p>Anticipated completion date: August 2012.</p>	Construction was completed March 2013.

Objective #2: Preservation of the Supply of Affordable Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Slim Jenkins 700 Willow Street <i>Western Oakland</i>	Rehabilitation and ownership interest transfer of existing affordable rental development. 32 2-BR units	27 Low Income households (serving 50 to 80% Area Median Income) 3 Moderate Income households (serving > 80% Area Median Income) 2 Other income households (1 manager's unit) (Previous City/Agency funds may require more deeply targeted affordability levels). Units are for smaller families.	Low/Mod Housing Fund: \$1,920,000 HOME: \$669,000 ARRA-WAP: \$101,897	Complete building refinance and close HOME loan.	Building rehabilitation completed in 2012. HOME loan closing and project close-out to take place in Summer 2013.
The Savoy (Formerly: Jefferson Oaks Apartments or Oaks Hotel) 587 15th Street and 1424 Jefferson Street <i>Western Oakland</i>	Rehabilitation of 85 existing SRO units at the Oaks Hotel. Adding an additional 31 units from the Jefferson Hotel (the adjacent property), for a total of 106 units (105 affordable units).	42 units at for households at or below 25% AMI; 63 units for households at or below 50% AMI.	Low/Mod Housing Fund: \$1,100,000 HOME: \$2,500,000 Total City/Agency Funds: \$3,600,000	Complete final phase of construction (old Oaks Hotel) by October 2012.	Construction completed in May 2013. Final project close-out is anticipated in September 2013.

Objective #3: Expansion of the Supply of Affordable Ownership Housing

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
1574 – 1590 7th Street (aka: Peralta Gardens or 7th and Peralta) <i>Western Oakland</i>	New construction of 5 3-bedroom ownership townhomes, 2 of which will be affordable.	2 households with incomes at or below 100% AMI. Large families (two 3- bdrm units)	Low/Mod Housing Fund: \$127,327	Borrower declared bankruptcy and the project is infeasible.	No progress on this development in FY 2012- 13.
3701 Martin Luther King Jr. Way <i>Western Oakland</i>	Site acquisition of a lot for future ownership housing.	Households with incomes at or below 80% AMI.	Low/Mod Housing Fund: \$109,510	Soil cleanup stalled. Developer now bankrupt; City will eventually foreclose to gain control. There is no anticipated completion date at this time.	No progress on this development in FY 2012- 13.

Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)

ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Community Development District 7th & Campbell Properties (formerly Faith Housing) Corner of 7 th and Campbell Streets <i>Western Oakland</i>	Site acquisition/land assembly for proposed ownership housing development. City to foreclose on housing successor agency note to assume ownership.	To be determined	Low/Mod Housing Fund: \$689,598 Low/Mod Housing Fund (Non-Housing): \$100,000	The current owner of the properties is no longer a functioning organization. The former Redevelopment Agency purchased the LISC lien (2 nd lien holder) on the property for \$100,000 in March 2010, but plans to foreclose and assume ownership were delayed due to the suspension of Redevelopment activities. Assuming the City is able to successfully take ownership of the land, one year goals include, if the real estate market improves, the development and release an of RFP for the site. Council has approved removing the affordability restrictions from property <u>if</u> the Low/Mod funds are paid back in full.	Foreclosure on property completed in June 2013.

Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Brookfield Court 9507 Edes Avenue <i>Elmhurst</i>	Acquisition and development of the currently owned City of Oakland property for the construction of 12 wood framed duet style family residences. 2-2 BR 8-3 BR 1-4 BR 1-4 BR—accessible unit	3 households with incomes between 31-50% Area Median Income (very low-income). 9 households with incomes between 51–80 % Area Median Income (low-income).	Predevelopment Loan (FY 2009-10): \$35,000 Low/Mod Housing Fund: \$1,867,000 City Land Donation: \$421,501	The developer's Homeowner Relations team started identifying partner families to move into these homes. Construction to commence in August 2012. Anticipated completion: August 2014.	Anticipated completion date is estimated to be in the Spring 2014.

Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Byron Ave. Homes 10211 Byron Ave. <i>Elmhurst</i>	Site acquisition loan and predevelopment loan for future ownership housing units. Approximately 10 units.	4 households with incomes at or below 60% AMI; 4 households with incomes at or below 80% AMI; 2 households with incomes at or below 100% AMI. Details will be renegotiated this year.	City: \$378,000 (Site Acq. Loan) \$29,200 (Predevelopment loan)	Neighborhood opposition to development makes further goal setting impossible for foreseeable future. Council approved recommendations in FY 2009-10 NOFA: <ul style="list-style-type: none"> • Forgive accrued interest (\$184,000) • Rewrite note at 0% int. • Extend due date for 10 years. There is no anticipated completion date at this time.	In FY 12-13 there was renewed interest and development activity for the site. The developer has engaged an architect to begin preliminary site plan design for a future affordable housing development. The developer has also engaged several neighbors to discuss site access issues and the future development of the site.

Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)

ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Community Development District Pacific Renaissance Plaza Below Market Rate Units 989 Webster Street <i>Chinatown/Eastlake/San Antonio</i>	<p>Interim Plan: To address slow sales due to market concerns, Council approved to rent most of the units until the ownership market recovers, and refinance the property in order to make an interim partial payment to the City.</p> <p>Long-Term Plan: Sale of 50 one, two, and three bedroom condominium units to moderate income homebuyers</p> <p>Portion of proceeds to reimburse City litigation expenses incurred per a 2007 settlement agreement.</p>	<p>Interim Plan: Small Family, Moderate Income (<80% AMI) households</p> <p>Long-Term Plan: Fifty households earning up to 100% AMI on initial sale of units.</p> <p>Subsequent re-sale of the units are limited to households earning up to 120% AMI.</p> <p>Affordability period is 45 years, enforced by agreement with East Bay Asian Local Development Corporation.</p>	<p>Reimbursement of City General Fund</p> <p>Homebuyers may be eligible to utilize the City's First-Time Homebuyer Mortgage Assistance Program to purchase units</p>	<p>One year goals include renting the majority of the units, provide training/counseling to encourage renters to eventually purchase units if possible, and keep several units listed for sale to test the ownership market for signs of recovery.</p>	<p>Property was refinanced in order to buy room for the market to recover. In the interim, a number of units will be rented to households earning less than 80% of AMI, while several units are being kept vacant to test the market.</p> <p>Interest appears to be picking up in these units—after only selling a single unit early on in FY12, six units were sold to qualified moderate income households in the last six months of FY13.</p>

Objective #3: Expansion of the Supply of Affordable Ownership Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Redwood Hill (formerly Calaveras Townhomes) 4862-4868 Calaveras <i>Outside Community Development Districts</i>	New construction of 17 or 18 affordable for-sale townhomes. Owner has agreed to sell to Habitat for Humanity East Bay once NOFA funds are in place.	Households with incomes at or below 110% AMI.	Low/Mod Housing Fund Site Acquisition Loan: \$1,310,000	Habitat for Humanity East Bay will begin to identify available State and local funds and apply accordingly. Construction is unlikely to start before the end of this reporting year.	The organizations with rights to develop the land has proposed an affordable rental development instead of a ownership development since there has been difficulty developing the property given ownership market conditions over the last few years.
Sausal Creek Townhomes 2464 26 th Avenue <i>Fruitvale/San Antonio</i>	New construction of 17 ownership units. 9 2 BR units 8 3 BR units	Moderate Income households at or below 100%AMI. (Restrictions on subsequent re-sales are at or below 120% AMI.) Units are for small to large families.	Low/Mod Housing Fund: \$2,329,000 Low/Mod Housing Fund 2006 Housing Bond: \$1,651,000	Construction completed in Spring 2008; Due to market conditions, unit sales have been very slow. With additional funds provided in Spring 2009, sales prices have been lowered on remaining units. One year goals include selling the remaining 3 units.	One of the three remaining units sold. Interest by potential homebuyers appears to be picking up.
Wood Street Affordable Housing Parcel Wood Street between 18 th and 20 th Streets <i>Western Oakland</i>	New construction of between 140 and 170 affordable housing units.	Not yet determined	Low/Mod Housing Fund: \$8,000,000	RFP for developer currently on hold due to housing market conditions. Anticipated completion date: Unknown at this time.	RFP still on hold due to market conditions. Completion date unknown at this time.

Objective #4: Expansion of Ownership Opportunities for First-Time Homebuyers

ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Community Development District Down Payment Assistance Program for Public Safety Officers and Oakland Unified School District Teachers <i>Citywide</i>	Assist first-time Oakland homebuyers employed by the Oakland Police Dept., Fire Services Agency, or OUSD teachers with deferred loans; 15% of the purchase price not to exceed \$50,000.	Public safety officers and OUSD teachers with incomes \leq 120% of Area Median Income.	No new funding. Program will use first-time homebuyer program funds on a first-come served basis.	Due to the dissolution of the Redevelopment Agency, funding is no longer available for this program since early 2012. In the case that the uncommitted Redevelopment prior year funds are allowed to be deposited into the Low/Mod Housing Fund, the Housing and Community Development Department will consider additional funding for this program.	No funding and no activity in FY 2012-13.

Objective #4: Expansion of Ownership Opportunities for First-Time Homebuyers (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
First-Time Homebuyers Mortgage Assistance Program (MAP) <i>Citywide</i> <i>West Oakland Redevelopment Area</i> <i>Central City East Redevelopment Area</i>	<p>Assist first-time homebuyers with deferred loans.</p> <p>For low-income buyers, ($\leq 80\%$ of AMI): 30% of purchase price not to exceed \$75,000;</p> <p>For moderate income buyers (81-100% AMI): 20% of purchase price not to exceed \$50,000..</p>	First-time homebuyers with incomes $\leq 100\%$ of Area Median Income.	Low/Mod Housing Fund: No Funds Available	<p>Due to the dissolution of the Redevelopment Agency, funding is no longer available for this program. In the case that the uncommitted Redevelopment prior year funds are allowed to be deposited into the Low/Mod Housing Fund, the Housing and Community Development Department will consider additional funding for these programs.</p> <p>Proceeds from prior program loans repaid are currently under consideration for FY 2013-14 program activities.</p>	The City made a single loan to assist one first time homebuyer family purchasing a BMR condo, \$73,150. No new funding was received for the program and no activity in this program is presently planned for FY 2013-14.

Objective #4: Expansion of Ownership Opportunities for First-Time Homebuyers (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
First-Time Homebuyers CalHOME Program <i>Citywide</i>	Operated by State Department of Housing and Community Development. Assist first-time homebuyers with deferred loans – 30% of purchase price, not to exceed \$60,000.	First-time homebuyers with incomes ≤ 80% of Area Median Income.	CA Dept. of Housing and Community Development CalHOME State grant: \$420,000	Offer financial assistance to 7 first-time homebuyers.	Made loans to assist 12 first time homebuyer families purchase a home in FY2012-13. State funds provided \$602,065 to accomplish this. Received approval to extend the grant program one year (from 10/19/13 to 10/19/14) to assure remaining grant funds can be fully spent before program end date.
First-Time Homebuyer Shared Appreciation Mortgage Program (SAM) (aka Local Housing Trust Fund) <i>Citywide</i>	Operated by State Department of Housing and Community Development. Assist first-time homebuyers with deferred loans – 30% of purchase price, not to exceed \$75,000.	First-time homebuyers with incomes ≤ 80% of Area Median Income.	CA Dept. of Housing and Community Development Local Housing Trust Fund Grant: \$1,023,750	Offer financial assistance to exhaust the program grant funds by January 2014.	Developed new program guidelines that were available to the public in August of 2013.
Homeownership Education Program <i>Citywide</i>	Assist potential first-time homebuyers by offering certificated homeownership classes, that aid in qualifying for special loan programs offered by program lender partners.	Potential first-time homebuyers.	Internal staff. No outside costs or funding.	Offer monthly homebuyer-education classes to a total of 400-600 potential first-time homebuyers annually.	In FY 2012-13, the City issued certificates of completion to 250 homebuyer participants. There were fewer attendees given the limited amount of funds available to assist participants in the program.

Objective #5: Improvement of the Existing Housing Stock

ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Community Development District Access Improvement Program <i>7 Community Development Districts</i> See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	Grants for accessibility modifications to one to four unit properties where owners or tenants have disabilities.	Physically challenged owners or tenants with incomes at or below 50% AMI.	CDBG: \$172,374	Complete accessibility modifications for 8 units in FY 2012-13.	Application Received - 22 Application Approved – 22 Project Completed - 14
Automatic Gas Shut-off Valve Program West Oakland Redevelopment Project Area <i>Portions of Western Oakland (West Oakland Redevelopment Area)</i>	Provide grants to install automatic shut- off valves at existing gas meters throughout the West Oakland Redevelopment Area	Homeowners with incomes at or below 80% AMI	Low/Mod Housing Fund: \$0	Due to the dissolution of the Redevelopment Agency, funding is no longer available for this program. In the case that the uncommitted Redevelopment prior year funds are allowed to be deposited into the Low/Mod Housing Fund, the Housing and Community Development Department will consider additional funding for these programs.	This program is no longer active.

Objective #5: Improvement of the Existing Housing Stock (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Central City East Housing Rehabilitation Program Central City East Redevelopment Project Area <i>Portions of Elmhurst, Central East Oakland, Fruitvale/San Antonio/ Chinatown/East Lake/San Antonio (Central City East Redevelopment Area)</i>	Provide loans up to \$150,000 for rehabilitation work on homes owned and occupied by low and moderate income households in the Central City East Redevelopment Area.	Household income must be at below or below 100% of the area median income.	Low/Mod Housing Fund: \$0	Due to the dissolution of the Redevelopment Agency, funding is no longer available for this program. In the case that the uncommitted Redevelopment prior year funds are allowed to be deposited into the Low/Mod Housing Fund, the Housing and Community Development Department will consider additional funding for these programs.	This program is no longer active.
Emergency Home Repair Program <i>Citywide</i>	Emergency repair and rehabilitation financing (deferred loan). Minimum loan of \$2,500 and maximum of \$15,000.	Homeowners with incomes at or below 50% Area Median Income.	CDBG \$117,574	10 units will be assisted in FY 2012-13.	Application Received – 11 Application Approved – 1 Project Completed - 0

Objective #5: Improvement of the Existing Housing Stock (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Housing Rehabilitation Loan Program (aka: Home Maintenance and Improvement Program) <i>7 Community Development Districts</i>	Housing rehabilitation financing (deferred loans at zero interest) of up to \$75,000 for rehabilitation of 1- to 4-unit owner-occupied properties. This program also funds all the costs for work write-ups, underwriting, construction monitoring and loan servicing for the entire housing rehabilitation program.	Homeowners with incomes at or below 80% Area Median Income.	CDBG \$2,536,041 Other program income from prior years will be used to supplement these funds.	20 units will be assisted in FY 2012-13.	Application Received – 56 Application Approved – 23 Project Completed - 22
Lead Safe Housing Program <i>7 Community Development Districts</i>	Grants for seniors, disabled and some families for exterior painting and lead hazard remediation.	Senior and disabled homeowners with incomes at or below 50% AMI and homeowners with children under 6 years of age with incomes at or below 80% AMI.	CDBG: \$52,176	35 units will be repainted after lead hazards are removed or contained in FY 2012-13.	Application Received – 37 Application Approved – 24 Project Completed - 26
Minor Home Repair Program <i>Citywide</i>	Grants to seniors or disabled homeowners for minor home repairs up to \$2,499. Administered by Alameda County.	Senior and disabled homeowners with incomes at or below 50% AMI.	CDBG: \$201,632	90 units will be assisted in FY 2012-13.	Application Approved – 201 Project completed - 201

Objective #5: Improvement of the Existing Housing Stock (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Neighborhood Housing Revitalization Program <i>7 Community Development Districts</i>	Provides financial assistance to owners of vacant and blighted residential properties of one to four units that are in need of extensive rehabilitation to correct code violations and to eliminate safety and health hazards. Maximum loan amount is \$150,000 at 10% deferred interest for 2 years.	All interest is waived if the property is sold to a first-time homebuyer with household income not exceeding 120% AMI	Rental Rehabilitation Program Income : \$1,000,641	The number of units assisted within the Consolidated Plan Period FY 2012-13 is to be determined.	Application Received - 00 Application Approved - 00 Project Completed - 02

Objective #5: Improvement of the Existing Housing Stock (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Rebuilding Together Oakland: Home Repairs and Safety/Accessibility Modifications for Senior Citizens <i>Eastlake/San Antonio/Chinatown, Fruitvale/San Antonio, Elmhurst</i>	Repairs that address safety, comfort and accessibility for low-income, senior or disabled homeowners	Low income seniors (at or below 80% Area Median Income) and /or disabled homeowners	CDBG: \$104,750	20 Housing Units 5 in District 2 5 in District 5 10 in District 7	20 Housing Units 5 in District 2 5 in District 5 10 in District 7
Rebuilding Together Oakland: Blight Removal via Cleanup, Repair and Landscaping of Homes and Open Spaces <i>North Oakland</i>	Minor repairs, debris removal and landscaping of owner-occupied homes, as well as debris removal and beautification of open spaces	Low- and moderate-income homeowners and residents of low- and moderate-income residential areas	CDBG: \$20,750	20 Housing Units Street Improvements	20 Housing Units 2 Street Medians Neighborhood cleanup
Residential Receivership Program <i>Citywide</i>	A program designed to facilitate the rehabilitation of vacant and/or blighted substandard properties. A third party "Receiver" is appointed by the courts to obtain the financing, design and construction services necessary to rehabilitate blighted properties throughout the City of Oakland.	Existing City liens are waived if the property is sold to a household with income not exceeding 120% AMI and/or long term affordability restrictions are recorded against the property.	Receiverships are financed by the Receiver.	The number of units assisted within the Consolidated Plan Period FY 2012-13 is to be determined.	There was no activity in this program during FY 2012-13.

Objective #5: Improvement of the Existing Housing Stock (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Seismic Safety Incentive Program <i>Portions of all 6 Community Development Districts (all but Central Oakland). Program in the following Redevelopment Project Areas: Coliseum Broadway/MacArthur Central City East West Oakland</i>	Provides matching grants to owner-occupied low-income households for the completion of seismic retrofit repairs.	Homeowners with incomes at or below 80% AMI	Low/Mod Housing Fund: \$0	Due to the dissolution of the Redevelopment Agency, funding is no longer available for this program. In the case that the uncommitted Redevelopment prior year funds are allowed to be deposited into the Low/Mod Housing Fund, the Housing and Community Development Department will consider additional funding for these programs.	This program is no longer active.
Weatherization and Energy Retrofit Program <i>Citywide</i>	Loans to owner-occupied low-income and moderate-income households to provide weatherization and baseline energy efficiency upgrades. Minimum loan of \$6,500 maximum loan of \$30,000 Deferred loans @ 0% interest.	Homeowners with income at or below 80% Area Median Income	CDBG-R: \$880,863 (Total project budget: \$1,450,121.)	Complete energy retrofits and efficiency modifications for 20 units within the Consolidated Plan Period FY 2012-13.	Application Received - 44 Application Approved – 21 Project Completed - 23

Objective #5: Improvement of the Existing Housing Stock (cont'd)

ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Community Development District Weatherization Assistance Program <i>Citywide</i>	<p>Funding for energy efficiency upgrades to affordable housing.</p> <p>Multifamily dwellings in the City of Oakland responding to a Notice of Funding Availability (NOFA) will be served on a first-come-first-served basis.</p> <p>City is subcontracting with Alameda County to weatherize single-family dwellings throughout Alameda County (except Albany, Berkeley and Emeryville).</p>	<p>Households earning less than 75% of State Median Income with eligible energy efficiency needs.</p> <p>49% of program funds will target households in multifamily dwellings in the City of Oakland; 51% of program funds will target households in single-family dwellings throughout Alameda County including the City of Oakland.</p>	<p>Department of Energy, American Recovery and Reinvestment Act of 2009 (ARRA) Weatherization Assistance Program grant through the California State Community Services and Development Agency: \$3,981,177</p>	<p>Per ARRA deadline, completion of program by September 30, 2012 including 100% expenditure of funds and weatherization of 780 multifamily units in Oakland and 278 single-family units throughout Alameda County including Oakland.</p>	<p>All goals for this program were accomplished at the beginning of the FY 2012-13. This program is now no longer active.</p>

Objective #5: Improvement of the Existing Housing Stock (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
West Oakland Owner Occupied Rehabilitation Program West Oakland Redevelopment Area <i>Portions of Western Oakland (West Oakland Redevelopment Area)</i>	Housing rehabilitation financing (deferred loans at zero interest) of up to \$125,000 for rehabilitation of one to four unit owner-occupied properties. This program also funds all the costs for work write-ups, underwriting, construction monitoring and loan servicing for the entire housing rehabilitation program.	Homeowners with incomes at or below 80% AMI	Low/Mod Housing Fund: \$0	Due to the dissolution of the Redevelopment Agency, funding is no longer available for this program. In the case that the uncommitted Redevelopment prior year funds are allowed to be deposited into the Low/Mod Housing Fund, the Housing and Community Development Department will consider additional funding for these programs.	This program is no longer active.

Objective #5: Improvement of the Existing Housing Stock (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
West Oakland Vacant Housing and Rehabilitation Program (WO-VHARP) West Oakland Redevelopment Area <i>Portions of Western Oakland (West Oakland Redevelopment Area)</i>	Provides loans up to \$200,000 to owners of vacant and blighted residential properties of one to four units that are in need of extensive rehabilitation to correct code violations and to eliminate safety and health hazards and the owners of under-utilized, vacant parcels to construct new one to four residential units.	<p>Vacant parcels, single family dwellings or one-to-four residential units.</p> <p>Must be vacant, blighted and have one or more major code violations If sold, the property must be sold to a household with an income not exceeding 120% of the established HUD median income limits for Alameda County.</p>	Low/Mod Housing Fund \$0	Due to the dissolution of the Redevelopment Agency, funding is no longer available for this program. In the case that the uncommitted Redevelopment prior year funds are allowed to be deposited into the Low/Mod Housing Fund, the Housing and Community Development Department will consider additional funding for these programs.	This program is no longer active.

Objective #5: Improvement of the Existing Housing Stock (cont'd)

The following table provides additional information regarding loan applications and their status for the housing rehabilitation programs offered by the Community and Economic Development Agency.

(NOTE: Programs with dark grey shading have been discontinued due to the dissolution of the Redevelopment Agency.)

HOUSING REHABILITATION PROGRAM	APPLICATIONS RECIEVED	APPLICATIONS APPROVED	CONSTRUCTION STARTED (UNITS) 7/1/12 – 6/30/13	CONSTRUCTION COMPLETED (UNITS) 7/1/12 – 6/30/13		UNITS UNDERWAY AS OF 6/30/13
				Goal	Actual	
Access Improvement Program	22	22	25	8	14	12
Automatic Gas Shut-off Valve Program	X	X	X	X	X	X
Central City East Housing Rehabilitation Program	X	X	X	X	X	X
Emergency Home Repair Program	11	1	1	10	0	2
Housing Rehabilitation Loan Program (aka: Home Maintenance and Improvement Program)	56	23	24	20	22	11
Lead Safe Housing Program	37	24	29	35	26	19
Minor Home Repair Program	201	201	201	90	201	N/A
Neighborhood Housing Revitalization Program	0	0	0	0	2	0
Seismic Safety Incentive Program	X	X	X	X	X	X
Weatherization and Energy Retrofit Program	44	21	26	20	23	11
West Oakland Owner Occupied Housing Rehabilitation Program	X	X	X	X	X	X
West Oakland Vacant Housing Rehabilitation Program	X	X	X	X	X	X

Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families

ACTIVITY NAME LOCATION	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Community Development District Family Unification Section 8 Rental Assistance <i>Citywide</i>	Rental assistance to families and individuals, including eligible emancipated Foster Youth.	Eligible Family Unification Program (FUP) households that are involved with the Alameda County Child and Family Services (CFS) department who lack adequate housing and have incomes \leq 50% AMI.	0 new Section 8 vouchers.	<p>OHA reserves 50 slots for FUP program families. Each year the program experiences turn over. In FY 2012-13, OHA anticipates that it will admit 10 new FUP participants to fill vacated program slots and maintain 100% lease-up.</p> <p>OHA will apply for additional vouchers if new funding is made available.</p>	<p>No new funding received in FY 2012-13.</p> <p>OHA has reserved 50 vouchers for FUP eligible participants</p> <p>The FUP program ended FY 2012-13 at 86% lease up</p>

Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Local Housing Assistance Program (LHAP) <i>Citywide</i>	Alternate form of rental assistance for residents impacted by OHA administered public housing disposition.	Current Public Housing participants, ≤30% to above 80% of AMI in units approved for disposition.	OHA will fund Local Housing Assistance Programs (LHAP) assisted units from the Authority's MTW block grant.	OHA provides LHAP assistance to current Public Housing participants who are not eligible or who would be negatively impacted by the conversion to Section 8, as a result of the disposition and permanent removal of a public housing program unit they occupy. OHA currently assists 51 families under the LHAP program and estimates that 10 additional families will require assistance under LHAP in FY 2012-13, if OHA's public housing senior site inventory is approved for conversion to Section 8.	In FY 2012-13, OHA assisted 38 families in the Local housing Assistance (LHAP) programs.

Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Project-Based Rental Assistance <i>Citywide</i>	Rental assistance to families and individuals.	Renter households with incomes at \leq 50% AMI.	0 new Section 8 vouchers. Project-Based Vouchers (PBV) are funded from OHA's existing tenant-based voucher allocation. Currently, the Authority has set aside 2,650 units of voucher funding for the Project Based Voucher (PBV) Program.	At the end of FY 2011-12, OHA will have approximately 1,040 units under PBV program HAP contracts. During FY 2012-13, OHA anticipates that 406 additional units set aside for the PBV program, will execute HAP contracts and become available to house families. In FY 2012-13, OHA will also consider awarding an additional 150 PBV vouchers to new projects that support "housing first" initiatives, service enhanced housing, affordable housing rehabilitation / preservation and for SRO units.	No new funding received during FY 2012-13. Project Based Vouchers (PBV) are funded from the Authority's existing tenant-based voucher allocation. During FY 2012-13, OHA awarded 25 new PBV units to one project at 1701 MLK Way. Another 89 PBV units were added to OHA scattered site portfolio. OHA ended FY 2012-13 with 1,256 PBV units under HAP.

Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Section 8 Mainstream Program <i>Citywide</i>	Rental assistance for disabled families and individuals.	Disabled renters with incomes at ≤ 50% AMI.	0 new Section 8 vouchers.	No new funding is anticipated. OHA will maintain 100% lease-up based on available funding and apply for additional vouchers if new funding is made available in FY 2012-13.	No new funding received during the FY 2012-13. The Housing Authority is budgeted for 175 Mainstream Program Vouchers and ended FY 2012-13 with 127 leased which represents a 72.6% lease up.
Section 8 Rental Assistance Program <i>Citywide</i>	Rental assistance to families and individuals.	Renters with incomes ≤ 50% AMI.	0 new Section 8 vouchers.	No new funding is anticipated. OHA will maintain 100% lease-up based on available funding and apply for additional vouchers if new funding is made available in FY 2012-13.	No new funding received during FY 2012-13. OHA is budget for 12,687 Housing Choice Vouchers and ended FY 2012-13 at 97.9% leased up. We anticipate this number do decrease due to Federal Funding cuts combined with Sequestration. We ceased issuing any new vouchers in the spring of 2013.

Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Shelter Plus Care Rental Assistance <i>Citywide</i>	Rental assistance to families and individuals.	Formerly homeless renters with disabilities and incomes at $\leq 50\%$ AMI.	0 new Section 8 vouchers.	Alameda County is the lead agency in applying for Shelter Plus Care Vouchers. The OHA will continue to support Alameda County to renew existing vouchers. No increase in the amount of program funding is anticipated for FY 2012-13.	No new funding received during the FY 2012-13.

Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Sponsor Based Housing Assistance Program <i>Citywide</i>	Align OHA's programs to address community need by leveraging new resources and expertise to serve traditionally underserved populations.	Individuals and families that do not normally benefit from OHA's programs because they need services to successfully maintain housing. Income requirements consistent with Section 8 rules ≤50% of AMI	0 new Section 8 vouchers. OHA will fund assisted units from the Authority's MTW block grant.	OHA will provide assistance to 175 households in this program. A partner agency serves as recipient of SBHAP funding from OHA and is responsible for making payment to participants, on their behalf to a housing provider. OHA will maintain 100% lease-up based on available funding.	As of May 31, 2013, 139 individuals were receiving housing assistance through the program. Seventy-four (74) of these individuals came from homeless encampments and the remaining forty-two (42) had recently exited the criminal justice system, including twenty three (23) youth. This program is severely impacted by Federal Funding Cuts combined with Sequestration, and additionally to language included in the President's Appropriation request for 2014 which would have the net effect of defunding the program altogether.

Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Tenant Protection Vouchers <i>Citywide</i>	Section 8 rental assistance for residents at public housing scattered sites units to be converted to project based voucher assistance.	Low income households at or below 80% of AMI	<p>In FY 2012-13 OHA expects to receive 8 Tenant Protection Vouchers (TPVs) for expiring Moderate Rehabilitation program contracts at Drachma Housing and up to 383 TPVs for disposition of public housing senior sites, pending HUD approval.</p> <p>OHA received 174 TPV's for residents of expired Moderate Rehabilitation program units at 3 sites, The Oaks Hotel (55); Madison Park Apartments (96); Hugh Taylor House (25), and Drachma Housing (4) in FY 2011-12</p>	Upon receipt of funding, OHA will issue Tenant Protection Vouchers to the eligible occupants of expiring Moderate Rehabilitation Program contracts, new HUD Multi-family program opt-outs, or public housing units approved for disposition.	During FY 2012-13, OHA received 118 Tenant Protection Vouchers. A total of 9 were allocated for expiring Mod rehab units and a total of 109 were allocated for an opt out Section 202 senior site.

Objective #6: Provision of Rental Assistance for Extremely and Very Low Income Families (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Veterans Affairs Supportive Housing (VASH) <i>City-wide</i>	Rental assistance for homeless veterans.	Homeless veterans with incomes at \leq 50% AML.	<p>OHA received 105 Veterans Administration Supportive Housing (VASH) program vouchers in FY 2009-10; 50 VASH program vouchers in FY 2010-11.</p> <p>OHA applied for 50 additional VASH vouchers in FY 2011-12.</p>	<p>OHA will work to achieve 100% lease-up based on funding received for 205 VASH program vouchers.</p> <p>OHA will apply for additional vouchers if new funding is made available in FY 2012-13.</p>	<p>HUD awarded OHA an additional 65 VASH vouchers in FY 2012-13.</p> <p>The Housing Authority is budgeted for 265 VASH program vouchers, reserved for eligible chronically homeless veterans participating in VA case management and supportive service programs.</p> <p>In FY 2012-23, with the additional allocation of 65 in June 2013, OHA leased up 66.4% or 176 VASH vouchers.</p>

Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
6th & Oak Senior Homes (new name: Merritt Crossings) 609 Oak Street <i>Chinatown/Eastlake/San Antonio</i>	New construction of 70 affordable units for senior citizens. 5 Studios 55 1-BR 10 2-BR (including 1 manager's unit)	13 units at or below 30% Area Median Income 56 units between 31-50% Area Median Income	Low/Mod Housing Fund: \$3,699,656 HOME: \$3,850,344 Total City/Agency Funds: \$7,550,000	Complete construction and building lease-up.	Building permit finalized July 2012. Project closed- out.
720 E. 11th Street Project (aka Clinton Commons) 720 E. 11 th Street <i>Chinatown/Eastlake/San Antonio</i> See also Objective #1: Expansion of the Supply of Affordable Rental Housing	New construction of 55 rental units: 18 1-BR units 17 2-BR units 17 3-BR units 3 4-BR units	25 units for households at or below 35% AMI (including 3 HOPWA units and 6 MHSA units); 12 units for households at or below 50% AMI (including 2 MHSA unit); 17 units for households at or below 60% AMI.	HOME: \$1,669,500 Low/Mod Housing Fund: \$5,827,497 <i>Total City/Low/Mod Funds:</i> \$7,497,000	Continue with construction, which is anticipated to be completed by August 2012.	Construction completed and full lease up was achieved in October 2012.
Access Improvement Program <i>7 Community Development Districts</i> See also Objective #5: Improvement of the Existing Housing Stock	Grants for accessibility modifications to one to four unit properties where owners or tenants have disabilities.	Physically challenged owners or tenants with incomes at or below 50% AMI.	CDBG: \$172,374	Complete accessibility modifications for 8 units in FY 2012-13.	Application Received - 22 Application Approved - 22 Project Completed - 14

Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
California Hotel 3501 San Pablo Avenue <i>Western Oakland</i> See also Objective #2: Preservation of the Supply of Affordable Rental Housing	Rehabilitation of 137 units: 4 2-BR 12 1-BR 119 Studios 2 manager's units	55 units for extremely low-income households (at or below 30% Area Median Income) 80 units for low-income households (between 31 – 50% Area Median Income) 25% of the units will be set aside for housing of formerly homeless people with special needs	Low/Mod Housing Fund: \$5,253,000 HOME: \$3,168,000 Total City/Agency Funds: \$8,421,000	Continue construction/rehabilit ation. Anticipated completion date: October 2013.	Construction continued and is anticipated to be completed in November 2013.

Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Cathedral Gardens 688 21 st Street <i>Western Oakland</i> See also Objective #1: Expansion of the Supply of Affordable Rental Housing	New construction and rehabilitation for former rectory building totaling 100 new units. 35 1-bdrm units 34 2-bdrm units 31 3-bdrm units Services provided for developmentally disabled, persons with HIV/AIDS, and formerly homeless households with a mental disability	40 units for extremely low income households at or below 30% AMI. 30 units for low income households at or below 50% AMI 29 units for moderate income households at or below 60% AMI 69 units for small families 31 units for large families 5 units for homeless households 15 units for people with developmental disabilities or HIV/AIDS	Low/Mod Housing Fund: \$9,840,000 OHA: 40 Project-Based Section 8 Vouchers	Apply for and receive 9% Low Income Housing Tax Credits. Anticipate construction to start by June, 2012. Anticipated completion date is February, 2014.	Started construction in July, 2012. Anticipated construction completion date is May, 2014.

Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Center for Independent Living <i>Citywide</i> See also Objective #9: Removal of Impediments to Fair Housing	Provide housing services and housing-rights counseling to persons with physical and mental disabilities.	Persons with disabilities and incomes at 30-50% area median income.	CDBG: \$16,600	Provide tenant/landlord counseling and dispute resolution services to 75 disabled low-income residents. Provide landlord education seminar to 15 landlords and/or real estate professionals. Provide tenant education seminar to 15 disabled low-income residents and/or their households.	Information and referral for residents with disabilities: 57 Education seminar to landlords and/or real estate professionals: 0 Education seminar to disabled low income residents and/or their households: 270
Emancipation Village 3800 Coolidge Avenue <i>Outside Community Development Districts</i>	New construction of 32 units (including 2 manager units) for emancipated foster youth/foster youth nearing emancipation	30 emancipated young adults between 18-24 at or below 35% AMI	Low/Mod Housing Fund: \$1,652,000	Prepare construction drawings for building permit submittal and close all construction loans. Construction state date: March 2012. Anticipated completion date: May 2013.	Construction completed May 2013. Project close-out underway.

Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Harrison Senior 1633 Harrison St. & 321 17 th St. <i>Western Oakland</i>	New construction of 73 affordable senior rental units and 1 managers unit (total of 74 units constructed).	29 senior households with incomes at or below 35% AMI; 44 senior households at or below 50% AMI; 1 manager's unit	Low/Mod Housing Fund: \$5,133,000	Construction start date: January 2011. Anticipated completion date: September 2012	Construction completed in August 2012 and project close-out was completed in June 2013.
Home Equity Conversion Counseling for Senior Citizens Eden Council for Hope and Opportunity <i>Citywide</i> See also the table in Narrative D: Prevention and Reduction of Homelessness	Counsel home-owning senior citizens on reverse mortgages to enable them to remain in their homes.	Home owners at least 62 years old.	CDBG: \$17,430	Provide information and referrals to 42 low-income senior citizens; Provide in-depth counseling regarding the risks and benefits of reverse mortgages to 25 low-income senior citizens; Conduct outreach regarding the Home Equity Conversion Program.	Information and referrals to low-income seniors: 28 In-depth counseling regarding the risks and benefits of reverse mortgages to low-income senior citizens: 16 Outreach to low-income senior citizens regarding the Home Equity Conversion Program (via informational sessions and flyers to targeted locations): 2,664

Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Housing Opportunities for Persons With AIDS (HOPWA) <i>Alameda County & Contra Costa County</i>	<p>Housing and continued services for individuals and family members of individuals living with HIV/AIDS.</p> <p>Acquisitions of housing units</p> <p>New construction Of permanent housing for persons with HIV/AIDS.</p>	Persons with HIV/AIDS and incomes at 30-50% AMI, and their families.	<p>HOPWA \$2,673,899 Alameda County:</p> <p align="right">\$1,962,291</p> <p>Contra Costa County:</p> <p align="right">\$658,130</p> <p>City Administration:</p> <p align="right">\$53,478</p> <p>(Actual allocations are based on the proportion of People Living With AIDS (PLWA) in 2011 as reported for Alameda County and Contra Costa County by the California Department of Public Health.) [http://www.cdph.ca.gov/data/statistics/Pages/OAHIVAIDStatistics.aspx]</p>	<p>Assist approximately 370 persons with HIV/AIDS and their families with housing assistance (tenant based rental assistance, permanent supportive housing, and other housing).</p> <p>Between 60-90 households will gain access to stable permanent housing.</p> <p>Provide information and referral for HIV/AIDS services and housing to at least 370 individuals or households.</p> <p>Maintain capacity of existing housing inventory and support services.</p> <p>Complete acquisition, rehabilitation and/or development of 15-18 set-aside HIV/AIDS living units.</p> <p>Continue acquisition, rehabilitation and/or development of additional set-aside of 20 HIV/AIDS living units.</p>	<p>Approximately persons with HIV/AIDS and their families received housing assistance during the fiscal year.</p> <p>Ninety-eight persons with HIV/AIDS obtained permanent housing.</p> <p>Approximately persons 700 with HIV/AIDS and their families received housing resource information and services during the fiscal year.</p> <p>During the operating year an additional 15 HOPWA units were completed for persons with HIV/AIDS.</p> <p>Alameda County and Contra Costa have several units in the development which will result in 27 HOPWA units.</p>

CAPER Narrative, FY 2012-13

Narrative C: Affordable Housing

September 30, 2013

Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Lion Creek Crossings (formerly Coliseum Gardens HOPE VI) New Senior Rental Housing, Phase V 6710 – 6760 Lion Way <i>Elmhurst / Central East Oakland</i> See also Objective #1: Expansion of the Supply of Affordable Rental Housing	New construction of 127 senior rental units and one manager's unit (total of 128 new units). 2-Studios 117-1BR units 9-2BR units (including one manager's unit)	70 Extremely Low- Income units (0-30% AMI) 57 Very Low-Income units (31-50% AMI) -- 127 Project-Based Section 8 vouchers 1 On-site manager's unit	TBD	Determine funding sources and apply for other sources of funding to secure full project funding.	Project financed without City funding due to tight development timelines and relatively low amount of City funding available.
Project Pride 2577-79 San Pablo Ave. <i>Western Oakland</i> See also Objective #8: Prevention of Foreclosures and Stabilization of Neighborhoods and the table in Narrative D: Prevention and Reduction of Homelessness	Rehabilitation of 20 units of housing – 6 transitional housing units and 14 NSP- assisted permanent housing units with supportive services.	All units to serve small families at or below 35% Area Median Income	Low/Mod Housing Fund: \$1,600,000 Neighborhood Stabilization Program: \$904,000	Currently under construction. Anticipated completion date: September 2012.	Completed construction in September, 2012.

Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Board Up/Clean Up <i>Citywide</i>	Board up and clean up vacant foreclosed properties	Vacant properties	CDBG: \$124,500	Board up 30 vacant foreclosed properties	No funds were expended in this program area for FY 2012-13.
Door-to-Door Foreclosure Prevention Outreach Causa Justa::Just Cause OCCUR Allen Temple Martin Luther King Jr. Freedom Center Family Bridges <i>Portions of Western Oakland, Central East Oakland, and Elmhurst (NSP Areas)</i>	Door to door outreach on foreclosure prevention services and information to households in foreclosure	Homeowners and tenants in defaulted and foreclosed properties.	Code Enforcement foreclosed properties penalty funds \$150,000	Reach 3,500 households through January 2014	Reached 2,384 households by June 30, 2013
Foreclosed Properties Blight Abatement <i>Portions of Western Oakland, Central East Oakland, and Elmhurst (NSP Areas)</i>	Enforce proactive maintenance requirements on lenders of foreclosed properties and City registration requirements	N/A	Code Enforcement Foreclosed Properties Registration Program fees and other charges \$750,000	Banks to proactively maintain and register properties	Registered 1,745 properties, inspected 949 properties. Per City inspections, 94% of properties timely abated.
Foreclosure Counseling and Prevention Community Housing Development Corporation of North Richmond Housing and Economic Rights Advocates <i>Citywide</i>	Provide informational mailings, outreach and counseling services to homeowners facing possible foreclosure. Provide housing counseling and legal services for homeowners in foreclosure	Low- and moderate-income homeowners in foreclosure.	Code Enforcement foreclosed properties penalty funds \$150,000	Reduce number of foreclosures, and reduce losses to those homeowners for whom foreclosure cannot be prevented.	Served 213 households by June 30, 2013

Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Neighborhood Stabilization Program - Owner <i>Portions of Western Oakland, Central East Oakland, and Elmhurst</i>	See individual program descriptions below				
Oakland Community Land Trust <i>Portions of Western Oakland, Central East Oakland, and Elmhurst</i>	Acquisition and rehabilitation of foreclosed single family residential units. Properties will be held in a Community Land Trust to provide permanently affordable homeownership.	Households between 50% and 80% of area median income.	Neighborhood Stabilization Program: \$5,025,000	Rehabilitate and sell at least 5 homes for owner occupancy.	Since program inception: 17 foreclosed units acquired. Of those units: 16 units rehabilitated 1 unit demolished Of rehabilitated units: 2 units sold 1 unit sale pending

Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Pre and Post Purchase Counseling The Unity Council <i>Portions of Western Oakland, Central East Oakland, and Elmhurst</i> See also the table in Narrative D: Prevention and Reduction of Homelessness	Provide informational mailings, outreach and counseling services to homeowners facing possible foreclosure.	Low- and moderate- income homeowners.	CDBG: \$83,000	Reduce number of foreclosures, and reduce losses to those homeowners for whom foreclosure cannot be prevented.	Foreclosure prevention and intervention education in group workshops: 60 clients. Provide individual basic financial planning and money management counseling to distressed homeowners: 120 clients. Conduct targeted outreach via telemarketing , email, or regular mail coupled with follow up phone calls to district groups of Oakland resident homeowners who have received or will receive a Notice of Default and/or a Notice of Trustee Sale: 4,354 recipients of targeted correspondence.
Neighborhood Stabilization Program - Rental <i>Portions of Western Oakland, Central East Oakland, and Elmhurst</i>	See individual projects listed below.				

Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Drasnin Manor 2530 International Blvd <i>Fruitvale/San Antonio</i> See also Objective #2: Preservation of the Supply of Affordable Housing	Rehabilitation and ownership interest transfer of 26 existing affordable rental units 3 1-BR units 9 2-BR units (inc. manager's unit) 14 3-BR units 2,958 sq. ft. commercial	25 units targeted to Low- Income households below 50% of AMI. Project Based Section 8 vouchers on 25 units will push effective affordability levels much deeper. Units are for small (2-4 persons) and large families (5+ persons). 1 Unit for mobility impaired	Low/Mod Housing Fund: \$1,800,000 Neighborhood Stabilization Program: \$1,159,031	New ownership has secured property (only the resident manager unit is occupied as of Spring 2011), and is finalizing rehabilitation scope. Start rehabilitation efforts and re-occupy property with new tenants. Anticipated completion date: April 2013 (delayed due to Redevelopment activity suspension 2011-12).	Rehabilitation work completed May 2013. Project close-out underway.
Marin Way Court 2000 International Blvd <i>Chinatown/Eastlake/San Antonio</i> See also Objective #2: Preservation of the Supply of Affordable Rental Housing	Rehabilitation and ownership interest transfer of 20 existing affordable rental units 20 2-BR units	5 units targeted to low- income households at or below 50% AMI 15 units targeted to moderate income households at or below 80% AMI	No public funds are being allocated for this project.	Project was acquired by private investors who intend to rehabilitate and manage the property for low income rental housing. Completion expected by June 2012.	Completed rehabilitation in May 2012. No additional City funds were used for this project.

Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Project Pride 2577-79 San Pablo Ave. <i>Western Oakland</i> See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs and the table in Narrative D: Prevention and Reduction of Homelessness	Rehabilitation of 20 units of housing – 6 transitional housing units and 14 NSP-assisted permanent housing units with supportive services.	All units to serve small families at or below 35% Area Median Income	Low/Mod Housing Fund: \$1,600,000 Neighborhood Stabilization Program: \$904,000	Currently under construction. Anticipated completion date: September 2012.	Completed construction in September, 2012.
Protecting Tenants in Properties in Foreclosure (Amendment to the Fair Housing Services Master Contract with East Bay Community Law Center) <i>Citywide</i> See also Objective #9: Removal of Impediments to Fair Housing		Individuals and Families with incomes at or below 80% Area Median Income	Code Enforcement foreclosed properties penalty funds \$50,000	Serve 300 tenant households	Served 305 tenant households, including stopping evictions, addressing habitability or rent issues, negotiated cash for keys.
East Bay Community Law Center	Limited scope legal representation. Direct legal representation to selected clients.	Tenants residing in properties in the foreclosure process.	CDBG: \$75,140 Code Enforcement foreclosed properties penalty funds	See above	See above

Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Causa Justa :: Just Cause	Information and referral on housing related issues. Tenant/Landlord rights and responsibilities counseling. Tenant/Landlord conciliation and meditation.	Tenants residing in properties in the foreclosure process.	CDBG: \$69,000 Code Enforcement foreclosed properties penalty funds	See above	See above
Centro Legal de la Raza	Limited English Proficiency (Spanish) limited scope legal assistance. Limited English Proficiency (Spanish) direct legal representation.	Tenants residing in properties in the foreclosure process.	CDBG: \$50,000 Code Enforcement foreclosed properties penalty funds	See above	See above
ROOT Loan Fund Program Community Housing Development Corporation of North Richmond <i>Portions of Western Oakland, Central East Oakland, and Elmhurst (NSP Areas)</i>	Develop foreclosure mitigation pilot loan fund program to assist eligible homeowners preserve ownership	Homeowners or prior homeowners 120% AMI or below in primarily NSP areas	NSP 3 \$900,000 CDBG: \$200,000		

Objective #9: Removal of Impediments to Fair Housing

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Center for Independent Living <i>Fruitvale/San Antonio</i> See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	Provide housing services and housing-rights counseling to persons with physical and mental disabilities.	Persons with disabilities and incomes at 30-50% area median income.	CDBG: \$16,600	Provide tenant/landlord counseling and dispute resolution services to 75 disabled low-income residents. Provide landlord education seminar to 15 landlords and/or real estate professionals. Provide tenant education seminar to 15 disabled low-income residents and/or their households.	Information and referral for residents with disabilities: 57 Education seminar to landlords and/or real estate professionals: 0 Education seminar to disabled low income residents and/or their households: 270
Fair Housing Services Master Contract with East Bay Community Law Center <i>Citywide</i>		Individuals and Families with incomes at or below 80% Area Median Income			
East Bay Community Law Center	Limited scope legal representation. Direct legal representation to selected clients.		CDBG: \$75,140	Limited scope legal representation: 374 clients Direct Legal Representation to selected clients: 30 clients	Limited scope legal representation: 379 clients. Direct legal representation to selected clients: 30 clients.

Objective #9: Removal of Impediments to Fair Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Causa Justa :: Just Cause	Information and referral on housing related issues. Tenant/Landlord rights and responsibility counseling. Tenant/Landlord conciliation and meditation.		CDBG: \$69,000	Information and Referral on housing related issues: 600 clients Tenant/Landlord rights and responsibility counseling: 540 clients Tenant/Landlord Case Management: 140 clients	Information and Referral on housing related issues: 712 clients. Tenant/Landlord rights and responsibilities counseling: 579 clients. Tenant/Landlord Case Management: 159 clients.
Center for Independent Living See also Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs	Information and referral for residents with disabilities. Housing service counseling for residents with disabilities. Tenant/Landlord dispute resolution for residents with disabilities.		CDBG: \$58,000	Information and referral for residents with disabilities: 1000 clients with disabilities Housing service counseling for residents with disabilities: 140 clients with disabilities Tenant/Landlord dispute resolution for residents with disabilities: 150 clients with disabilities	Information and referral for residents with disabilities: 1,522 clients. Housing service counseling for residents with disabilities: 545 clients. Tenant/Landlord dispute resolution for residents with disabilities: 136 clients.

Objective #9: Removal of Impediments to Fair Housing (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Centro Legal de la Raza	Limited English Proficiency (Spanish) limited-scope legal assistance. Limited English Proficiency (Spanish) direct legal representation.		CDBG: \$50,000	Limited English Proficiency (Spanish) limited-scope legal assistance: 300 clients Limited English Proficiency (Spanish) direct legal representation: 22 clients	Limited English Proficiency (Spanish) limited-scope legal assistance: 252 clients. Limited English Proficiency (Spanish) direct legal representation: 20 clients.
Eden Council for Hope and Opportunity (ECHO)	Housing discrimination case management. Fair Housing outreach and training.		CDBG: \$45,000	Housing discrimination case management: 100 clients Fair Housing outreach and training: 100 clients	Housing discrimination intake, assessment, and counseling: 103 clients. Investigation and testing: 50 clients. Fair Housing referral to attorney, DFEH, or HUD for further enforcement: 7

6. Households Assisted with Housing Using Federal Funds

Data will be included in final CAPER

Priority Need Category	Households
<i>Renters^a</i>	
0 – 30% of MFI	117
31 – 50% of MFI	52
51 – 80% of MFI	17
TOTAL	186
<i>Owners</i>	
0 – 30% of MFI	4
31 – 50% of MFI	9
51 – 80% of MFI	9
TOTAL	22
<i>Homeless</i>	
Single Individuals	143
Families	603
TOTAL	746
<u>Non-Homeless Special Needs</u>	
TOTAL	284
TOTAL Housing	1,238
Total 215 Housing	275

See following pages for definitions of “Section 215” Housing.

Racial/Ethnic Breakdown	Non-Hispanic	Hispanic	Total Racial/Ethnic
Single Race			
White	119	177	296
Black/African American	624	2	626
American Indian/Alaskan Native	6	2	8
Asian	73	0	73
Native Hawaiian/Other Pacific Islander	17	3	20
Multi-Race			
American Indian/Alaskan Native & White	1	1	2
Asian & White	0	0	0
Black/African American & White	4	0	4
American Indian/Alaskan Native & Black/African American	7	0	7
Other Multi-Racial	197	5	202
TOTAL	1,048	190	1,238

Assisted households must meet all 3 of the following conditions:

1. Construction/rehabilitation work was completed and the unit was occupied; or
A first-time homebuyer moved into a housing unit; or
Rental assistance was provided for an existing housing unit.
2. The assistance must have resulted in a unit meeting Housing Quality Standards. Minor repairs (exterior paint, etc.) are not included. Emergency shelter is not included.
3. Only activities that were assisted with Federal Funds (HOME, CDBG, HOPWA, etc.) are included. Housing assisted with non-Federal funds, such as Oakland Redevelopment Agency funding, are not included pursuant to HUD instructions.

Income, Rent and Sales Price Limits for Housing Meeting Section 215 Guidelines as Affordable Housing

Owner-occupied housing must be occupied by households with incomes less than eighty percent of median income, with values less than shown on the following schedule.

Renter-occupied housing must be occupied by households with incomes less than sixty percent of median income, with rents less than shown on the following schedule.

(For Units First Occupied from July 1, 2012 – March 12, 2013)

Income Level	Household Size							
	1	2	3	4	5	6	7	8 or more
0-30%	\$19,650	\$22,450	\$25,250	\$28,050	\$30,300	\$32,550	\$34,800	\$37,050
31-50%	\$32,750	\$37,400	\$42,100	\$46,750	\$50,500	\$54,250	\$58,000	\$61,750
51-80%	\$45,750	\$52,300	\$58,850	\$65,350	\$70,600	\$75,850	\$81,050	\$86,300

(For Units First Occupied from March 13, 2013 – June 30, 2013)

Income Level	Household Size							
	1	2	3	4	5	6	7	8 or more
0-30%	\$18,750	\$21,400	\$24,100	\$26,750	\$28,900	\$32,050	\$33,200	\$35,350
31-50%	\$31,250	\$35,700	\$40,150	\$44,600	\$48,200	\$51,750	\$55,350	\$58,900
51-80%	\$45,100	\$51,550	\$58,000	\$64,400	\$69,600	\$74,750	\$79,900	\$85,050

Qualification as Section 215 Housing

Maximum Rents (\$), including tenant-paid utilities (at time of initial occupancy):
(Based on HOME High Rents – the lower of rents for 65% AMI or Fair Market Rents)

Unit Size	First Occupied 7/1/12 – 3/12/13	First Occupied 3/13/13 – 6/30/13
SRO	\$735	\$735
Studio	\$980	\$892
1 Bedroom	\$1,121	\$1,082
2 Bedroom	\$1,347	\$1,347
3 Bedroom	\$1,547	\$1,547
4 Bedroom	\$1,706	\$1,706
5 Bedroom	\$1,864	\$1,864

Maximum After Rehab Appraised Value:

Effective July 1, 2012 to June 30, 2013: \$503,500

Maximum Home Sale Price:

Effective July 1, 2012 to June 30, 2013:

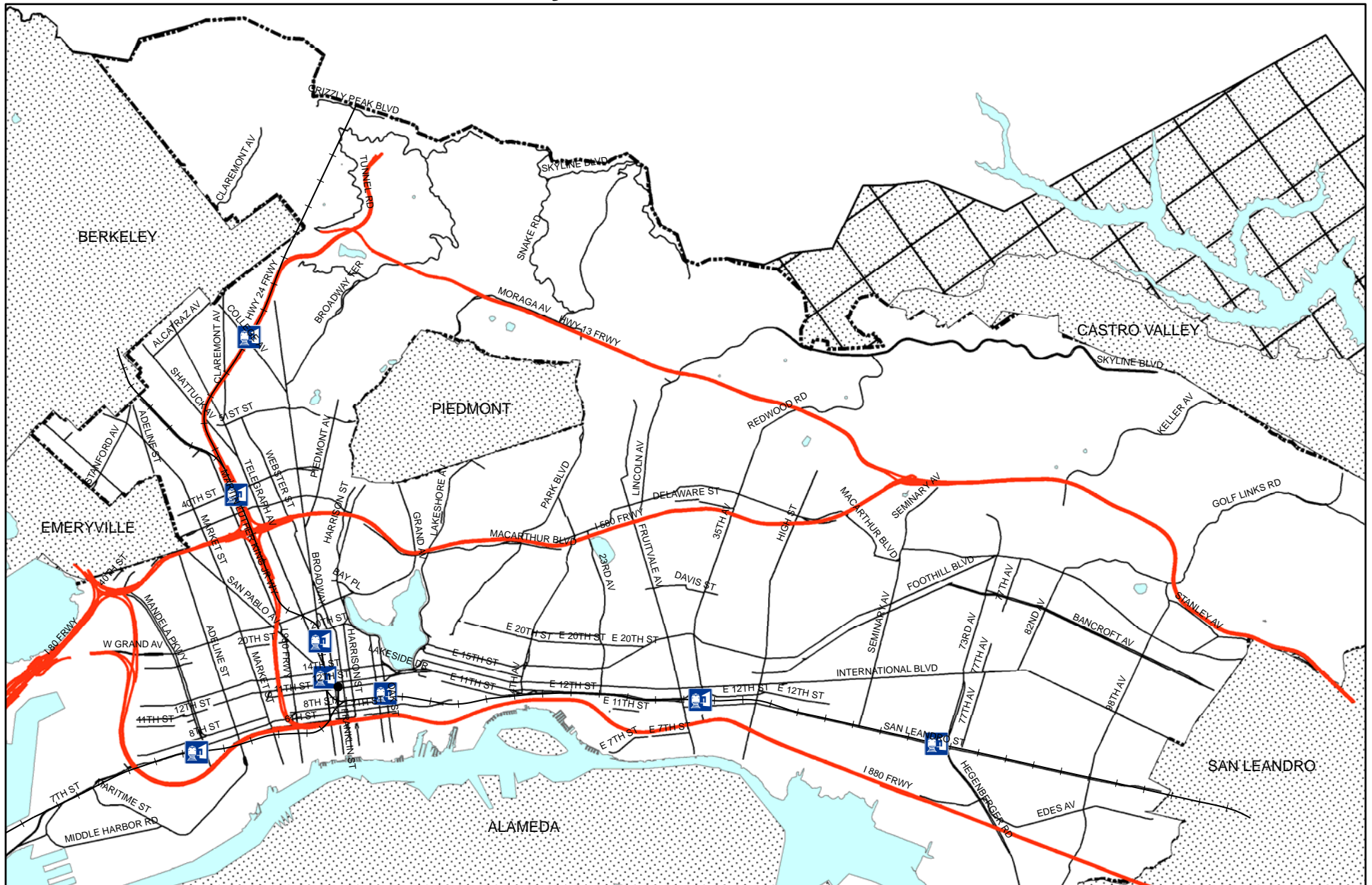
\$430,000 for single family home

\$430,000 for condominium

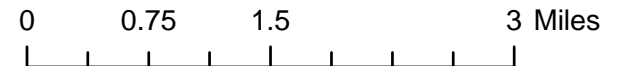
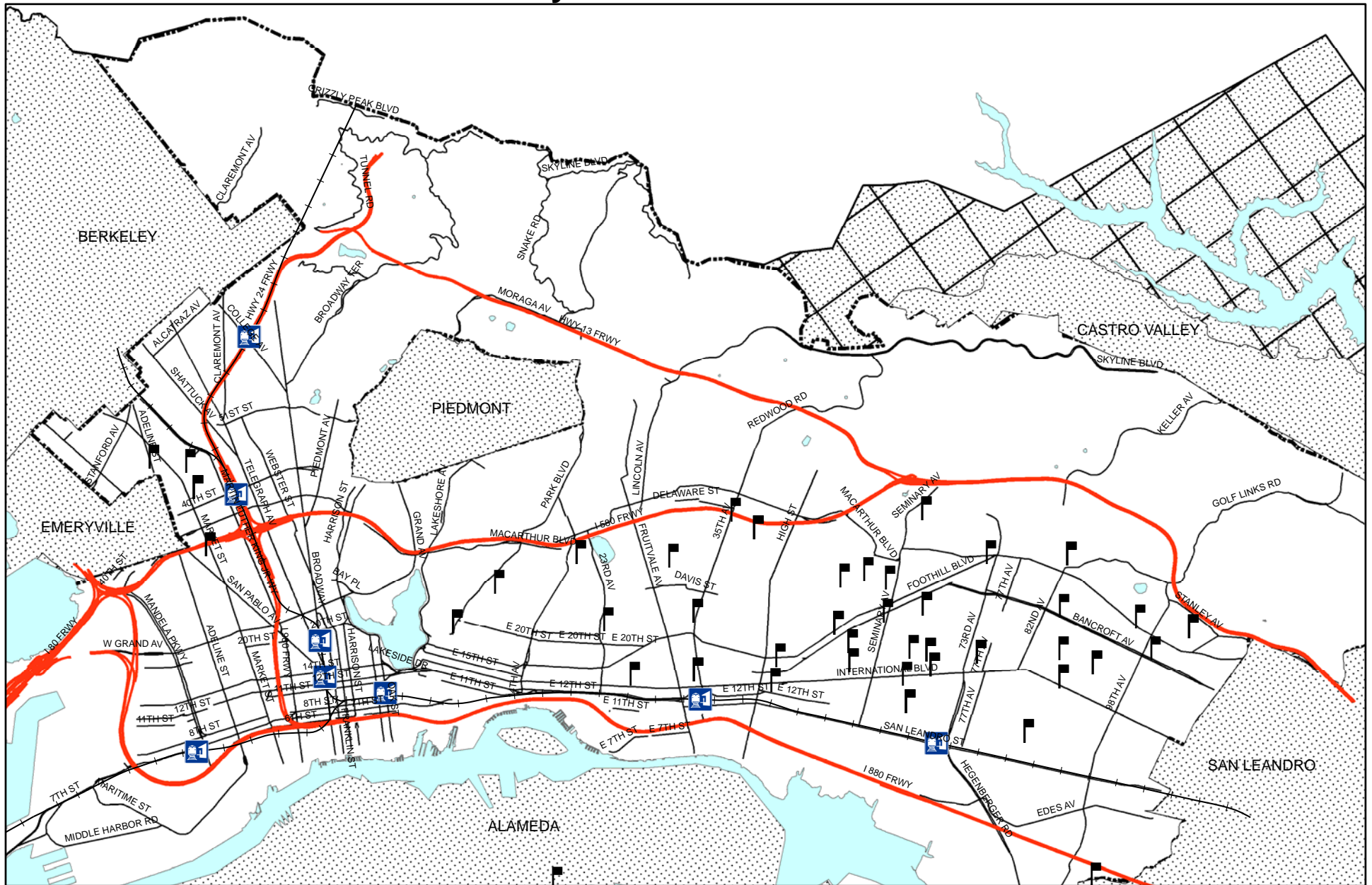
7. Maps

First Time Homebuyer Loans

July 2012 to June 2013



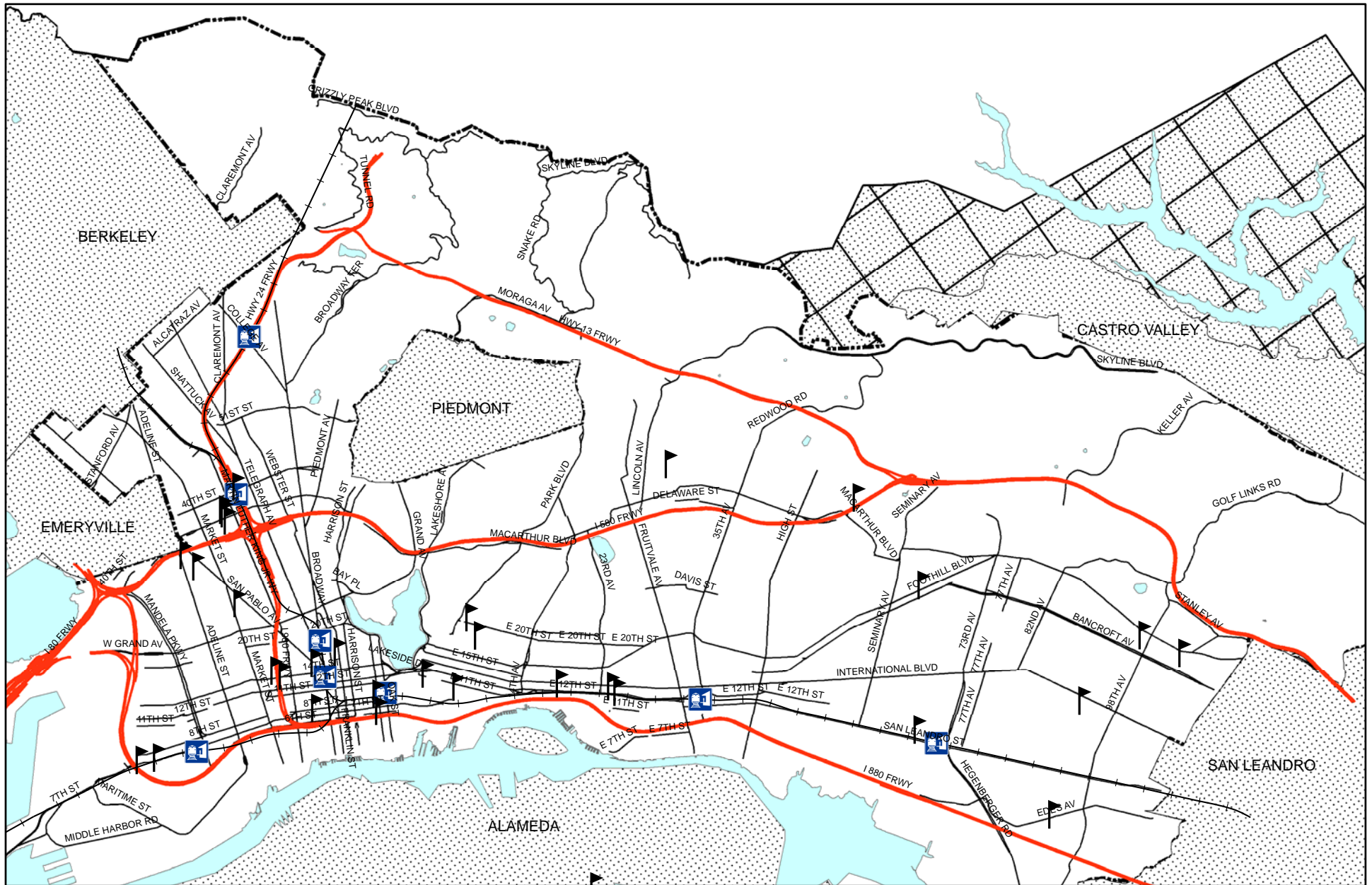
Rehabilitation Loan Activity July 2012 to June 2013



Data Source: City of Oakland, Housing & Community Development Department

Active Housing Development Projects

July 2012 to June 2013



Narrative D: Prevention and Elimination of Homelessness

March 31, 2006, Oakland City Council adopted the City of Oakland's Permanent Access To Housing (PATH) Strategy (finalized on May 8, 2007), a companion to the Alameda County EveryOne Home Plan to end homelessness in the next fifteen years. The PATH Strategy required an adoption from a continuum of care approach to ending homeless, to a housing first model. As a result, shifting of resources and services in Oakland relative to the delivery of services to the homeless had to be made.

PATH is the City of Oakland's response to the Alameda County EveryOne Home Plan, a plan that represents the culmination of over a year of discussion, analysis, and planning among City and County agencies, community-based providers, consumers and advocates. The Plan was sponsored by the County's Community Development Agency (Housing and Community Development), Health Care Services Agency (Behavioral Health Care Services and Public Health Department Office of AIDS Administration), and Social Services Agency, along with the City of Oakland's Department of Human Services, Community and Economic Development Agency, and the City of Berkeley's Housing Department and Public Health Department, and the Countywide Homeless Continuum of Care Council. PATH's approach, combining three service systems (Homeless, HIV/AIDS, and Mental Health) being sponsored by nine agencies, is the first such strategy in the nation. PATH'S Goals grew out of the recognition that, while we have a history of innovative and successful programs to address homeless and special needs housing, simply continuing with these approaches will not end homelessness. The goal of the planning process was to develop a plan to end chronic homelessness and ensure that low-income people with mental illness, HIV/AIDS, and/or those at-risk of homelessness have affordable housing with the support services they need. To achieve this goal will require a re-orientation of housing and service systems and the dedication of approximately 15,000 units of housing to the Plan's target populations. PATH serves as a strategy to end chronic homelessness within Oakland and throughout Alameda County in ten years, with other goals extending the remainder of the fifteen year implementation period.

With a great deal of planning, strategizing, training, and informing, the City of Oakland implemented the first phase of the PATH Strategy in FY 2008-09, creating a means to provide housing and supportive services to homeless people in Oakland and to those people living with serious mental health illnesses, chemical dependencies, HIV/AIDS and other disabling conditions. Top priorities established in FY 2012-2013. The priorities are as follows:

1. Rapid Re-Housing: Expand the inventory of appropriate housing opportunities as a direct exit from homelessness and reduce the length of time that an individual is homeless.
 2. Preventing Homelessness: Support activities that prevent people from becoming homeless.
 3. Support Services to increase Housing Retention among target population
- The Principle of Service philosophy of the PATH Strategy employs the following:

Housing First: Homeless people will fare best when placed directly into housing and provided with an appropriate level of services to assist them in stabilizing.

Prevention and Reduction of Homelessness (cont'd)

Every homeless person entering services shall be treated with dignity and shall be directed toward the highest level of housing and economic independence possible.

Service provision will be characterized by flexibility and versatility to meet the diverse and changing needs of consumers.

Permanent housing programs are characterized by voluntary services components, with the emphasis on user-friendly services driven by tenant needs and individual goals.

Services aim to help people reduce the harm caused by their special needs, such as substance abuse, mental illness or health-related complications.

Services focus on helping tenants obtain housing or stay housed by assisting with the management of problems that interfere with their ability to meet the obligations of tenancy.

For services to homeless people to be effective, they must be directed toward and linked to permanent housing placements.

In order to be effective, service providers must work to maximize the use of additional resources, both mainstream and community-based, for their clients.

Providers are expected to work with the City to maximize funding opportunities and leverage City dollars.

In response to HUD's 2012 "SuperNOFA" funding process, the EveryOne Home Partnership submitted a coordinated application for activities throughout the county, including activities within the City of Oakland.

From this consolidated application, the United States Department of Housing & Urban Development (HUD) awarded approximately \$25.6 million to support 52 new and existing programs serving homeless people in Alameda County. These grants will sustain current programs countywide, including permanent and transitional housing and provide additional support services to more than 3,000 homeless families and individuals throughout the County.

Alameda County Cities: The County and community based-organizations have received Best Practices recognition from HUD for coordinated planning to address homelessness.

Out of the \$23.1 million awarded under the SuperNOFA, approximately \$7.1 million benefits Oakland-based agencies or agencies serving people in Oakland.

Through these awards the following services are made possible: rental subsidies, case management, youth housing and services, permanent supportive housing for medically fragile adults with HIV/AIDS, transitional housing for families and women, transitional housing and support services for homeless runaway and/or at-risk youth, comprehensive training and employment services, drug/alcohol abuse assistance, and welfare-to-work training.

Prevention and Reduction of Homelessness (cont'd)

The 2012 HUD SuperNOFA award granted under the Alameda County application included four Supportive Housing Program grants awarded directly to the City for over \$3.03 million. This funding supported the City's FY 2012/13 Homeless Families Support Network (HFSN), Matilda Cleveland Transitional Housing (MCTHP), Oakland Homeless Youth Housing Collaborative (OHYHC) and the City's Scattered Sites Transitional Housing Program/Families in Transition (THP/FIT).

Prevention and Reduction of Homelessness

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
East Oakland Community Project/Crossroads 7515 International Boulevard	State-of-the-art-green emergency shelter facility offering 125 beds with comprehensive support services for homeless persons seeking a better life.	Homeless	CDBG \$166,000	Funds will support the operation of the shelter serving approximately 600 homeless persons with support services and bednights, substance abuse counseling, HIV/AIDS services and other services.	Crossroads served 435 unduplicated clients and provided a total of 45,625 bed nights during the fiscal year
HEARTH Emergency Solutions Grant Program Funds the City's Permanent Access To Housing Strategy (PATH) <i>Citywide</i>	Housing and services leading to Permanent Access To Housing: 1)Rapid-Rehousing Services 2)Homeless Prevention 3) Support Services in Housing 4)Outreach 5)Shelter 6)Housing Resources	Homeless families, individuals and seniors with incomes at 30-50% AML.	ESG: \$656,315 (PATH Strategy Providers- \$607,092) City Administration: \$49,223) General Fund: \$115,000 (Emergency Housing Program) General Fund: \$179,310 (Homeless Mobile Outreach Program) Community Housing Services Staff Cost: \$25,855 Community Development Block Grant: \$336,150	Assist between 650-1,000 persons with access to permanent housing through rapid rehousing and homeless prevention (legal assistance and financial assistance) services. Provide temporary shelter, hotel/motel vouchers, support services, outreach, homeless encampment services and/or other support services to 2,900 individuals experiencing homelessness or near-homelessness.	During the operating year over 1,500 persons accessed and received services to assist them with permanent housing. Shelter and related services were provided to 3,051 to individuals experiencing homelessness or near homelessness.

Prevention and Reduction of Homelessness (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
HEARTH ACT – Emergency Solutions Grant 2011 Substantial Amendment	Housing and services leading to Permanent Access To Housing: 1)Rapid Re-housing Services 2)Homeless Prevention 3) Street Outreach 4)Emergency Shelter (operations, renovation, essential services) 5)Homeless Management Information System 6) Administration	Homeless & Near Homeless Individuals/households	HUD – Emergency Solutions Grant \$207,596	To be determined upon notification of award levels and HEART Implementation.	Substantial Amendment for 2011 Hearth Emergency Solutions Grant for \$207,596 was announced in early 2012. However, the grant agreement was executed during the 2012/13 fiscal year. Allocation and grant agreements of the \$207,596 have been executed calendar year 2013. Persons served will be reported in the 2013/14 CAPER. Grant agreements for rapid rehousing, rental assistance, shelter and outreach funded under the 2011 ESG Substantial Amendment run through December 31, 2013.

Prevention and Reduction of Homelessness (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Home Equity Conversion Counseling for Senior Citizens Eden Council for Hope and Opportunity <i>Citywide</i> See also Objective #7: Provision of Supportive Housing for Seniors and Other Persons with Special Needs	Counsel home-owning senior citizens on reverse mortgages to enable them to remain in their homes.	Home owners at least 62 years old.	CDBG: \$17,430	Provide information and referrals to 42 low-income senior citizens; Provide in-depth counseling regarding the risks and benefits of reverse mortgages to 25 low-income senior citizens; Conduct outreach regarding the Home Equity Conversion Program.	Information and referrals to low-income seniors: 28 In-depth counseling regarding the risks and benefits of reverse mortgages to low-income senior citizens: 16 Outreach to low-income senior citizens regarding the Home Equity Conversion Program (via informational sessions and flyers to targeted locations): 2,664
Matilda Cleveland Transitional Housing Program (MCTHP) 8314 MacArthur Blvd. <i>Elmhurst</i>	Temporary housing for homeless families attempting to stabilize their lives to obtain permanent housing: 5 Studio 3 1-bdrm units 3 2-bdrm units 3 3-bdrm units	Homeless families with incomes at 30-50% AML.	Supportive Housing Program \$259,824 (MCTHP \$253,640 City Admin. \$6,184) CPPSO \$50,000 Contractor Match \$20,000 Tenant Rents \$25,250	Assist approximately 19 families with Transitional Housing.	Housing placements were obtained for 11 families during the operating year.

Prevention and Reduction of Homelessness (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Oakland Homeless Youth Collaborative (OHYC) 1) Covenant House – 2001 Harrison Street 2) East Oakland Community Project - 1024 101 st Street 3) First Place for Youth – Scattered Sites	24-29 transitional housing beds for homeless youth.	Homeless Youth ages 18-24.	OHYC \$699,770 (OHYHC Contractors: \$666,461 City Admin. \$33,309) Project Sponsor Match Covenant House \$110,000 East Oakland Community Project \$36,000 First Place For Youth \$22,700	Assist 22 – 40 young adults with housing and services and approximately 3 young adult households with housing and services.	At least 24 young adults transitioned to permanent housing during the fiscal year.

Prevention and Reduction of Homelessness (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Pre and Post Purchase Counseling The Unity Council <i>Citywide</i> See also Narrative C, Table “Affordable Housing Planned Actions and Accomplishments,” Objective# 8: Prevention of Foreclosure and Stabilization of Neighborhoods.	Provide informational mailings, outreach and counseling services to homeowners facing possible foreclosure. Provide housing counseling and legal services for homeowners in foreclosure	Low- and moderate- income homeowners in foreclosure.	CDBG: \$83,000	Reduce number of foreclosures, and reduce losses to those homeowners for whom foreclosure cannot be prevented.	Foreclosure prevention and intervention education in group workshops: 60 clients. Provide individual basic financial planning and money management counseling to distressed homeowners: 120 clients. Conduct targeted outreach via telemarketing , email, or regular mail coupled with follow up phone calls to district groups of Oakland resident homeowners who have received or will receive a Notice of Default and/or a Notice of Trustee Sale: 4,354 recipients of targeted correspondence.
Project Pride 2577-79 San Pablo Ave. <i>Western Oakland</i> See also Table 4, Objective #7: Provision of Supportive Housing for Seniors and Persons with Special Needs and Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods.	Rehabilitation of 20 units of housing – 6 transitional housing units and 14 NSP- assisted permanent housing units with supportive services.	All units to serve small families at or below 35% Area Median Income	Low/Mod Housing Fund: \$1,600,000 Neighborhood Stabilization Program: \$904,000	Currently under construction. Anticipated completion date: September 2012.	Completed construction in September, 2012.

Prevention and Reduction of Homelessness (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Supportive Housing Program (SHP)-Homeless Families Support Network (HSFN) Anka Behavioral Health, Inc.. <i>At HRMSC</i> 559-16 th Street Oakland, CA 94612 <i>Chinatown/East Lake/San Antonio</i>	Provide a continuum of services, shelter and transitional housing (54 units) to homeless families. Assist families to permanent housing.	Families with incomes at 30-50% AMI.	SHP \$1,826,618 (HSFN \$1,786,073 City Admin. \$43,474) Alameda County: \$250,000 Excess Cash Value of Monthly Lease: \$122,558	Assist between 54 - 68 families with transitional housing and support services and approximately 8 people with emergency shelter. Rehabilitation work for 54 transitional housing units and 8 emergency shelter units at the HRMSC is a behind schedule as staff secures temporary housing for HFSN clients (families) that will have to be relocated during the rehabilitation. However, work to replace the two roofs began in 2011 and completion of the balance of construction work and elevator replacement is scheduled for late January 2013.	SHP provided 54 families with transitional housing support and services at HRMSC.

Prevention and Reduction of Homelessness (cont'd)

ACTIVITY NAME LOCATION Community Development District	DESCRIPTION OF ACTIVITY	CATEGORIES OF RESIDENTS TO BE ASSISTED	FUNDING PROGRAMS AND RESOURCES BUDGETTED	ONE YEAR GOALS	ACCOMPLISHMENTS
Transitional Housing Program (THP) 1) 173 Hunter Ave. 2) 1936 84 th Ave. 3) 5239-A&B; 5241 Bancroft Ave. 4) 2400 Church St. 5) 6850 Halliday Ave. 6) 3501 Adeline St. 7) 3824 West St. <i>Elmhurst / Central East Oakland / Western Oakland / North Oakland</i>	Temporary housing for homeless families attempting to stabilize their lives to obtain permanent housing. 4 1-bdrm units 5 2-bdrm units 1 3-bdrm units	Homeless families with incomes at 30-50% AMI	THP \$245,420 (THP/FIT \$238,738 City Admin. \$6,682) General Fund \$133,000 Tenant Rents \$21,000	Assist 10-12 families with transitional housing.	Assisted approximately 10 families

Narrative E: Other Actions

The 2012-2013 Consolidated Plan Action Plan identified a number of other actions that the City and other entities would undertake to further the goals and objectives of the Consolidated Plan. This section lists those actions and provides information on actual accomplishments. The original goals and objectives are in normal text; all accomplishments appear in *italicized* text.

1. Obstacles to serving underserved needs

The most significant under-served needs in the City are households with incomes less than 30 percent of area median income who experience housing cost burdens of over 50 percent of income or live in substandard or overcrowded conditions.

The HOME Program and other capital subsidy programs are not in themselves sufficient to serve these needs, because even if all capital costs are subsidized (by financing housing development with grants or deferred loans), the operating costs of rental housing exceed the ability of these households to pay. As a result, the needs of these households cannot readily be met without “deep subsidy” programs as Section 8 and public housing, which ensure that households pay no more than 30 percent of their income for housing.

2. Actions to foster and maintain affordable housing

The City pursued a wide variety of activities to foster and maintain affordable housing. These are detailed in Narrative C.

The City continues to provide financing and technical support to preserve existing assisted housing projects to ensure that rent and income restrictions are maintained and extended. In FY 2012-13, the City issued its fifth Preservation and Rehabilitation of Existing Affordable Rental Housing Program Notice of Funding Availability (aka Rehab NOFA) to provide funding for capital improvements and modernization for older assisted housing developments. Unfortunately due to the dissolution of Redevelopment funding for these activities is severely curtailed and no funds were awarded for the rehabilitation of affordable housing in need of capital improvements. The NOFA was issued and applications were received to maintain an understanding of the needs of these older housing units and in case new funding becomes available to support the rehabilitation of those properties.

3. Actions to address public policy barriers to affordable housing

The following actions will be undertaken to address some of the public policy barriers to affordable housing that were identified in the Five Year Strategy:

Work will continue on development of specific rezoning actions consistent with the Comprehensive Land Use and Transportation Plan. Rezoning will facilitate the development of housing at appropriate densities to meet the City’s housing needs.

In Fiscal Year 2012-13, the City continued to encourage new commercial, retail and housing opportunities in areas well-served by transit by continuing to produce three Specific Plans and their Environmental Impact Reports: 1) Broadway Valdez District Specific Plan; 2) Lake Merritt BART Station Area Plan; 3) West Oakland Specific Plan. Each of these plans is being developed with extensive public participation and review and each is expected to be adopted in FY 2013-14. In total, the new housing projected in these three plans are for approximately 7,000 new units of housing, with a percentage to be designated as affordable to low income households. In addition to these three ongoing specific plans, in FY 2012-13, the City began preparation of a fourth specific plan, the Coliseum Area Specific Plan, which would add up to 5,000 units of housing over 25 years near the Coliseum BART station, and on the site of the current Coliseum complex and environs.

The City will continue to work to develop a broader community consensus on the need for affordable housing developments, in order to overcome problems of neighborhood resistance to affordable housing projects. City staff will continue to work on these issues with the Non-Profit Housing Association of Northern California (NPH) and East Bay Housing Organizations (EBHO).

The City continues to support and participate in these efforts.

The City will continue its ongoing efforts to streamline its processes for the issuance of zoning and building permits, including development of new automated systems and internet-based information and application systems.

In FY 2012-13, the City continued its contract with Accela, Inc., the makers of the largest software program of land management computer programs for governments, to install the Accela Automation program on the City's systems. Accela Automation is intended to replace the DOS-based Permit Tracking System (PTS), which has been in use by the City for decades. It is expected that the Accela system will be active by the end of 2013. Once running, this system will significantly streamline the City's processes for reviewing and issuing zoning and building permits, including applications made over the internet.

4. Institutional Structure for Providing Affordable Housing

During the next year covered by the Annual Plan, the City will continuously monitor the housing delivery system and work with local associations of housing organizations, private institutions, and other local public agencies to improve housing delivery. Specific activities that the City intends to carry out to improve this system are listed below.

1) Increasing Available Resources

Continue attempts to expand the amount of resources available. In particular, the City will actively lobby for increases in State and Federal funding for housing.

As stated in the FY 2011-12 CAPER, legislation was adopted that terminated redevelopment agencies throughout the State that resulted in a dramatic loss of

funding for the City of Oakland's housing programs. Redevelopment tax increment funds set aside for affordable housing had been the principal source of funding for both the first-time homebuyer and housing development programs, as well as some housing rehabilitation programs, vastly outstripping the amounts the City receives in HOME and CDBG grants. In an effort to reinstate lost funding, in July 2013 the City adopted an ordinance that set aside 25% of funds distributed to the City as a taxing entity under the redevelopment dissolution law to the Affordable Housing Trust Fund for use toward housing programs. This funding will result in approximately \$4-5 million dollars a year starting in FY 2015-16. Additionally, there are efforts in the State legislature to adopt a bill that will require a \$75 transaction fee on any real estate-related recorded documents. The bill currently is on the Assembly Appropriations Committee calendar for the 2014 legislative session.

Encourage and support the attempts of local non-profit developers and service organizations to secure funds for service delivery and organizational operations from governmental and non-governmental sources.

City of Oakland's Department of Human Services has made great strides in encouraging collaborative work between the City of Oakland with local government, surrounding jurisdictions, service organizations and developers to not only secure funding for service delivery to the homeless, but also to create greater efficiency of available resources to best serve the community. The City continues to follow the Oakland Permanent Access To Housing (PATH) Strategy in the effort of identifying and securing new sources of funding for supportive services in housing.

2) Coordinating Resources

Improve processes between City Departments: Housing and Community Development and Planning, Building & Neighborhood Preservation to facilitate housing service delivery. As in the past, HCD will continue to streamline its internal and external processes to facilitate program utilization and department efficiency.

As stated in FY 2011-12, given the legislation that dissolved the ability for the City's Redevelopment Agency to collect tax-increment, there was a City-wide reorganization. The Community and Economic Development Agency was dissolved. The City created the Housing and Community Development Department. Given these changes, HCD staff have worked to redesign its organizational capacity to work on these objectives. A strategic planning retreat was conducted in FY 2012-13 to facilitate adapting to the new structure of the department.

The City will continue to work with the Oakland Housing Authority to implement a program to “project base” Section 8 assistance (commitments would be tied to specific housing units) to facilitate preservation and rehabilitation of existing housing, including SRO hotels.

The City worked with the Oakland Housing Authority to tie funding for Project-based Section 8 with the City’s own NOFA process.

The City will continue to collaborate with other jurisdictions in Northern California to coordinate participation in the Neighborhood Stabilization Program for acquisition and rehabilitation of vacant foreclosed homes and apartments.

The was instrumental in convening a peer-to-peer network of local NSP jurisdictions and some of their partners in prior years. In FY 2012-13 there were no meetings as the network found that the need for these meetings waned. The City continued to work on an ad-hoc basis with other jurisdictions and non-profit intermediaries on NSP implementation issues.

Oakland is working with other East Bay HOME Participating Jurisdictions (Alameda County, Contra Costa County, Berkeley and Richmond) to compare and coordinate monitoring of HOME-assisted projects. With technical assistance from HUD, this East Bay HOME Collaborative is working to (a) ensure that all HOME requirements for compliance and monitoring are being met, and (b) develop a set of more standardized reporting forms and procedures so that developers/owners working in multiple jurisdictions will have a common set of requirements and procedures across all jurisdictions where they work.

The City was instrumental in convening meetings of the East Bay HOME Participating Jurisdictions to compare and coordinate monitoring of HOME assisted projects. There were a number of technical assistance trainings attended by City staff and non-profit developers and asset managers to ensure a common understanding of HOME requirements. Work continues with Participating Jurisdictions on standardizing reporting forms and procedures to manage HOME-assisted properties.

The Department of Housing & Community Development (formerly a division in the Community and Economic Development Agency) along with Department of Human Services continue in the effort of coordinating an “Oakland Pipeline Process” to bring together the City, nonprofit housing developers, homeless service providers, and County Social Services Agencies, to further the implementation of the City’s Permanent Access to Housing (PATH) plan. PATH provides a housing-first model to address homelessness through development and provision of permanent housing with supportive services as a principal strategy for addressing the needs of homeless families and individuals.

The Housing & Community Development Department and the Department of Human Services continue in coordinating the “Oakland Pipeline Process” to bring together the City, nonprofit housing developers, homeless service providers, and County social services agencies, to continue the strategic implementation of Permanent Access to Housing (PATH) Strategy target outcomes in rapid rehousing and other services to clients that promotes the employment of the housing-first model of ending homelessness in Oakland.

3) Capacity and Character of Non-profit Housing Organizations

Develop mechanisms to increase the capacity of non-profit affordable housing developers and actively encourage non-profit developers to take advantage of training programs offered by HUD and by various nonprofit intermediaries.

The City continues to encourage and refer nonprofit organizations to technical assistance resources provided by HUD and other entities.

The City will consider continuing the use of HOME funding (CHDO Operating Support) to support non-profit capacity building, but may choose to reallocate these funds for housing development activities.

The City has not allocated any new funds for this purpose as there is adequate funding from prior years set aside for this purpose. No new CHDO Operating Support was provided during the program year. The City is considering spending some of the allocated CHDO operating support to City-certified CHDOs.

Encourage developers to increase the representation of low and moderate income persons in their decision making processes and thereby to become eligible for receipt of HOME funds targeted to CHDO organizations.

The City continues to require that certified CHDOs maintain and active program to increase the representation of low and moderate income persons in their decision making processes.

Provide training and technical assistance as requested by homeless service providers in shelter and transitional housing.

Technical assistance and training was provided to ESG, HOPWA and SHP subgrantees upon request throughout the program year.

4) Participation of For-Profit Organizations

Attempt to overcome housing discrimination by encouraging financial institutions to participate in mortgage lending to low and moderate income individuals and in

low and moderate income communities, largely through joint efforts by the City, the California Reinvestment Coalition, banking institutions and industry partners to promote existing lending programs and create new programs.

The City works with the California Reinvestment Coalition (CRC) who continues to actively work with industry representatives to review and propose legislation that affects banking, loans, counseling agencies and modifications, and foreclosures. They have provided input and resources, conducted surveys, and convened meetings and trainings.

Continue to implement community reinvestment programs. The City will encourage private, for-profit lending and investment practices that meet the needs of all Oakland households and neighborhoods and discourage discrimination in lending and in the provision of insurance. One program is the City's Linked Banking Services Ordinance that requires the City to only deposit its funds with banks that are meeting their "fair share" of the City's community credit needs, as determined by a bi-annual estimate of the citywide community credit demand. The City works with other jurisdictions and organizations to strengthen state legislation.

The City's Linked-Banking Ordinance was updated in June 2012 (Resolution no. 83988 C.M.S). The general requirements of the ordinance limits the City's banking business to lending institutions that are meeting community credit needs. The updated ordinance added a requirement seeking a more comprehensive survey for banks interested in doing business with the City.

Given the time and expense to submit and analyze the 2011 data, the City did not request updated survey data from the banks that were listed in 2011 as the City's eligible depositories. In early 2013 an additional bank submitted data and was ultimately added to the City's list of eligible depositories. The City's list now consists of 9 banks.

In 2013, the City issued a Fiscal Services RFP. According to the June 2012 update to the Linked Banking Ordinance, eligible depositories should have preference in the Fiscal Services RFP. As of June 30, 2013 the responses to the RFP continue to be reviewed by a committee assembled by the City's Fiscal Services Department. In order to overcome housing discrimination by landlords, the City will continue during the coming year to fund fair housing counseling and education agencies which counsel individuals and train landlords and tenants regarding their rights and responsibilities under the law.

Work with banks to make foreclosed properties available for acquisition/rehabilitation for the Federal Neighborhood Stabilization Program.

The City has developed a comprehensive program to address foreclosures in the jurisdiction. This program augments efforts to address foreclosures using the

Federal Neighborhood Stabilization Program funding. See the program summary in Narrative C: Affordable Housing, Objective #8: Prevention of Foreclosure and Stabilization of Neighborhoods.

5. Public Housing Improvements

1) Management Improvements for Public Housing

Specific plans for improving the management and operation of public housing include the following:

Property Operations - OHA has implemented a new property based asset management structure that includes new property portfolio configurations, newly organized staffing teams, and enhanced procedures that provide consistent attention and improved customer service to each property.

The Housing Authority continues to use its MTW Authority and funding flexibility to reinvest in its remaining public housing portfolio.

Community Relations - Partnerships with nonprofit community based organizations, Neighborhood Associations and the Service Delivery System (SDS) teams, have supported the Authority's transition to a more proactive property management organization with stronger lines of communication to its clients and community stakeholders.

The Housing Authority is implementing its Parent Ambassador and the Family Education and Achievement Project (FEAP) pilot education programs, in partnership with Oakland Unified School District. The Ambassadors were present at seven (7) schools this fiscal year, and the FEAP program was launched in the spring of 2013, with the program on site at seven (7) schools starting in September of 2014.

Curb Appeal – OHA has achieved property curb appeal improvements through proactive site monitoring by property management staff, maintenance crews, and the OHA Police Department, as well as enhancements of landscaping, painting of scattered site properties, and the transition from large dumpster bins to individual household canisters at smaller properties, which has deterred illegal dumping. The graffiti abatement program has resulted in a reduced occurrence of property defacement by removing graffiti at OHA sites within two business days.

Curb appeal improvements are nearing completion as exterior painting and planting continue to be scheduled for the remainder of the portfolio. Graffiti abatement efforts are ongoing.

Customer Service – OHA’s Customer Assistance Center (CAC) provides access for clients and stakeholders to all programs, services, and information offered by OHA. Customer service staff are trained regularly on updated customer service methods and all OHA program changes. Customers with inquiries can ask questions and provide comments or concerns by speaking directly with the CAC staff by phone or through an email submission. The CAC phone number is posted at all OHA properties and on the Authority’s web site. OHA conducts periodic customer service surveys to determine resident and community satisfaction of all OHA programs and services.

The Customer Service Center continues to be operational; however the calls received have been reduced dramatically since its implementation, now with only a handful of calls during any month.

2) Improvement of the Living Environment

i. Utilizing the flexibility of funding authorized under the Moving to Work program

OHA uses Public Housing Capital Funds and OHA Local Funds to continue its success at improving the physical and social problems that exist around housing authority sites. These efforts have included an interior unit restoration program and a program designed to provide specific upgrades of building exteriors and interiors to address deferred maintenance and improve the physical appeal and safety of the buildings.

During FY 2013, 151 units underwent major unit restoration.

ii. Disposition and Rehabilitation of Scattered Site Units

On December 22, 2010, OHA submitted an application to HUD for the disposition of 383 senior public housing units on five scattered sites. The Authority came to this conclusion based on the costs associated with operating and managing this portfolio, as well as, the enormous backlog of deferred maintenance at the sites created by the lack of adequate subsidy in the Public Housing program over a sustained period of time. If the disposition is approved by HUD and the subsequent request to HUD for Tenant Protection Vouchers is granted, the Authority will transfer the control of the properties to a nonprofit corporation created by OHA for this purpose. The nonprofit corporation will maintain and manage the units using conventional financing and management strategies to address the physical needs of the properties and ensure their continued operation as affordable senior housing in the City of Oakland. Disposition of these units will be contingent upon the future receipt of the Section 8 resources.

The Authority is committed to maintaining the affordability of these scattered senior site units to low-income seniors earning at or below 60% of AMI for 55 years. After disposition, the senior units will be project-based to maintain their

affordability at current levels, subject to compliance with HUD requirements. Residents who choose to move may request a Tenant-Based Voucher. Any proceeds from increased operating income will be utilized to improve the existing units and properties, or used to support the Public Housing program. OHA intends to continue to make progress in our efforts toward meeting our capital improvement and quality of life goals for all our households, including our senior households, by providing healthier, greener units and greater housing choice. OHA has determined that this is the most effective way to accomplish these goals.

There is no update to this this section at this time.

iii. HOPE VI Development Activities

OHA has received several grants under the HOPE VI program to renovate and redevelop some of its larger public housing developments. OHA and the City are working in partnership to improve these developments and the surrounding neighborhoods.

In 2000, OHA received \$34.5 million to revitalize the 178-unit public housing project known as Coliseum Gardens. All (178) existing units were demolished and will be replaced on a one-for-one basis. The Lion Creek Crossings and Foothill Family Apartments are providing replacement units for the former Coliseum Gardens development.

Because of the concentration of public housing units in the Oakland Coliseum area, twenty-one (21) of the (178) public housing units were placed off site in a new 65-unit development known as Foothill Family Apartments located on Foothill Boulevard adjacent to Eastmont Mall. OHA purchased the land and the general partner for this development is Oakland Housing Initiatives, Inc. (OHI). No City funds were utilized in this development.

The original or “primary site,” now called Lion Creek Crossings, is being developed as a mix of housing types by OHA and a developer partnership with East Bay Asian Local Development Corporation (EBALDC) and the Related Companies. The site development proposal included (157) public housing units, (282) additional tax credit units, and (28) affordable for-sale units. Because of the size of the development, the rental component was divided into four rental phases. The original plan included a homeownership component but due to current market conditions the development team is now planning a fifth rental development phase of 128 affordable senior housing units.

Phases I, II and III of the Lion Creek Crossings development are complete and includes (136) replacement public housing units. Construction of (72) units in Phase IV that includes (21) replacement public housing was completed in

December 2011. We anticipate that all of the Phase 4 units will be leased by the end of March 2012.

Coliseum Gardens Park, which is part of the primary site, has been reconfigured and rebuilt by the OHA. Portions of Lion Creek as it flows through the park will be restored by the City of Oakland.

Phase 5 of Lion Creek Crossing began construction in the last quarter of the FY 2013, and will consist of 128 units of senior housing. Construction is expected to take 18 months.

iv. HOPE VI Social Services for Public Housing Residents

The OHA HOPE VI activities also include social services carried out in partnership with a wide array of public, private and nonprofit agencies.

Social Services continued to be offered on site by EBALDC at Lion Creek Crossings, and nonprofit housing development and services agency. Most recent Quarterly totals for participation provided by EBALDC are:

*68 Residents Participating in the Family Resource Center and Community Building
70 Youth Participated in Programs at Lion Creek
16 Adult and Youth in Leadership Roles*

Additionally the Lion's Pride program served youth in grades K – 4th on-site during the school year. Part of the services structure includes a "Walking School Bus" from the Havenscourt School Campus to Lion Creek Crossings daily so that youth can travel safely from school to home. As the school year ended, EBALDC launched Summer Lunch and Summer Snack on June 17th so that children who receive free or reduced cost lunches during the school year have healthy food options. The program serves free lunches and snacks to youth ages 0-17 Monday through Friday.

*Total Unique Youth Participants: 70
After School Daily Average Attendance: 34
Summer Lunch and Summer Snack Daily Average: 55*

v. Public Safety

Through the Oakland Housing Authority's Police Department and property management staff, OHA has continued to offer crime prevention education activities, and enforcement. The activities include a bi-annual resident survey, on-site education activities, access to community involvement activities, youth camping and fishing trips, and support of the National Night Out. OHA continues

to partner with community-based organizations, and the Neighborhood Crime Prevention Councils and various other groups to provide services to residents. Crime prevention efforts are coordinated with the Oakland Police Department as well as the Alameda County Sheriff's Office.

OHA continues to fund the Oakland Housing Authority Police Department using MTW flexibilities, this summer we increased the number of youth field trips and also hosted 24 National Night Out events throughout the City.

6. Public Housing Resident Initiatives

1) Resident Participation in Management

OHA solicits resident input into OHA's Annual Plan, which includes the Capital Fund Program. Resident input into the plan is largely acquired through the Resident Advisory Board (RAB), which includes public housing and Section 8 residents appointed annually to the RAB by the OHA's Board of Commissioners.

The MTW Plan was approved on April 8, 2013 following a public comment and outreach period.

2) Resident Opportunities for Homeownership

OHA continues to provide opportunities for homeownership to residents of OHA and to collaborate with the City of Oakland, nonprofit agencies, and others to encourage residents to become homeowners. Participants of OHA's homeownership programs are referred to homeownership counseling programs.

i. Hope VI Homeownership Program

Through the HOPE VI program at Lion Creek Crossings (LCC), OHA planned to include 28 affordable homeownership units, which would have been available to public housing residents, Section 8 participants, and other low-income residents in the City. As a result of the down-turn in the home ownership market and limited access to credit, the development of new for-sale units is now considered infeasible, even with subsidy. In collaboration with the development partners EBALDC and The Related Companies, OHA is now planning to develop a 128-unit affordable senior housing development on the former LCC homeownership parcel.

128 unit affordable senior housing project began construction in June of 2013, and is expected to be completed in approximately 18-months.

ii. Section 8 Homeownership Program

OHA operates a Section 8 Homeownership Program that aids clients by subsidizing a portion of their monthly mortgage payments. OHA developed the Section 8 Homeownership Program in consultation with the support of community groups, Fannie Mae, local lenders, realtors and developers. OHA will continue to explore homeownership opportunities for Section 8 participants and collaborate with the City, non-profit agencies and other community groups to encourage Section 8 renters to become homeowners. Since 2004, OHA has assisted 88 families with purchasing homes in the City of Oakland.

Forty-two (42) OHA residents are participating in the homeownership preparation process while two (2) are in contract to purchase and one (1) is currently approved for a loan and searching for a home. Eighty-eight (88) Participants have purchase a home since the inception of the program.

7. Lead-Based Paint Hazard Reduction

The City's residential rehabilitation loan programs have included lead-based paint hazard education within the initial phase of the loan application process, since 1992. During the financial interview, homeowners are given a copy of the booklet "Protect Your Family From Lead in Your Home". As a part of the disclosure process, homeowners must complete and sign a written acknowledgement of the receipt of this booklet. In addition, the loan application includes the age of the property and the age of children living at the property. The mandatory Request for Consultation and Lead Hazard Evaluation is part of the loan application and additionally identifies children who frequently visit the property.

The Rehabilitation Advisors who have direct advisory responsibility to the homeowner during the actual rehabilitation construction work have all received a minimum of 40 hours training in identification, testing and available remediation methodologies for lead paint hazards. Also, all Contractor agreements incorporate work descriptions to address compliance with lead paint regulations and safe work practices.

In compliance with Federal regulatory changes implemented in 2000, all Home Maintenance Improvement Program properties must be referred for a lead hazard risk assessment and rehabilitation work must include full abatement resulting in passing lead hazard clearance testing. The City's Residential Lending and Housing Rehabilitation Services department is independently contracting for these services.

The required lead hazard consultant services include: lead-based paint pre-rehabilitation inspections, project design assistance, abatement/remediation cost estimating, project plan and specifications preparation, laboratory services and clearance testing. Cost estimates range from \$700 to \$2,000 per unit, depending on the size and condition of the property.

For the period February 7, 2012 through December 31, 2014, there is a partnership agreement with the Alameda County Lead Poisoning and Prevention Program (ACLPPP) for funding assistance with lead hazard reduction and abatement. Residential Lending and Rehabilitation staff identify properties where there were children under age six or expectant mothers.

Qualifying properties are referred to Alameda County for lead hazard risk assessments. Work descriptions prepared by Rehabilitation Advisors included detailed specifications for lead hazard reduction and upon completion of all rehabilitation work including lead abatement, costs were billed to ACLPPP for reimbursement at an average of \$5,000 per property.

In addition, the County provides an In-Home Consultation (IHC) service on a referral basis. This service involves a home site visit to inspect for possible lead hazards and education of the owner regarding lead hazards and treatment options. To date the rehabilitation program has referred 36 homeowners to this service.

The City's residential rehabilitation loans range from \$15,000-\$75,000. Because most of these homes were built prior to 1978, it can be concluded that lead paint hazards will exist and require remediation. The cost of lead hazard reduction often averages \$9,000 per unit. With the ACLPPP partnership agreement, the reimbursements help to reduce the impact of reduced funding allocations and assist with future lead hazard abatement projects.

Table 1
Lead-based Paint Hazard Reduction Goals

Action	Goal	Accomplishments
Distribution of lead hazard literature to rental property owners where families with young children may live.	1,675	2,000
Provide In-Home Consultation (IHC) referrals to the County program where hazards appear significant and encourage rehab program applicants to have children tested for "elevated blood" condition.	120	121 ICH's provided
Coordination of homeowner awareness events.	20	21
Coordination of lead safety trainings and classes.	6	10
Provision of information and referral services to information line callers.	335	210
Provision of information and referral services to Website visitors.	9,000	63,429 (all unique visitors)
Provision of training and certification referrals for local contractors and their employees.	5	15

8. Anti-Poverty Actions

According to the 2000 Census, over 19 percent of Oakland's residents are living in households with incomes below the poverty line. The City is engaged in a variety of efforts to address this problem, including in particular a variety of initiatives aimed at reducing the level of unemployment in the City. Significant parts of the City have been designated as a State Enterprise Zone as part of a strategy to attract new businesses and expand employment opportunities for Oakland residents. The City has also been designated by HUD as an Enhanced Enterprise Community.

1) Local Hiring Goals on City-funded Projects

i. Local Employment Program

On February 25, 1993, the City of Oakland established a revised Local Employment Program (LEP) for the City of Oakland and Redevelopment Agency

construction projects. The LEP (revised June 2003) establishes an employment goal of 50% of the total project workforce hours on a craft-by-craft basis be performed by Oakland residents and minimum of 50% of all new hires to be performed by Oakland residents on a craft-by-craft basis. The first new hire must be an Oakland resident and every other new hire thereafter. To implement the goals for the LEP, the City created the Local Construction Employment Referral Program (LCERP).

The LCERP partners with 35 Community Based Organizations, (CBO) who refers a continuous pool of construction workers to the City. This pool of workers is maintained in a referral data bank. With a 3-day notice, the City may refer Oakland workers in response to a request.

Because CBOs serve a variety of clients, the employer has access to qualified individuals of all races, languages, skill levels and physical abilities.

See below for combined response on both the Local Employment Program (LEP) and the 15% Apprenticeship Program.

ii. 15% Apprenticeship Program

On January 26, 1999, the City established a 15% Apprenticeship Program in order to increase Oakland resident participation as apprentices, the policy provides for a 15% apprenticeship hiring goal that is based on total hours worked and on a craft-by-craft basis. The entire 15% resident apprentice hiring goal may be achieved entirely on the City of Oakland or Oakland Redevelopment Agency funded project; or split on a 50/50 basis (minimum 7.5% on city funded project and maximum 7.5% on non-city funded projects).

For FY 2012-13, the application of the City's Local Employment Program and 15% Apprenticeship Program on City monitored projects resulted in the employment of one-thousand-nine (1,009) Oakland residents, which includes the new hiring of three hundred-seventy-nine (379) Oakland residents by contractors performing work on City monitored projects, and of these new hires, one hundred-fifty-five (155) were Oakland apprentices. Total wages paid to Oakland residents on City monitored projects totaled \$13,744,226.20.

Both of these programs remain in effect and apply to City and Redevelopment Agency assisted housing development projects.

2) Living Wage Ordinance

The City adopted a "Living Wage" Ordinance that requires the payment of a "living wage" (\$11.35 per hour with health benefits or \$13.05 per hour without benefits as of July 1, 2011) to employees of business under a City contract or receive financial assistance from the City. Living Wage rates are subject to annual cost-of-living

adjustments. The ordinance applies to contractors who provide services to the City in an amount equal to or greater than \$25,000. It also applies to entities that receive financial assistance with a net value of \$100,000 or more in a 12 month period. The legislation is intended to ensure that City funded contractors employ people at wages above the poverty level.

Effective July 1, 2013 the new living wage rate is \$11.96 per hour with health benefits and \$13.75 per hour without benefits.

3) Provision of Supportive Services in Assisted Housing for the Homeless

Many City-sponsored housing projects, particularly in Single Room Occupancy housing and in housing targeted to the homeless, include a planned service component. The planned service component aims, in part, at assisting very low-income persons to develop the necessary employment and job search skills required to allow such persons to enter or return to paid employment and an ability to live independently. Program activities within the City's PATH Strategy contracts addressed assisting homeless persons in need of job assistance and employment search skills, assisting not less 385 individuals

City of Oakland has worked with Goodwill Industries to support employment and job search services with Oakland PATH (Permanent Access To Housing) Strategy and OPRI (Oakland PATH Rehousing Initiative) clients such that clients placed in housing are then supported with the needed assistance to obtain and stabilize employment. In addition, many PATH and OPRI providers access other employment and job skills training and/or assistance resources to best serve clients seeking such assistance.

4) Laney College, City of Oakland, Oakland Rotary Endowment Partnership for Construction Training

Through a partnership with the Oakland Rotary Club and Laney Community College, the City makes available vacant lots, or assists in the acquisition of vacant houses to be rehabilitated by Laney's construction training programs. The program provides students with "hands-on" training to develop and refine the skills necessary to enter the construction trades.

The program enrolls approximately 40 students per semester in a combination classroom and hands-on construction project program. The students and instructors provide the labor for the project and the end product is a one or two unit residential dwelling, made available for occupancy by low to moderate-income families. Upon completion of a project, the property is sold for cost and all proceeds are used to fund subsequent projects.

City Council approved Ordinance No. 12949 CMS authorizing the Sale at no cost of one City owned Single Family Dwelling at 453 Ashton Avenue. The property will be developed as affordable housing through a partnership between the City, the Rotary Club Inc. and Laney College as a construction training project. The property transfer is in process and construction is scheduled to begin in October 2013. The project is scheduled to be completed in September 2014.

5) Job Training and Employment Programs in Public Housing

OHA will continue to partner with HUD, the Oakland Workforce Investment Board, and local funded programs that provide OHA residents with job training and employment opportunities. As part of HUD Section 3 requirements and in accordance with 135.5 of 24 CFR Part 135, OHA's Board of Commissioners has established a new policy that sets priority hiring goals for all companies who contract with OHA and have a need to add new hires. This priority establishes "to the greatest extent possible" and requires consideration of OHA residents from Public Housing and Project Base Section 8 properties or other low income residents from the Oakland metropolitan area.

In the 1st year contract with Cypress Mandela Training Center (CMTC), 15 residents were accepted into one of the three 16-week training cohorts. 10 residents successfully graduated the program and nine (9) were placed into unions. Seven (7) new residents have been referred to CMTC under 2nd year of the contract.

During calendar year 2012:

69 residents gained employment

117 residents enrolled in career training & development programs and 92 completed.

100 youth were employed in youth summer programs.

6) Section 8 Family Self-Sufficiency Program

The Oakland Housing Authority's Family Self-Sufficiency Program (FSS) links participants to appropriate supportive services including education, job training, and counseling to achieve self-sufficiency by establishing specific goals through an FSS Action Plan. Approximately 175 Section 8 and Project Based households currently participate in the program, and 16 public housing households. OHA continues to encourage additional families to participate. Residents establish savings accounts and upon graduation from the program may use their saving accounts for purposes such as educational expenses, starting a business and homeownership.

Two hundred twenty-nine (229) families have successfully graduated from the FSS program since 1998. In 2012 \$90,046 was issued in escrow to 9 FSS graduates. The average escrow amount among graduates in 2012 was approximately \$7,100.

*Among current participants, approximately 52% have escrow savings accounts.
The average account balance for all current participants is approximately \$3,444.*

7) Youthbuild (Training and Employment)

The City may apply and/or will support applications by other entities for assistance under HUD's Youthbuild Program (if funds are still made available), which provides low income youth with education and job skills in conjunction with housing activities.

No Youthbuild applications were submitted.

8) Workforce Development Program

Oakland's Workforce Development Unit will continue to align its efforts with the City's Economic and Business Development initiatives. Workforce will continue working closely with Business Development and other business services organizations to support local business development and expansion. Specific to its FY 2012-13 budget, the Workforce Development Unit plans to accomplish the following goals: 1) improve the performance of Oakland's employment and training services for youth and adults as measured by increased numbers of placements and attainment of federally mandated performance measures; 2) promote business development and growth through excellent staffing, worker training, and hiring tax credit services for more than 100 businesses; 3) save Oakland business millions of dollars through the administration of up to 7,500 Enterprise Zone hiring tax credit vouchers; 4) expand and improve job training services for hundreds of youth offenders and parolees; and 5) redesign Oakland's One Stop Career Center and Youth Service delivery systems under the leadership of the Oakland Workforce Investment Board.

9) Department of Human Services Programs

Since 1971, the City of Oakland has been designated as a Community Action Agency, established under the Economic Opportunity Act of 1964 charged with developing and implementing anti-poverty programs for the low-income community. In November 2011, the California State Department of Community Services and Development (CSD) expanded the agency's territory to include the surrounding Alameda County, (excluding the City of Berkeley). The agency, now known as the **Alameda County – Oakland Community Action Partnership (AC-OCAP)**, has as its overarching purpose to focus on leveraging private, local, State, and Federal resources toward empowering low-income families and individuals to attain the skills, knowledge, and motivation required to move them out from the life of poverty and onto the path that leads to self-sufficiency. The unique structure of the Alameda County – Oakland CAP is that the process involves local low-income citizens, elected officials, and the private sector in its effort to address specific barriers to achieving self-sufficiency. Through the annual community needs assessment and the biennial community action plan, the agency is able to identify the best opportunities to assist all members of the community in becoming self-sufficient and

productive members of society. The Alameda County - Oakland CAP focuses its funding priorities in the area of education, training, and employment; community and economic development; supportive services; community engagement; and advocacy. In partnership with the Community Development Block Grant (CDBG) program, the Alameda County - Oakland CAP is able to leverage funds in support of the annual Earned Income Tax Credit Campaign, the United Way SparkPoint place-based initiative, Families Building Wealth IDA program, and Bank on Oakland. The Alameda County - Oakland CAP has been actively “fighting the war on poverty” for over 42 years.

In 2012, the Alameda County-Oakland Community Action Partnership (AC-OCAP) received \$1,253,958 in federal Community Services Block Grant (CSBG) funding and supported 17 anti-poverty organizations and events totaling \$796,500 in grants and community support. As a result, Oakland and the surrounding Alameda County low-income population received job readiness, employment and entrepreneur training, mental health services, culinary training, access to free legal services, eviction prevention assistance, match savings accounts (city-wide Individual Development Account (IDA) program), financial literacy training, free tax preparation, housing and job placement assistance to formerly incarcerated individuals and transitional aged homeless/foster youth, and civic engagement at the 8th Annual Walk to End Poverty event.

AC-OCAP Highlights:

- *In 2012, **AC-OCAP** helped 28,677 low-income Alameda County residents (16,527 Oakland and 12,150 Surrounding Alameda County Cities).*
- *The 2012 **Oakland Earned Income Tax Credit (EITC) Campaign** processed 9,662 (19,000 Countywide) free tax returns capturing \$10,989,666 (\$21,138,076 Countywide) in total refunds for low-income workers.*
- *The 2012 **Bank on Oakland Initiative** opened 5,050 previously “unbanked” accounts with a total of 18,000 since 2009.*
- *The **Oakland Families Building Wealth Individual Development Account (IDA) Program** helped 68 low-income wage earners reach their goal of saving \$2,000 to receive a match of \$4,000 to help them achieve their asset of starting a new business (49), pursuing their post-secondary education (5), or purchasing their first home (14).*

Narrative F: Leveraging, Commitments, Match and Support for Other Applications

1. Leveraging

The City was successful in leveraging other funds in conjunction with Federal grant funds. Other funds made available include:

- Non-local financing awards for housing development include assistance for the following projects:
 - 1701 Martin Luther King Jr. Way received a predevelopment grant in the amount of \$50,000 from the Northern California Community Loan Fund.
 - Brookfield Court Homes received \$720,000 in CalHome Development Loan Program funding, \$300,000 in California Self-Help Housing Program funding, \$470,000 in BEGIN Program funding, \$63,750 in Self-Help Homeownership Opportunity Program funding and \$1,200,000 in Habitat for Humanity Mortgage Sale Program funding.
 - MacArthur Transit Village received \$17,216,000 in Tax Credit Equity from Union Bank, \$4,159,000 in California Municipal Finance Agency Tax-exempt bonds, \$500,000 in Proposition 1C TOD Loan, and \$2,300,000 in Proposition 1C Infill Infrastructure Grant Loan.
 - MacArthur Apartments received \$310,000 in Affordable Housing Program (AHP) funds.
- The Oakland Housing Authority received the following awards for the Federal Department of Housing and Urban Development:
 - Public Housing Operating Subsidy received \$8,949,560
 - Capital Fund Program \$9,009,785
 - Housing Choice Voucher Program received \$187,417,647
 - Family Self Sufficiency (FSS) Program received \$276,000
 - Veterans Affairs Supporting Housing (HUD-VASH) \$908,466
- Apart from federal funding under the Supportive Housing Program and the HUD SuperNOFA, a number of homeless housing & support service activities were funded from other local, State and Federal sources:
 - The Henry Robinson Multi-Service Center received \$250,000 from Alameda County.
 - The Oakland Army Base Temporary Winter Shelter received \$139,243 from Alameda County and \$76,000 from the City of Berkeley.
 - The Hunger Program received a \$25,000 State Community Services Block Grant and a \$41,500 Community Development Block Grant awarded by Community Development Districts 2 & 7.

- Oakland PATH Rehousing Initiative (OPRI) supported with \$810,000 from Oakland Housing Authority to provide rental housing subsidies and related expenses for homeless and re-entry populations that are also receiving social and supportive services funded separately by the City.
- The Homeless Mobile Outreach Program received \$10,000 from Union Pacific Foundation.

2. Commitments and Expenditures

Specific information on commitments and expenditures of federal grant funds is contained in HUD's Integrated Disbursement and Information System (IDIS). The information in IDIS reflects the date on which activities were "funded" and funds were drawn down. Actual commitment and expenditure dates are often earlier than the dates recorded by IDIS.

The table on page 149 represents all housing funding approved for specific programs and projects by the City Council during the program year.

Some of these funding commitments are contingent on the projects securing additional funding from non-City sources, including bank loans and tax credits, and therefore firm commitments have not yet been signed.

3. Matching funds

1) HOME

Information on HOME matching funds is in the narrative for the HOME program.

2) HEARTH 2012 Emergency Solutions Grant

The 2012/13 HEARTH¹ Emergency Solutions Grant (ESG), a HUD formula grant provides funding for outreach, shelter, homeless prevention, rapid rehousing, homeless management information system, and administration costs. The HEARTH ESG mandates that the grantee (the City) to provide 100% matching funds from any combination local, non-Federal sources, Federal and non-cash resources as long as the funds identified as match are not Emergency Shelter Grant funds or other funds identified as match to other funding sources. The City intends to meet this match requirement with the following allocations from the City's General Purpose Fund and Community Development Block Grant as follows:

¹ Homeless Emergency Assistance and Rapid Transition to Housing

Purpose	Match Amount
Emergency Housing Program- Oakland General Purpose Funds	\$115,000
Homeless Mobile Outreach Program – Oakland General Purpose Funds	\$179,310
Community Housing Services Staff Costs- Oakland General Purpose Funds	\$25,855
Community Development Block Grant – Federal Funds	\$336,150
Total Match	\$656,315

3) HEARTH 2011 Emergency Solutions Grant Substantial Amendment

The 2011 HEARTH Emergency Solutions Grant (ESG) Substantial Amendment, a HUD formula grant awarded for the 2012/13 performance period provides funding mainly for rapid rehousing, then other services to include homeless prevention, outreach and shelter, homeless management information system, and administration costs. The HEARTH ESG mandates that the grantee (the City) to provide 100% matching funds from any combination local, non-Federal sources, Federal and non-cash resources as long as the funds identified as match are not Emergency Shelter Grant funds or other funds identified as match to other funding sources. The City intends to meet this match requirement with the following allocations from the City's General Purpose Fund and Community Development Block Grant as follows:

Purpose	Match Amount
Oakland General Purpose Funds	\$121,626
Community Development Block Grant Funds	\$2,500
Private Donor	\$10,000
Local Government Donors	\$73,470
Total Match	\$207,596

4) Families In Transition/Scattered Sites Transitional Housing Program

For the 2012/13 fiscal year, the City anticipates an award of \$245,146 from HUD under the Supportive Housing Program for the City's Families In Transition (FIT)/Transitional Housing Program (THP). This HUD grant requires not less than a 25% fund match for operations costs and not less than a 20% fund match for support services costs. The total match requirement of approximately \$50,735 (\$30,532 for Support Services and \$20,203 for Operations) along with not less than \$82,265 in budget gap funding for this program will be met with the funding sources listed below:

Purpose	Match Amount
Emergency Housing Program – Oakland General Purpose Fund	\$133,000
THP/FIT Tenant Rents	\$21,000
Total Match	\$154,000

5) Homeless Families Support Network/Supportive Housing Program

The City is administering the seventeenth year (2012/13) of the Homeless Families Support Network/Supportive Housing Program (HFSN). This program requires a 25% match of funds for operations costs and 20% match for support services costs awarded by HUD. The City anticipates an award of \$1,829,618. The total match requirement for this grant is estimated at \$357,031 (\$198,522-Support Services and \$158,509-Operations). Match funds are not required for leasing, homeless management information system, and administration budget line item costs. Match requirements will be met as follows:

Purpose	Match Amount
Funds Awarded to the City by Alameda County	\$250,000
Excess Cash Value of Monthly Lease of the Touraine Hotel	\$122,558
Total Match	\$372,558

6) Matilda Cleveland Transitional Housing Program

For the 2012/13 program year, the City anticipates an award of not less than \$259,824 under the Supportive Housing Program grant for the Matilda Cleveland Transitional Housing Program (MCTHP). This program requires a 25% match of funds for operations costs and 20% match for support services costs awarded by HUD. Match funds are not required for leasing and administration costs. Match requirements (total of \$52,922) and program gap funding requirements will be satisfied through the following:

Purpose	Match Amount
Community Promotions Program for Service Organizations- Oakland General Purpose Funds	\$50,000
MCTHP Tenant Rents	\$25,250
Contractor Match Dollars	\$20,000
Total Match	\$95,250

7) Oakland Homeless Youth Collaborative

For the 2012/13 program year, the City anticipates an award of \$699,770 to fund the Oakland Homeless Youth Housing Collaborative, maintaining Oakland's inventory of youth housing and services, providing coordinated housing preparation, transitional housing, and supportive services that help homeless young adults, ages 18-24, move toward self-sufficiency and permanent housing. This program requires a 25% match of funds for operations costs and 20% match for support services costs awarded by HUD (approximate OHYHC match fund requirement - \$123,017). Match funds are not required for leasing and administration costs. Matching funds in the amount of \$123,017 will be provided through the Grant Project Sponsors of the grant as follows:

Grant Project Sponsor	Match Amount
Covenant House	\$110,000
East Oakland Community Project	\$36,000
First Place Fund For Youth	\$22,700
Total Match	\$168,700

FY 2012-2013 Awards of Locally-Controlled Funds for Housing Activities

Project	Type	Date	CDBG	HOME	ORA Low/Mod	ORA Bonds	Other City
1701 Martin Luther King Jr. Way	Rental	3/5/13		\$1,960,000			
TOTAL				\$1,960,000			

4. Support for other applications

Any entity, public, private or nonprofit, that seeks federal funds for housing activities, must secure a Certificate of Consistency from the City, indicating that the proposed activities are consistent with the Consolidated Plan. This requires that the proposed activity address an identified need, that it is in a geographic area targeted for investment, and that it is generally consistent with the City's overall strategy. If the City does not provide a certification, it must indicate the reasons for not doing so. In FY 2012-13, the City did not receive any requests for Certificates of Consistency from any entities (public, private or nonprofit) that were pursuing federal funds for housing activities in the jurisdiction therefore there are none to list in this year's CAPER.

Narrative G: Citizen Participation and Public Comments

The CAPER was prepared by staff in the City's Department of Housing and Community Development (DHCD), which is the lead agency for administration of the HUD formula grants. In preparing the report, the City consulted with other departments within the City, other public agencies, private and nonprofit housing and social service providers, and private and public funding agencies.

The draft report was published on September 13, 2013. A notice of publication and summary of the report was printed in the Oakland Tribune, a newspaper of general circulation serving Oakland. Copies of the draft report were available at no charge at the offices of the Department of Housing and Community Development, 250 Frank Ogawa Plaza, 5th Floor, between the hours of 8:30 a.m. and 4:30 p.m. Also, copies of the draft CAPER were made available in the Office of the City Clerk and the Oakland Main Library. The public comment period ran from September 13, 2013 through September 27, 2013.

A public hearing to consider past performance (including this CAPER) and current and future housing and community development needs will be held before the City Council in the late Fall 2013. Notices of the hearing will be distributed in the same manner as described above. The public hearing is one of two required public hearings conducted by the City to satisfy HUD requirements for citizen participation. The second hearing is conducted during the public comment period on the draft Consolidated Plan Annual Action Plan, usually in mid-April of each year.

1. Information Available in IDIS Reports

The City uses HUD's Integrated Disbursement and Information System (IDIS), a computerized database maintained by HUD to track commitments and expenditures of federal funds and accomplishments in meeting the goals of the Consolidated Plan. Most of the performance reports are now incorporated into IDIS.

Project information continued to be entered during the comment period and was made available with the final report. Much of the project information is summarized in table format in the report.

2. Public Comments

Public comments will be provided in the final CAPER on September 30, 2013.

Narrative H: Self-Evaluation

The City was successful in addressing the majority of the goals and objectives addressed in the Annual Action Plan. The City continues to work to improve the delivery of the restructured Citizen Participation and Evaluation process.

All CDBG funds were used to carry out activities that benefit low- and moderate-income persons. Funds were used to fulfill identified housing, economic development, public service and neighborhood improvement needs. Some of the categories that were assisted include youth services, senior services, blight/healthy environment, and capital improvements of community facilities and home repairs for seniors. These are consistent with the services, target population and needs as identified by the seven community development districts. These activities and strategies have made a positive impact on the identified needs and provided services that might have gone unmet. As in the past, needs continue to exceed the resources available. Major goals are on target.

An evaluation of progress in meeting housing goals appears below. Evaluation of progress for the ESG and HOPWA programs are contained in the sections of the CAPER dealing with program-specific activities for those programs.

1. Assessment of Housing Activities and Accomplishments

1) Progress in Meeting Annual and Five-Year Numeric Goals

The table on the following pages shows the five-year and one-year goals for housing and homeless activities established in the City's Consolidated Plan, and the cumulative accomplishments for both the one-year Action plan and the entire five-year Consolidated Plan.

The City has made substantial progress toward the slated five-year housing production and preservation goals. Unfortunately, those five-year goals were established prior to the dissolution of the City's Redevelopment Agency and the elimination of expected funding for FY 2011-12 and beyond. This has significantly curtailed the ability to continue the pace of accomplishments toward the City's five-year Consolidated Plan goals.

Additionally, the City's First-time Homebuyer Program's ability to meet its five-year Consolidated Plan goals is significantly curtailed due to the dissolution of the Redevelopment Agency and related loss of tax increment funds. Those funds were the main source of funds for the City's first-time homebuyer program. Due to this the City dramatically reduced its one-year Action Plan goals. The City did meet those goals and exceeded its one-year goals for State-funded First-time Homebuyer Programs in FY 2012-13.

The City's program for rehabilitation of owner-occupied housing has maintained a high level of activity in recent years. With the infusion of funding from new programs

such as NSP, CDBG-R and the Weatherization Assistance Program. Unfortunately, the dissolution of the City's Redevelopment Agency and related funding lead to the cancelation of 5 programs of the residential rehabilitation division in Fiscal Year 2011-12.

2) Barriers to meeting goals and objectives

The most significant barrier for the City of Oakland in Fiscal Year 2012-13 has been the dissolution of the Redevelopment Agency and its related funding.

State bond funds for affordable housing, authorized by the voters in 2006, have largely been exhausted. Although the financial health of the State has had a turnaround, it is unlikely that new bond measures will be adopted in the near future.

Substantial reductions in the grant amounts for CDBG and HOME (45% for HOME) have also impeded the City's ability to meet its housing and community development goals.

3) Status of Grant Commitments and Disbursements

The City has met all required deadlines for commitment and drawdown of its grant funds. The City is required to disburse grant funds in a timely matter after drawing them down from HUD. As a rule, the City advances funds to developers and subrecipients and then draws down HUD funds as reimbursement. As a result, all disbursements of Federal funds are timely. For the HOME program, the City has also met or exceeded all requirements for set-asides to Community Housing Development Organizations and for use of expiring funds.

Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2012-2013) Goal	Actual
Objective 1: Expansion of the Supply of Affordable Rental Housing				
Rental Housing New Construction: Units Built	403 Units Built/Underway	163	43	93
Rental Housing New Construction: Units Underway				352
Objective 2: Preservation of the Supply of Affordable Rental Housing				
Rental Housing Preservation: Units Built	650 Units Built/Underway	432	381	206
Rental Housing Preservation: Units Underway				477
Public Housing Reconstruction: Units Built		108	0	21
Public Housing Reconstruction: Units Underway				0
Objective 3: Expansion of the Supply of Affordable Ownership Housing				
Ownership Housing Construction: Units Built	52 Units Built/Underway	8	0	0
Ownership Housing Construction: Units Underway				12
Objective 4: Expansion of Ownership Opportunities for First-Time Homebuyers				
Mortgage and Down Payment Assistance	165 Households	92	0	1
Housing Counseling	Prepare Residents	1,066	400-600	250
Mortgage Credit Certificates	100 Households	26	0	0
Cal Home Program	15 Households	47	7	12
Objective 5: Improvement of Existing Housing Stock				
Owner-Occupied Housing Rehabilitation: Units Completed	1,365 Housing Units	1,691	955	314
Owner-Occupied Housing Rehabilitation: Units Underway				43

Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015)		Current Year (FY 2012-2013)	
	Goal	YTD	Goal	Actual
Objective 6: Provision of Rental Assistance for Extremely Low- and Very Low-Income Families				
Tenant Based Rental Assistance	Maintain Current Level of Assistance	781	574	360
Objective 7: Provision of Supportive Housing for Seniors and Persons with Special Needs				
Senior Housing Development: Units Built	300 Units Built/Underway	299	0	142
Senior Housing Development: Units Underway				0
Special Needs Housing Development: Units Built		28	11	17
Special Needs Housing Development: Units Underway				84
Access Improvement: Units Completed	40 Housing Units	54	8	14
Access Improvement: Units Underway				12
Objective 8: Prevention of Foreclosures and Stabilization of Neighborhoods				
Ownership Housing: Acquisition and Rehabilitation	150 Units Built/Underway	17	5	2
Rental Housing: Acquisition and Rehabilitation	58 Housing Units	59	59	39
Board Up/Clean Up Program	30 Households	126	0	0
Foreclosure Counseling	1000 Households	3,041	0	2,657
Land Trust Pre- and Post-Purchase Counseling	200 Households	6	0	0
Objective 9: Removal of Impediments to Fair Housing				
Referral, Information, and Counseling to Residents w/Disabilities ⁶		6,246	1,290	2,203
Referral, Information, and Counseling to Residents	7500 Households	3,378	2,006	2,131
Discrimination Education and Investigation	300 Households	833	200	110

⁶ The Action Plan FY 2010-11 incorrectly stated that this line item for Referral, Information, and Counseling to Residents with Disabilities was to be a subset of all information and referral clients served by these various grants. This is a stand-alone figure of all Oakland residents with a disability served by the contracted agency.

Housing and Homelessness Goals and Accomplishments, Five Year and Current Year

	5-Year (2010-2015) Goal	YTD	Current Year (FY 2012-2013) Goal	Actual
Prevention and Reduction of Homelessness and Elimination of Chronic Homelessness				
Homeless Reduction	4,653 Ind/HH		1,625	4566
Outreach	2,800 Individuals	633	125	411
Winter Shelter	2,700 Individuals	424	170	160
Year-round Shelter and Services	3,500 Individuals	1,374	630	2,906
HIV/AIDS Housing and Services	2,500 Individuals	1,327	400	836
Rapid Rehousing			300	253
Elimination of Homelessness	4,427 Ind/HH			
Development & Maintenance of Existing Permanent & Supportive Housing	761 Beds	303	138	166
Support Collaboratives to Assist Chronically Homeless in Enrolling in Appropriate Public Benefits Programs	10 Agencies	24	10	10
Integrated Planning Activities thru the Continuum of Care Council	20 Agencies	39	20	20
Raising Awareness about Chronic Homelessness in the Community				
Homelessness Prevention	4,563 Households	2,379	250	296
Special Needs Objectives				
HIV/AIDS Housing & Development	216 Bedroom Units	13	18	15

PART II:

PROGRAM-SPECIFIC NARRATIVES

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)

1. Assessment of the Relationship of the Use of CDBG Funds to the Priorities, Needs, Goals, and Specific Objectives Identified in the Consolidated Plan

The City received an allocation of \$7,254,122 in CDBG funding. The percentage allocations to various program areas were approved by the City Council in FY 2012--2013. Housing Programs received 38% of the CDBG grant allocation, Infrastructure/Neighborhood Improvements and Public Services Programs received 23%, Economic Development Programs received 11%, HUD Loan Repayment received 10% and 18% was allocated for administration of the grant program. All the CDBG funds have been used to address the City's identified priorities under each of the program areas as stated in the approved Consolidated Plan and are reported in each program section. All activities benefited low-and moderate-income persons.

2. Assessment of Efforts in Carrying Out the Planned Actions Described in the Action Plan

Funded programs and services have been consistent with actions described in the five-year strategy and the annual action plans.

The City pursued all resources that were in the HUD-approved Consolidated Plan. The City did not willfully hinder implementation of the Consolidated Plan by action or inaction.

3. Evaluation of the Extent to Which CDBG Funds Were Used for Activities Benefiting Low and Moderate Income Persons

Funds were used exclusively for activities that met the criteria of the three national objectives. All CDBG funds were used to carry out activities benefiting low and moderate income persons. The funds have provided:

- housing
- economic development activities including technical assistance
- assistance with childcare
- services to prevent citizens from becoming homeless
- food, social, legal, senior and youth services
- education and job training that provides an opportunity for upward mobility

4. Displacement as a Result of Acquisition, Rehabilitation or Demolition of Occupied Real Property

No displacement occurred as a result of CDBG-assisted activities during this reporting period.

5. Economic Development Activities and Low/Mod Job Creation; Limited Clientele Activities; Float Loans, Program Income, Loan Repayments and Lump Sum Drawdowns; Rehabilitation Activities; Neighborhood Revitalization Strategies.

Economic development and low/mod job creation

All jobs were made available to low- or moderate-income persons and were taken by low- or moderate-income persons.

i. Limited clientele activities

All activities undertaken during the program year that served limited clientele fell within the categories of presumed low and moderate income benefit (for example, persons with disabilities).

ii. Float loans, program income and loan repayments

The Home Maintenance and Improvement Program (HMIP) is a revolving loan program that provides deferred and amortized loans to low and moderate income homeowners to correct deficiencies and abate health and safety hazards. The Oakland Business Development Corporation, a non-profit benefit corporation, provides technical assistance and loan packaging to small businesses that also result in job creation.

iii. Rehabilitation Activities

See Narrative “C”: Affordable Housing Accomplishments, for all Residential Housing Rehabilitation Activities performed.

6. Actions to Ensure Compliance with Program and Comprehensive Planning Requirements

1) General Monitoring Procedures

The City actively monitored all subrecipients and projects to ensure compliance with program, fiscal and planning requirements. Monitoring included review of monthly invoices and client reports, annual on-site monitoring of financial records and client files. The district coordinator conducted site visits on the majority of the projects and on-site program monitoring was done for most projects. Findings from the Fiscal Services monitoring were researched by the Program Accountant. Service providers completed monthly reports for the Project Administrators on the units of service provided, the cost of providing the service, who the service was provided to and any problems encountered during the month. A public hearing is held each year on the

CDBG, HOME, HOPWA and ESG Programs performance. This provides an opportunity for the public to comment on funded services and programs.

2) Construction Requirements

The Contract Compliance Unit, under the City Administrator's Office, reviewed construction contracts for compliance with L/SLBE (Local/Small Local Business Enterprise) goals and payment of prevailing wages.

3) Environmental Requirements

The Department of Housing and Community Development (DHCD) is certified by the U.S. Department of Housing and Urban Development (HUD). DHCD conducts the National Environmental Policy Act (NEPA) environment assessments on all projects receiving federal funds. In addition, DHCD is in compliance with all requirements under the California Environmental Quality Act (CEQA) for environmental assessments.

Projects included in the Consolidated Plan for July 1, 2010 through June 30, 2015 Development were reviewed to determine the projects that required detailed analysis under HUD's Environmental Review Procedures prior to release of HUD funds. The coded review determinations are on the chart on the following pages. Projects coded "58.34" or "58.35" required no further environmental review before expending HUD funds.

If a project requires a detailed review under HUD's Environmental Review Procedures prior to release of HUD funds, detailed project information must be provided to DHCD's environmental review staff for preparation of an Environmental Assessment, publication of the required notices, and submission to HUD of a Request for Release of Funds.

FY 2011-12 Consolidated Plan Projects Environmental Review Requirements

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
03E Neighborhood Facilities				
Overcomers with Hope Second Chance	Capital Improvements	64	\$41,500	58.35
03F Parks, Recreation Facilities				
City of Oakland/Office of Parks and Recreation	Allendale Recreation Center Kitchen Garden Creation	34	\$9,387	58.35
City of Oakland/Office of Parks and Recreation	Brookdale Recreation Center Kitchen Garden Creation	35	\$9,387	58.35
City of Oakland/Office of Parks and Recreation	DeFremery House Rehabilitation Project	36	\$103,999	58.35
City of Oakland/Office of Parks and Recreation	East Oakland Sports Complex	37	\$48,929	58.35
City of Oakland/Office of Parks and Recreation	Fremont Pool Shell Replacement & Improvements	38	\$63,495	58.35
City of Oakland/Office of Parks and Recreation	Linden Park Sod Replacement	39	\$6,640	58.35
City of Oakland/Office of Parks and Recreation	Linden Park Safety Surface Replacement	40	\$36,520	58.35
City of Oakland/Office of Parks and Recreation	San Antonio Park & Recreation Center Lighting	41	\$29,050	58.35
City of Oakland/Office of Parks and Recreation	Lincoln Square-Alice Street Recreation Corridor Project	42	\$58,100	58.35
City of Oakland/Office of Parks and Recreation	Maxwell Park-Taking The Next Step Towards Recreation, Community and Open Space	48	\$20,235	58.35
City of Oakland/Office of Parks and Recreation	Peralta Creek Accessible Interpretive Path	49	\$35,749	58.35
City of Oakland/ Department of Human Services	PATH Operating Expenses	32	\$336,150	58.34
05 Public Services (General)				

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
City of Oakland/ Dept. of Human Services/ Community Housing Services	Hunger Program	16	\$24,900	58.34
OCCUR	Eastmont Technology Learning Center	18	\$93,703	58.34
City of Oakland/ Dept. of Human Services/Program Planning	Community Health Ambassador Program	33	\$34,860	58.34
East Oakland Community Project	Crossroads Emergency Housing Program	45	\$149,400	58.34
Oakland Chinatown Chamber of Commerce	Public Safety Education Outreach	52	\$16,600	58.34
Unity Council	Foreclosure Counseling	61	\$83,000	58.34
Vietnamese American Community Center	VAAC Project for Seniors and Families	62	\$53,950	58.34
East Oakland Community Project	Crossroads Emergency Housing Program	45.1	\$16,600	58.34
05A Senior Services				
Alameda County Community Food Bank	Food Security Scholarship Program	02	\$82,170	58.34
City of Oakland/ Dept. of Human Services/Aging Health & Human Services	Oakland Senior Companion Assessment & Referral Project	11	\$8,300	58.34
Alzheimer's Services of the East Bay	Dementia Specific Adult Day Care	24	\$24,900	58.34
Eden Council for Hope and Opportunity	Home Equity Conversion Counseling	46	\$17,430	58.34
LifeLong Medical Care	LifeLong In-Home Health Access Project	50	\$24,900	58.34
05D Youth Services				
Project Re-Connect	High Risk Youth Counseling	01	\$122,753	58.34
City of Oakland/ Dept. of Human Services/Aging Health & Human Services	Safe Walk to School	17	\$41,359	58.34

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
Attitudinal Healing Connection	Youth Embracing Love and Life	29	\$83,000	58.34
Covenant House California	Shelter & Supportive Services for Homeless Youth	44	\$37,931	58.34
First Place for Youth	Steps to Success	47	\$83,000	58.34
Oakland Parents Together	3 rd Grade Intensive Support Tutoring Program	53	\$20,750	58.34
OCCUR	Youth Programs	54	\$62,250	58.34
Spanish Speaking Citizens' Foundation	Rising Sun Entrepreneurs-Healthy Cooking for Youth	58	\$20,383	58.34
Spanish Speaking Citizens' Foundation	Rising Sun Entrepreneurs-Professional Pastry Training Program	59	\$31,503	58.34
Student Program for Academic & Athletic Transitioning	Teach Bar-Hustle High Academic & Athletic Skills Program	60	\$37,350	58.34
Youth Uprising	The Corner's Café Culinary Arts Training Program	63	\$39,753	58.34
05K Tenant Landlord Counseling				
Center for Independent Living	Tenant Landlord Counseling Program	30	\$16,600	58.34
06 Interim Assistance				
City of Oakland/ Dept. of Housing & Community Agency	Blighted Property Board Up and Cleanup	31	\$124,500	58.34
08 Relocation				
City of Oakland/ Dept. of Housing & Community Agency	Code Enforcement/ Relocation Program	65	\$124,500	58.34
14A Rehab: Single-Unit Residential				
City of Oakland/ Dept. of Housing & Community Agency	Access Improvement Program	05	\$172,374	58.35

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
Alameda County Housing and Community Development	Minor Home Repair Program	10	\$201,632	58.35
City of Oakland/ Dept. of Housing & Community Agency	Emergency Home Repair Program	20	\$317,574	58.35
City of Oakland/ Dept. of Housing & Community Agency	Home Maintenance & Improvement Program	23	\$2,246,950	58.35
Rebuilding Together Oakland	Beautification of Community Spaces	55	\$12,450	58.35
Rebuilding Together Oakland	Blight Removal via Cleanup, Repair & Landscaping of Homes & Open Space	56	\$20,750	58.35
Rebuilding Together Oakland	Home Repairs & Safety/Accessibility Modifications	57	\$75,904	58.35
14E Rehabilitation Public/Private Commercial/Industrial				
OCCUR	Heartlands Neighborhood Revitalization/Façade Improvement	19	\$110,390	58.35
14I Lead-Based/Lead Hazard Testing/Abatement				
City of Oakland/ Community & Economic Development Agency	Lead Safe Housing Paint Program	04	\$178,691	58.34
17C Commercial/Industrial Building Acquisition, Construction, Rehabilitation				
City of Oakland/ Dept. of Housing & Community Agency	Neighborhood Commercial Façade Improvement Program – G83200	22	\$214,520	58.35
18B Economic Development Technical Assistance				
City of Oakland/ Dept. of Housing & Community Agency	Economic Development Program Delivery Costs	03	\$376,177	58.34
City of Oakland/ Dept. of Housing & Community Agency	Commercial Lending	21	\$124,683	58.34
Oakland Business Development Corporation	Business Loan Program	51	\$192,427	58.34
18C Micro Enterprise Assistance				
AnewAmerica Community Corp.	Green Business Program	28	\$41,500	58.34

SPONSOR	PROGRAM	PROJECT ID NUMBER	CDBG Funding Amount	NEPA CODE
19F Repayments of Section 108 Loans				
City of Oakland/ Community and Economic Development Agency	Section 108 Loan Repayments	27	\$447,264	58.34
21A General Program Activities				
City of Oakland/ Community & Economic Development Agency	CDBG Program Monitoring/Administration	14	\$1,161,286	58.34
21D Fair Housing Activities				
Subgrantee to be determined	Fair Housing and Housing Related Services	66	\$297,140	58.34

7. Financial Summary Report

The following pages contain the required Financial Summary Reports for the CDBG and CDBG-R programs. This information was not generated by IDIS and has been prepared manually.

Financial Summary
Grantee Performance Report
Community Development Block Program

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

July 1, 2012 to June 30, 2013

OMB Approval NO. 2506-0006 (Exp. 3/31/93)

##

1 Unexpended CDBG funds at end of previous period:		\$ (9,656,454.74)
2 Entitlement Grant from HUD (Grant Agreement):		7,254,122.00
3 Surplus Urban Renewal Funds		-
4 Section 108 Guaranteed Loan Funds (Principal Amount)		-
5 Program Income		
a) Non Revolving Funds	\$ 780,364.50	
b) Revolving Funds	420,943.67	
Total Program Income (Sum of a and b above)		1,201,308.17
6 Prior Period Adjustments (If negative, enclose in brackets)		-
7 Total CDBG funds available for this report period		(1,201,024.57)
8 Total Expenditures reported on activity summary		(13,874,119.21)
9 Planning & Administration	\$ 1,672,583.64	
10 Low/Mod Benefit Calculation	12,201,535.57	
11 CDBG funds used for Section 108 Principal & Interest		-
12 Total Expenditures		(13,874,119.21)
13 Unexpended balance		\$ (15,075,143.78)
14 Total low/mod credit for multi-unit housing expenditures	\$	-
15 Total from all other activities qualifying as low/mod expenditures		11,711,394.57
16 Total (Line 14 + Line 15)	\$	11,711,394.57
17 Percent benefit to low/mod persons (line 16 divided by line 10 this reporting period)		0.96
Program Years (PY) covered in certification PY99 PY00 PY01		
18 Cumulative net expenditures subject to program benefit calculation	\$	33,982,041.58
19 Cumulative expenditures benefiting low/mod persons	\$	33,982,041.58
20 Percent benefit to low/mod persons (line 19 divided by line 18)		1.00

Line 18 Calculation:	FFY 10	\$ 10,005,977.10	Line 19 Calculation:	FFY 10	\$ 10,005,977.10
	FFY 11	10,553,187.35		FFY 11	10,553,187.35
	FFY 12	13,422,877.13		FFY 12	13,422,877.13
	Total	\$ 33,982,041.58		Total	\$ 33,982,041.58

EXHIBIT - A

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21 Total PS expenditures from column h, form HUD 4949.2a	\$	144,002.23
22 Total PS unliquidated obligations from column r, form HUD 4949.2a		-
23 Sum of line 21 and line 22	\$	144,002.23
24 Total PS unliquidated reported at the end of the previous reporting period	\$	-
25 Net obligations for public services (line 23 minus line 24)	\$	144,002.23
26 Amount of program income received in the preceding program year	\$	(16,871,732.78)
27 Entitlement grant amount (from line 2)		7,254,122.00
28 Sum of lines 26 and 27	\$	(9,617,610.78)
29 Percent funds obligated for Public Service Activities (line 25 divided by line 28)		(0.01)
30 Amount subject to planning and administrative cap (grant amount from line 2 plus line 5)	\$	8,455,430.17
31 Amount expended for Planning & Administration (from line 9 above)	\$	1,672,583.64
32 Percent funds expended (line 31 divided by line 30)		0.20

Program Income Narrative

A - Non Revolving Funds

1 Economic Development	(D2499.9100)	\$	1,201,308.17
------------------------	--------------	----	--------------

2 Float Funded Activities

(D2499.9401)	-
--------------	---

olk

(D2499.9800)	-
--------------	---

(D2499.9600)	-
--------------	---

3 Other Loan Repayments

Housing Rehabilitation Loans	(D2499.9500)		720,816.15
------------------------------	--------------	--	------------

(D2499.9501)	-
--------------	---

(D2499.9200)	-
--------------	---

4 Income Received From Sale Of Property N/A

Other income		-
--------------	--	---

5 Interest Income

Money Market Account	(D2499.9900)	-
----------------------	--------------	---

(D2499.9700)	-
--------------	---

Total Non-Revolving		1,922,124.32
----------------------------	--	--------------

B - Revolving Funds

1 OBDC - NEDF & Micro Loans		420,943.67
-----------------------------	--	------------

Total Program Income		2,343,067.99
-----------------------------	--	--------------

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1 Outstanding Float-Funded Activities

\$ -

\$ -

TOTAL

2 Loans and Other Receivables

a. **Outstanding Loans**

i **Housing Rehabilitation Loans**

Loans Outstanding

1098

Principal Balance

\$ 35,086,776.33

ii

CDBG Loans

0

Principal Balance

\$ -

iii.

Economic Development Loans

Loans Outstanding

59

Principal Balance

\$ 2,275,569.47

b.

Deferred Forgivable Loans

Housing Rehabilitation Loans

Loans Outstanding

0

Principal Balance

\$ -

3 Loans Written Off During This Period

\$ -

**4 Parcels Acquired Or Improved With CDBG Funds That
Are Available For Sale As Of End Of Reporting Period.**

N/A

5 Lump Sum Drawdown Agreement

N/A

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Page 3 of 5

Amount of funds available during reporting period
(from line 7 of HUD-4949.3)

(1,203,248.83)

ADD Income expected but not yet realized

LESS: Total budgeted amount on HUD-4949.2/2a

10,655,642.14

UNPROGRAMMED BALANCE:

\$ (11,858,890.97)

**D. RECONCILIATION OF LINE(S) OF CREDIT (LOC) AND CASH BALANCES
TO UNEXPENDED BALANCE OF CDBG FUNDS SHOWN ON GPR**

Complete the following worksheet and submit with the attachment:

UNEXPENDED BALANCE SHOWN ON GPR
(Line 13 of HUD 4949.3)

\$ (15,075,143.78)

ADD:

LOC balance(s) as of GPR date:

\$ 9,628,226.28

Cash on hand:

Grantee Program Account

1,201,308.17

Subrecipients Program Account

420,943.67

Revolving Fund Cash Balances

-

Section 108 Accounts (in contract)

-

SUBTRACT:

Grantee CDBG Program Liabilities (include any reimbursements
due to the Grantee from program funds)

-

Subrecipient CDBG Program Liabilities (same instructions
as above)

-

TOTAL RECONCILING BALANCE:

11,250,478.12

UNRECONCILED DIFFERENCE:

\$ 3,824,665.66

*When grantees or subrecipients operate their programs on a
reimbursement basis, any amounts due to the grantees or
subrecipients should be included in the Program Liabilities.*

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Page 4 of 5

Name of Grantee
City of Oakland

Grant Number
B12-MC-06-0013

Program Year
From 7/01/12 to 6/30/13

All grantees must submit this form,
whether or not they have CDBG funded
rehabilitation programs.

Single-Unit
Activities
(1Unit)

Multi-Unit
Activities
(2+ Units)

1 Check box only if grantee has no CDBG rehabilitation activities

2 Staffing: Number of Staff-Years
(FTE staff-years to tenths)

3 Current Program Year Expenditures:

Activity delivery costs from CDBG funds

a. Staff costs: Amount expended in 2 above

b. Other direct costs (not included in 4)

4 Current Program Year Expenditures:

For all projects (a+b+c below)

a. CDBG funds expended

b. Other public (Federal, State, local) funds exp'd

c. Private funds expended

5 Project/Units Rehabilitated/Committed

a. Number of projects committed (multi-unit only)

b. Number of units committed

6 Obligations: Amount obligated for
projects/units committed in 5a and 5b

a. CDBG funds obligated

b. Other public (Fed., State, local) funds obligated

c. Private funds obligated

7 Projects/Units Rehabilitated/Completed

a. Number of projects completed (multi-unit only)

b. Number of units completed

8 Cumulative Expenditures:

a. CDBG funds expended

b. Other public (Federal, State, local) funds expended

c. Private funds expended

None	None
------	------

- -

- -

- -

- -

- -

- -

- -

- -

Projects

- -

Units

Units

- -

- -

- -

- -

- -

Projects

- -

Units

Units

- -

- -

- -

- -

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Financial Summary**Grantee Performance Report****Community Development Block Program- Recovery****July 1, 2012 to June 30, 2013****U.S. Department of Housing and Urban Development****Office of Community Planning and Development****OMB Approval NO. 20515R**

1 Unexpended CDBG funds at end of previous period:		\$	755,429.71
2 Entitlement Grant from HUD (Grant Agreement):			-
3 Surplus Urban Renewal Funds			-
4 Section 108 Guaranteed Loan Funds (Principal Amount)			-
5 Program Income			
Non Revolving Funds	\$	30,535.02	
Revolving Funds		-	
Total Program Income (Sum of columns a and b)			30,535.02
6 Prior Period Adjustments (If negative, enclose in brackets)			-
7 Total CDBG funds available for this report period			<u>785,964.73</u>
8 Total Expenditures reported on activity summary			893,232.79
9 Planning & Administration	\$	93,951.87	
10 Low/Mod Benefit Calculation		<u>799,280.92</u>	-
11 CDBG funds used for Section 108 Principal & Interest			-
12 Total Expenditures			<u>893,232.79</u>
13 Unexpended balance			<u>\$ (107,268.06)</u>
14 Total low/mod credit for multi-unit housing expenditures		\$	-
15 Total from all other activities qualifying as low/mod expenditures			893,232.79
16 Total (Line 14 + Line 15)		\$	<u>893,232.79</u>
17 Percent benefit to low/mod persons (line 16 divided by line 10 this reporting period)			<u>1.12</u>
Program Years (PY) covered in certification PY99 PY00 PY01			
18 Cumulative net expenditures subject to program benefit calculation		\$	1,313,983.16
19 Cumulative expenditures benefiting low/mod persons		\$	1,313,983.16
20 Percent benefit to low/mod persons (line 19 divided by line 18)			1.00

Line 18 Calculation: FFY 11 331,712.80
FFY12 982,270.36
Total \$ 1,313,983.16

Line 19 Calculation: FFY 11 \$331,712.80
FFY12 982,270.36
FFY 11
Total \$ 1,313,983.16

EXHIBIT - A

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21 Total PS expenditures from column h, form HUD 4949.2a	\$	-
22 Total PS unliquidated obligations from column r, form HUD 4949.2a		-
23 Sum of line 21 and line 22	\$	-
24 Total PS unliquidated reported at the end of the previous reporting period	\$	-
25 Net obligations for public services (line 23 minus line 24)	\$	-
26 Amount of program income received in the preceding program year	\$	-
27 Entitlement grant amount (from line 2)		-
28 Sum of lines 26 and 27	\$	-
29 Percent funds obligated for Public Service Activities (line 25 divided by line 28)		-
30 Amount subject to planning and administrative cap (grant amount from line 2 plus line 5)	\$	30,535.02
31 Amount expended for Planning & Administration (from line 9 above)	\$	93,951.87
32 Percent funds expended (line 31 divided by line 30)		3.08

Program Income Narrative

A - Non Revolving Funds		
1 Economic Development	(D2499.9100)	\$ 30,535.02
2 Float Funded Activities	(D2499.9401)	-
	(D2499.9800)	-
	(D2499.9600)	-
3 Other Loan Repayments		
Housing Rehabilitation Loans	(D2499.9500)	-
	(D2499.9501)	-
	(D2499.9200)	-
4 Income Received From Sale Of Property N/A		
Other income		-
5 Interest Income		
Money Market Account	(D2499.9900)	-
	(D2499.9700)	-
		<u>30,535.02</u>
B - Total Non-Revolving		
Revolving Funds		-
Total Program Income		<u>30,535.02</u>

1 Outstanding Float-Funded Activities

\$ -
-
-

TOTAL

\$ -

2 Loans and Other Receivables

a. Outstanding Loans

i Housing Rehabilitation Loans

Loans Outstanding

0

Principal Balance

\$ -

ii CDBG Loans

Principal Balance

0

\$ -

iii. Weatherization Loans

Loans Outstanding

221

Principal Balance

\$ 1,364,922.57

b. Deferred Forgivable Loans

Housing Rehabilitation Loans

Loans Outstanding

0

Principal Balance

\$ -

3 Loans Written Off During This Period

\$ -

4 Parcels Acquired Or Improved With CDBG Funds That
Are Available For Sale As Of End Of Reporting Period.

N/A

5 Lump Sum Drawdown Agreement

N/A

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Amount of funds available during reporting period
(from line 7 of HUD-4949.3)

785,964.73

ADD: Income expected but not yet realized

-

LESS: Total budgeted amount on HUD-4949.2/2a

\$ 752,494.03

UNPROGRAMMED BALANCE:

\$ 33,470.70

**D. RECONCILIATION OF LINE(S) OF CREDIT (LOC) AND CASH BALANCES
TO UNEXPENDED BALANCE OF CDBG FUNDS SHOWN ON GPR**

Complete the following worksheet and submit with the attachment:

UNEXPENDED BALANCE SHOWN ON GPR

\$ (107,268.06)

(Line 13 of HUD 4949.3)

ADD:

LOC balance(s) as of GPR date:

\$ 103,905.00

Cash on hand:

Grantee Program Account

30,535.02

Subrecipients Program Account

-

Revolving Fund Cash Balances

-

Section 108 Accounts (in contract)

-

SUBTRACT:

Grantee CDBG Program Liabilities (include any reimbursements
due to the Grantee from program funds)

-

Subrecipient CDBG Program Liabilities (same instructions
as above)

-

TOTAL RECONCILING BALANCE:

134,440.02

UNRECONCILED DIFFERENCE:

\$ (241,708.08)

*When grantees or subrecipients operate their programs on a
reimbursement basis, any amounts due to the grantees or
subrecipients should be included in the Program Liabilities.*

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Page 4 of 5

Name of Grantee
City of Oakland

Grant Number
B-09-MY-06-0013

Program Year
From 7/01/12 to 6/30/13

All grantees must submit this form,
whether or not they have CDBG funded
rehabilitation programs.

Single-Unit
Activities
(1Unit)

Multi-Unit
Activities
(2+ Units)

1 Check box only if grantee has no CDBG rehabilitation activities

2 Staffing: Number of Staff-Years
(FTE staff-years to tenths)

3 Current Program Year Expenditures:

Activity delivery costs from CDBG funds

a. Staff costs: Amount expended in 2 above

b. Other direct costs (not included in 4)

4 Current Program Year Expenditures:

For all projects (a+b+c below)

a. CDBG funds expended

b. Other public (Federal, State, local) funds exp'd

c. Private funds expended

5 Project/Units Rehabilitated/Committed

a. Number of projects committed (multi-unit only)

b. Number of units committed

6 Obligations: Amount obligated for
projects/units committed in 5a and 5b

a. CDBG funds obligated

b. Other public (Fed., State, local) funds obligated

c. Private funds obligated

7 Projects/Units Rehabilitated/Completed

a. Number of projects completed (multi-unit only)

b. Number of units completed

8 Cumulative Expenditures:

a. CDBG funds expended

b. Other public (Federal, State, local) funds expended

c. Private funds expended

None	None
------	------

- -

- -

- -

- -

- -

- -

- -

- -

Projects

- -

Units

Units

- -

- -

- -

- -

- -

Projects

- -

Units

Units

- -

- -

- -

- -

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HOME INVESTMENT PARTNERSHIPS PROGRAM

1. Allocation of HOME Funds

During the program year, HOME funds were awarded as follows (data on commitments and expenditures can be found in the HOME IDIS reports):

Program Administration	\$235,582
1701 Martin Luther King Jr. Way	\$1,960,000
Owner-Occupied Rehabilitation	\$268,637
TOTAL ALLOCATIONS	\$2,464,219

2. Summary of Activities Undertaken with HOME Funds

The City continues to utilize its HOME funds to meet a variety of objectives identified in the Consolidated Plan. The City has fully met its obligations for both commitment and expenditure of funds in a timely manner.

1) Projects Completed

6th & Oak Senior

609 Oak Street

Construction of 70 new units for low income senior households

HOME funding: \$3,850,344

Building permit finalized July 2012. Project closed-out.

720 E. 11th Street (aka Clinton Commons)

720 E. 11th Street

New construction of 55 rental units.

HOME funding: \$1,669,500

Construction completed and full lease up was achieved in October 2012.

Lion Creek Crossings Phase IV

69th Avenue at Snell Street

New construction of 51 units of rental housing (including 1 manager's unit) and 21 units of reconstruction of public housing.

HOME funding: \$3,499,453

Construction completed June 2012.

Saint Andrew's Manor Senior Apartments

3250 San Pablo Ave.

Rehabilitation of existing 60-unit HUD-assisted senior housing.

HOME Funding: \$1,248,300

Construction was completed March 2013..

Slim Jenkins

700 Willow Street

Rehabilitation and ownership interest transfer of existing affordable rental development of 32 units.

HOME: \$669,000

Building rehabilitation completed in 2012. HOME loan closing and project close-out to take place in Summer 2013.

2) Projects Underway

C.L. Dellums Apartments

644 14th Street

Rehabilitation and ownership interest transfer of existing 73 rental units.

HOME Funding: \$1,200,000

Closed loan, commenced rehabilitation work..

California Hotel

3501 San Pablo Avenue

Rehabilitation of 150 unit SRO historic property to 137 units.

HOME funding: \$541,750

HOME funding amount reduced from \$3,168,000 slated in FY 2011-12.

Madison Park Apartments

100 9th Street

Rehabilitation of 98 units (including 2 manager's units).

HOME funding: \$1,250,000

Construction began March 2013 and is estimated to be completed in December 2013.

Madrone Hotel

477 8th Street

Rehabilitation of a 31 unit single room occupancy building and one manager's unit.

HOME funding: \$989,000

Rehab loan slated to close October 2013..

Marcus Garvey Commons

721 Wood Street

Rehabilitation of 21 units of affordable family housing.

HOME funding: \$382,000

The HOME loan closed on August 22, 2013. Construction will begin once the quiet title action to cancel the joint venture has been resolved via the courts. .

Saint Joseph's Family

2647 International Boulevard

New construction of 58 units of affordable family housing (including one manager unit).

HOME funding: \$3,850,344

Construction started in February 2010. Anticipated completion date is September 2013.

The Savoy (Formerly: Jefferson Oaks Apartments or Oaks Hotel)

587 15th Street and 1424 Jefferson Street

Rehabilitation of two existing SRO buildings. Both buildings are adjacent to each other and will result in a total of 105 rehabilitated SRO units.

HOME funding: \$2,500,000

Construction completed in May 2013. Final project close-out is anticipated in September 2013.

3) Loan Programs Operated During Program Year

Rehabilitation Assistance for Existing Owner-Occupied Homes

Community Development Districts

Provide deferred loans for low and moderate income homeowners.

3. Relation to Consolidated Plan Goals

The City's Consolidated Plan indicates a high priority for rental housing, particularly for very low income seniors and families, and for larger families. All available HOME grant funds were allocated for rental housing, including housing for seniors and families. HOME-assisted projects contain more than the minimum required number of units affordable to very low income households, and the City grants priority in its funding awards to projects with a higher proportion of units serving larger families (units with 3 or more bedrooms).

Program income derived from repayment of first-time homebuyer loans and owner-occupied housing rehabilitation loans made in earlier years with HOME funds is generally allocated for rehabilitation of owner occupied homes in order to meet the City's need for maintenance and improvement of its aging housing stock.

4. Community Housing Development Organizations (CHDOs)

The City has five currently certified CHDOs – Affordable Housing Associates (AHA), Community Housing Development Corporation of North Richmond (CHDCNR), the East Bay Asian Local Development Corporation (EBALDC), Resources for Community Development (RCD), and Dignity Housing West.

HOME commitments to CHDOs have far exceeded the minimum program requirement of 15% of each year's allocation. As of June 30, 2013, approximately 50 percent of all allocated HOME funds have been provided to CHDOs since the inception of the program in 1992.

5. Minority Outreach

The City continues to monitor the performance of HOME-assisted developers in doing outreach to increase the participation of minority and women owned firms in contracts funded under the HOME program.

During the program year, construction was completed on 720 E. 11th Street, Saint Andrew's Manor, Slim Jenkins and 6th & Oak Senior Homes.

The MBE/WBE Report, reflecting contracting activity for these projects, is included at the end of this section.

6. Matching Funds

The statute and regulations governing the HOME Program require that participating jurisdictions contribute matching funds to their HOME-assisted housing program. The match requirement is based on the amount of HOME funds expended during each federal fiscal year, which runs from October 1 through September 30.

A HOME Match Report, covering the FY 2012-13 program year, is included at the end of this section under item 9.

The City is required to provide matching funds for all disbursements of HOME funds awarded in FY 1993 or later. HOME regulations normally require that HOME funds be matched by a 25% contribution. Because the City continues to qualify for a "partial fiscal distress" reduction, the City's matching requirement is reduced to 12.5% of the HOME expenditures.

Excess matching funds may be carried over from year to year. The City's cumulative matching contributions continue to be far in excess of the amount required, resulting in a significant amount carried forward to the next year.

7. Monitoring of Completed Rental Projects

The following HOME-assisted rental projects were monitored during the FY 2012-13 program year:

<u>City of Oakland HOME Assisted Unit</u>	<u>Date Monitored</u>
Adeline Lofts	6/11/13
Allen Temple Manor	6/4/13
Altenheim Senior Housing, Phase I	5/21/13
Altenheim Senior Housing, Phase II	1/15/13
Bancroft Senior Homes	3/12/13
Bishop Nichols Senior Housing	8/17/12
Coolidge Court	3/15/13
E.C. Reems Gardens	8/3/12
Eastmont Court	9/19/12
Fruitvale Transit Village	4/16/13
Harrison Hotel	11/12/12
International Blvd. Housing	9/27/12
Irene Cooper Manor	10/2/12
Las Bougainvilleas	10/26/12
Lincoln Court Sr.	9/17/12
Linden Ct. (Chestnut)	7/27/2012
Lion Creek Crossing, Phase I	9/13/12
Lion Creek Crossing, Phase III	9/14/12
Madison @ 14 th St. Apts.	1/11/13
Mandela Gateway Apts.	10/5/12
Northgate Apts.	10/4/12
Orchard Senior	9/18/12
Percy Abram Jr. Senior Apts.	11/29/12
Saint Patrick's Terrace	3/22/13
Seven Directions 6/20/11	7/26/13
Sojourner Truth Manor	1/31/13
Southlake Tower	12/13/12
Stanley Avenue Apts.	9/27/12

The City continues to rely on a monitoring procedures manual and monitoring forms originally made possible due to HUD-funded technical assistance provided by ICF Consulting. The manual is updated as needed.

8. Displacement and Relocation

In FY 2011-12, work began on the Slim Jenkins Court rehabilitation project, which preserves an existing affordable housing resource and improves livability for the residents and financial feasibility for the on-going management of these housing units. The rehabilitation work required temporary relocation of residents, which was coordinated by a relocation consultant. Residents were relocated into hotel units located on-site, and locked on-site storage units were also provided on-site. Moving assistance was provided, and the hotel/storage units were in previously vacant units that were held vacant throughout the rehab. The project will not reduce the number of units, nor the number of units affordable to very low and low income households. All tenants were provided with relocation assistance as required.

In FY 2012-13, work began on the CL Dellums Apartments rehabilitation project to preserve an existing affordable housing resource and improve livability for the residents and financial feasibility for the on-going management of these housing units. The rehabilitation work is requiring temporary relocation of residents, which was coordinated by a relocation consultant and property management staff. There were insufficient vacancies on-site to allow for on-site relocation, so residents are being relocated off-site, mainly to nearby hotels, but occasionally to family members living farther away (at the tenant's discretion and in collaboration with their family members). Moving assistance was provided. The project will not reduce the number of units, nor the number of units affordable to very low and low income households. All tenants were provided with relocation assistance as required.

9. HOME Program Income and MBE/WBE Report

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 7/1/12	Ending 6/30/13	9/30/13

Part I Participant Identification

1. Participant Number MC060208	2. Participant Name City of Oakland		
3. Name of Person completing this report Lisa Brown		4. Phone Number (Include Area Code) 510-238-2064	
5. Address 250 Frank H. Ogawa Plaza, Suite 5313	6. City Oakland	7. State CA	8. Zip Code 94612

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$0.00	2. Amount received during Reporting Period \$268,637	3. Total amount expended during Reporting Period \$268,637	4. Amount expended for Tenant-Based Rental Assistance \$0.00	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$0.00
---	---	---	---	---

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
A. Contracts						
1. Number	3	0	0	0	0	3
2. Dollar Amount	\$36,733,297	\$0.00	\$0.00	\$0.00	\$0.00	\$36,733,297
B. Sub-Contracts						
1. Number	114	0	2	5	2	105
2. Dollar Amount	\$26,070,060	\$0.00	\$303,450	\$220,565	\$928,485	\$24,617,560
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	3	0	3			
2. Dollar Amount	\$36,733,297	\$0.00	\$36,733,297			
D. Sub-Contracts						
1. Number	114	2	112			
2. Dollar Amounts	\$26,070,060	\$1,244,028	\$24,826,032			

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0					
2. Dollar Amount	\$0.00					

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost				
1. Parcels Acquired	0	\$0.00				
2. Businesses Displaced	0	\$0.00				
3. Nonprofit Organizations Displaced	0	\$0.00				
4. Households Temporarily Relocated, not Displaced	0	\$0.00				

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	0					
6. Households Displaced - Cost	\$0.00					

10. HOME Match Report

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Part I Participant Identification

Match Contributions for Federal Fiscal Year (yyyy)	2012
--	------

1. Participant No. (assigned by HUD) MCO60208		2. Name of the Participating Jurisdiction Oakland, CA		3. Name of Contact (person completing this report) Maryann Sargent	
5. Street Address of the Participating Jurisdiction 250 Frank H. Ogawa Plaza, Ste. 5313				4. Contact's Phone Number (include area code) 510-238-6170	
6. City Oakland		7. State CA	8. Zip Code 94612		

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$ 36,516,156	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$ 0	
3. Total match available for current Federal fiscal year (line 1 + line 2)		\$ 36,516,156
4. Match liability for current Federal fiscal year		\$ 0
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		\$ 36,516,156

Part III Match Contribution for the Federal Fiscal Year

[illegible]

11. HOME Drawdowns Per IDIS Report PR07 – TO BE SUBMITTED AT A LATER DATE

12. Status of HOME Grants per IDIS Report PR27 – TO BE SUBMITTED AT A LATER DATE

13. Status of HOME Activities per IDIS Report PR22 – TO BE SUBMITTED AT A LATER DATE

EMERGENCY SOLUTIONS GRANT AND CONTINUUM OF CARE FOR HOMELESS PERSONS

1. 2012/13 Emergency Solutions Grant – Accomplishment Narrative

ESG funds were allocated in support of the City of Oakland Permanent Access To Housing (PATH) Strategy, a companion to the Alameda County Everyone Home Plan. The Alameda Countywide EveryOne Home Plan is a roadmap for ending homelessness in the county by year 2020. EveryOne Home is a comprehensive plan for providing housing and supportive services to homeless people in Alameda County and to those people living with serious mental health illness and HIV/AIDS.

Both, EveryOne Home and PATH are based on a “Housing First” program model that emphasizes rapid client access to permanent housing rather than prolonged stays in shelters and transitional housing.

A key transitional step in the PATH Strategy is the reconfiguration of homeless services contracts and funds under what has been three separate, but related homeless programs; the Emergency Solutions Grant (ESG), City of Oakland General Purpose Fund Emergency Housing Program (EHP) and the Community Development Block Grant Homeless Service Set Aside (CDBG) to support the goals and objectives of the EveryOne Home Plan and PATH Strategy.

Grant agreements were extended into the fiscal year 2012/13 for agencies that were awarded under the City’s 2011/12/PATH Request For Applications and that successfully performed 2011/12 contracted scope of services under the 2011/12 PATH Strategy funded by ESG and the other funding sources mentioned above.

The 2011/12 PATH applicants for which grant agreements were extended into the 2012/13 PATH program year for the 2012/13, were ranked in the following criteria categories for the provision of housing and services leading to housing for the homeless and near homeless. Applications were compared with other projects of the same type. For example, Rapid rehousing projects were compared to other rapid rehousing projects. Homeless Prevention projects were compared with other homeless prevention projects, etc.

Process and Criteria for Awarding Funds

- 1) **Client Services** - Total number of clients served using under PATH from January 1, 2010 through December 31, 2010.
- 2) **Permanent Housing Outcomes**- Of the clients served in 2010, the percentage that obtained permanent housing (not including homeless prevention and services in housing)
- 3) **Housing Retention**- Of those housed at the beginning of 2010, the percentage of clients that maintained housing or moved to other permanent housing (homeless prevention and services in housing only)

- 4) **Exit to Homelessness** – Percentage of clients served that exited the program to the streets or shelter
- 5) **Obtained Income** – Of the clients that enter the program in 2010 without income, the percentage that gained income
- 6) **Occupancy** – For site based programs, total number of beds or units and the average nightly occupancy rate for 2010
- 7) **Time in Program** – Of clients obtaining housing, the average length of time the client participated in services prior to placement (not including prevention and services in housing)
- 8) **Homeless Management Information System (HMIS)** – How well HMIS generated report substantiates reported outcomes
- 9) **Program Planning** - Changes proposed by applicant agency to existing program for the 2011/12 program year. Tools that will strengthen services and improve outcomes
- 10) **Collaboration and Leveraging** – Key partnerships and leveraged resources brought to homeless clients in Oakland

Matching Funds

The City provided matching funds for the FY 2012-13 Emergency Solutions Grant HUD award of \$656,315 and the 2011 ESG Substantial Amendment in the amount of \$207,596 with the following fund sources:

2012/13 Emergency Solutions Grant

Match Fund Source	Match Amount
Emergency Housing Program- Oakland General Purpose Funds	\$115,000
Homeless Mobile Outreach Program – Oakland General Purpose Funds	\$179,310
Community Housing Services Staff Costs- Oakland General Purpose Funds	\$10,000
Community Development Block Grant – Federal Funds	\$336,150
Total Match	\$656,315

2011 Emergency Solutions Grant Substantial Amendment

Match Fund Source	Match Amount
Oakland General Purpose Funds	\$121,626
Community Development Block Grant Funds	\$2,500
Private Donor	\$25,855
Local Government Donors	\$73,470
Total Match	\$207,596

1) Assessment Goals & Objectives:

The 2012/13 PATH Strategy, funded by ESG and other fund sources helped address pertinent Strategic Plan objectives related to housing and other priority homeless needs by providing rapid re-housing services and financial assistance, homeless prevention services and financial assistance, outreach and shelter services related to housing.

Through Oakland's 2012/13 PATH Strategy, approximately 3,930 homeless or near homeless persons gained access to permanent housing, temporary shelter, hotel/motel vouchers, support services, rental assistance, eviction prevention, outreach, homeless encampment services and/or other support services. Of the 3,930 served, 567 are reported to have obtained or maintained permanent housing and 60 obtained permanent supportive housing. Short term financial assistance for rapid rehousing and homeless prevention was provided to 198 households.

2) Leveraging Resources:

The Emergency Solutions Grants (ESG) awarded to the City of Oakland in the amounts of \$207,596 (2011 Substantial Amendment) and \$656,315 (2012 Grant) funded the 2012/13 Oakland PATH Strategy. ESG funds were leveraged with a combination of City of Oakland General Purpose Funds, Community Development Block Grant funds, donations, and funds from Alameda County and City of Berkeley as follows:

Purpose	Leverage Amount
Oakland General Purpose Fund	441,791
Community Development Block Grant	338,650
Local Government Donors	73,470
Private Donors	10,000
Total Direct Oakland PATH Leverage Funds	\$863,911

3) Self-Evaluation:

Preliminary results from a recent 2013 survey estimates that 4,264 people were homeless in Alameda County at a “point in time” in January 2013. Of the 4,264, it is estimated that 2,558 are homeless in Oakland. The supply of shelter beds in Oakland meets close to 6% of this demand. Through PATH (ESG funded) activities, the greater focus is placed on the immediate need for housing and/or housing related services for those individuals and/or families plagued with issues leading to homelessness in addition to the provision of shelter, outreach and homeless prevention assistance.

Under the 2012/13 PATH Strategy, with ESG and match funding approximately 3,600 people received housing related services and support services resulting in over 627 clients obtaining or maintaining permanent and/or permanent supportive housing. More than 198 households received financial assistance. In addition, shelter services, hotel/motel vouchers and other housing assistances and support services were provided to assist those in need.

The City also operated a temporary winter shelter at the Oakland Army Base site from November 2012 through mid-April 2013. Over 14,419 shelter bednights were provided to 551 clients unable to access the shelter system during the cold and rainy winter months. This effort is a continued and vital partnership between Alameda County, City of Berkeley, Anka Behavioral Health, Inc., and the City, benefitting the homeless population during the winter months.

The City, along with Operation Dignity and Abode services administered the PATH Homeless Mobile Outreach Program, serving the homeless living in encampments. Within the FY 2012/13 program year, site assessments for a number of encampments were completed. More than 264 persons living in homeless encampments received harm reduction services, outreach, outreach, and intensive case management.

2. ESG Financial Summary Report per IDIS Report PR91 (insert)



U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System

DATE: 09-25-13
TIME: 19:41
PAGE: 1

PR91 - ESG Financial Summary

OAKLAND, CA

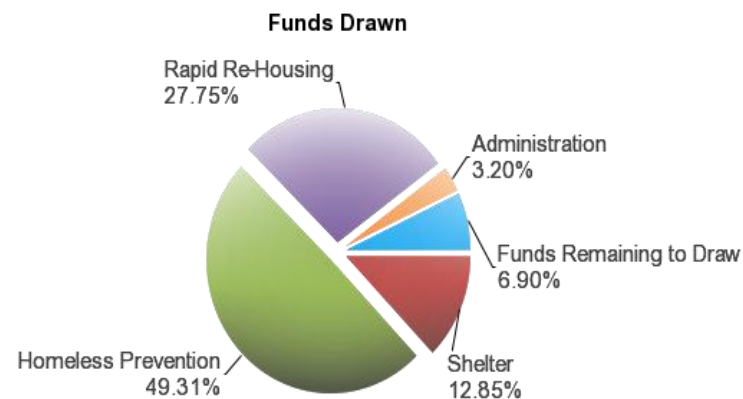
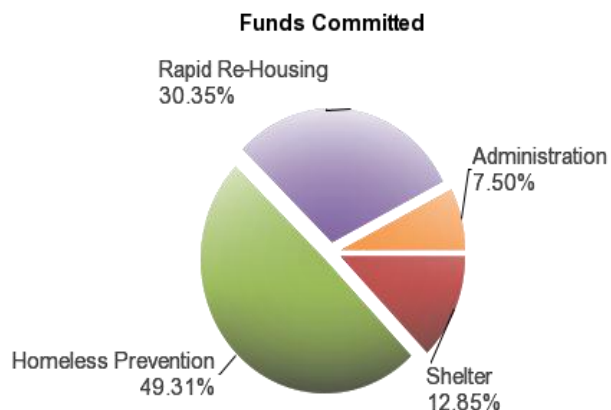
2011

ESG Program Level Summary

Grant Number	Total Grant Amount	Total Funds Committed	Total Funds Available to Commit	% of Grant Funds Not Committed	Grant Funds Drawn	% of Grant Funds Drawn	Available to Draw	% Remaining to Draw
E11MC060013	\$576,655.00	\$576,655.00	\$0.00	0.00%	\$536,879.54	93.10%	\$39,775.46	6.90%

ESG Program Components

Activity Type	Total Committed to Activities	% of Grant Committed	Drawn Amount	% of Grant Drawn
Street Outreach	\$0.00	0.00%	\$0.00	0.00%
Shelter	\$74,078.00	12.85%	\$74,078.00	12.85%
Homeless Prevention	\$284,326.00	49.31%	\$284,326.00	49.31%
Rapid Re-Housing	\$175,002.00	30.35%	\$160,022.54	27.75%
Data Collection (HMIS)	\$0.00	0.00%	\$0.00	0.00%
Administration	\$43,249.00	7.50%	\$18,453.00	3.20%
Funds Not Committed	\$0.00	0.00%	\$0.00	0.00%
Funds Remaining to Draw	\$0.00	0.00%	\$39,775.46	6.90%
Total	\$576,655.00	100.00%	\$576,655.00	100.00%



24-Month Grant Expenditure Deadline

All of the recipient's grant must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the recipient. Expenditure means either an actual cash disbursement for a direct charge for a good or service or an indirect cost or the accrual of a direct charge for a good or service or an indirect cost. This report uses draws in IDIS to measure expenditures. HUD allocated Fiscal Year 2011 ESG funds in two allocations. For FY2011, this Obligation Date is the date of the first allocation. This report does not list the Obligation Date, does not calculate the Expenditure Deadline, and does not track the Days Remaining for the FY 2011 second allocation.

Grant Amount: \$576,655.00

Grant Number	Draws to Date	Date	Deadline	Meet Requirement	Required
E11MC060013	\$536,879.54	08/15/2011	08/15/2013	(41)	\$39,775.46

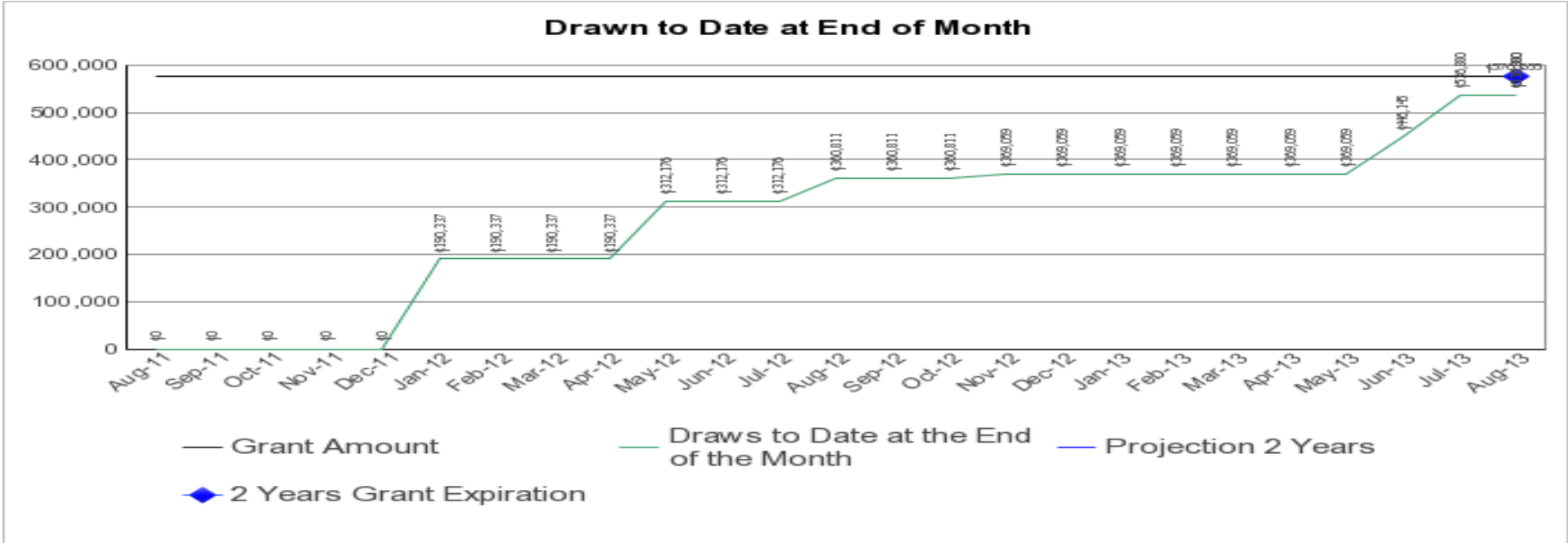
60% Cap on Emergency Shelter and Street Outreach

The cap refers to the total amount of the recipient's fiscal year grant, allowed for emergency shelter and street outreach activities, is capped at 60 percent. This amount cannot exceed the greater of: (1) 60% of the overall grant for the year; or, (2) the amount of Fiscal Year 2010 ESG funds committed for homeless assistance activities.

Amount Committed to Shelter	Amount Committed to Street Outreach	Total Amount Committed to Shelter and Street Outreach	% Committed to Shelter and Street Outreach	2010 Funds Committed to Homeless Assistance Activities	Total Drawn for Shelter and Street Outreach	% Drawn for Shelter and Street Outreach
\$74,078.00	\$0.00	\$74,078.00	12.85%		\$74,078.00	12.85%

ESG Draws By Month (at the total grant level):

Grant Amount: 576,655.00



ESG Draws By Quarter (at the total grant level):

Quarter End Date	Quarter	the End of the	Quarter	End of Quarter
09/30/2011	\$0.00	\$0.00	0.00%	0.00%
12/31/2011	\$0.00	\$0.00	0.00%	0.00%
03/31/2012	\$190,336.64	\$190,336.64	33.01%	33.01%
06/30/2012	\$121,839.64	\$312,176.28	21.13%	54.14%
09/30/2012	\$48,635.14	\$360,811.42	8.43%	62.57%
12/31/2012	\$8,247.58	\$369,059.00	1.43%	64.00%
03/31/2013	\$0.00	\$369,059.00	0.00%	64.00%
06/30/2013	\$77,086.39	\$446,145.39	13.37%	77.37%
09/30/2013	\$90,734.15	\$536,879.54	15.73%	93.10%

ESG Subrecipient Commitments and Draws by Activity Category :

Subrecipient	Activity Type	Committed	Drawn
OAKLAND	Administration	\$43,249.00	\$18,453.00
	Total	\$43,249.00	\$18,453.00
	Total Remaining to be Drawn		\$24,796.00
	Percentage Remaining to be Drawn		57.33%
FIRST PLACE FOR YOUTH	Homeless Prevention	\$87,560.00	\$87,560.00
	Total	\$87,560.00	\$87,560.00

FIRST PLACE FOR YOUTH	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
LIFELONG MEDICAL CARE	Homeless Prevention	\$95,295.00	\$95,295.00
	Total	\$95,295.00	\$95,295.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
BUILDING FUTURES FOR WOMEN WITH CHILDREN	Rapid Re-Housing	\$60,000.00	\$45,020.77
	Total	\$60,000.00	\$45,020.77
	Total Remaining to be Drawn		\$14,979.23
	Percentage Remaining to be Drawn		24.97%
EAST OAKLAND COMMUNITY PROJECT	Shelter	\$3,798.00	\$3,798.00
	Total	\$3,798.00	\$3,798.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
EAST BAY COMMUNITY RECOVERY PROJECT	Rapid Re-Housing	\$15,000.00	\$14,999.77
	Total	\$15,000.00	\$14,999.77
	Total Remaining to be Drawn		\$0.23
	Percentage Remaining to be Drawn		0.00%
lifelong medical care	Rapid Re-Housing	\$55,002.00	\$55,002.00
	Total	\$55,002.00	\$55,002.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
east bay community law center	Homeless Prevention	\$4,000.00	\$4,000.00
	Total	\$4,000.00	\$4,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
st. mary's center	Rapid Re-Housing	\$45,000.00	\$45,000.00
	Total	\$45,000.00	\$45,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
Building Futures With Women and Children	Homeless Prevention	\$40,000.00	\$40,000.00
	Total	\$40,000.00	\$40,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
EAST OAKLAND COMMUNITY PROJECT	Shelter	\$70,280.00	\$70,280.00
	Total	\$70,280.00	\$70,280.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
	Homeless Prevention	\$57,471.00	\$57,471.00

EAST BAY COMMUNITY RECOVERY PROJECT	Total	\$57,471.00	\$57,471.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%

ESG Subrecipients by Activity Category

Activity Type	Subrecipient
Shelter	EAST OAKLAND COMMUNITY PROJECT
	EAST OAKLAND COMMUNITY PROJECT
Homeless Prevention	FIRST PLACE FOR YOUTH
	LIFELONG MEDICAL CARE
	east bay community law center
	Building Futures With Women and Children
	EAST BAY COMMUNITY RECOVERY PROJECT
Rapid Re-Housing	BUILDING FUTURES FOR WOMEN WITH CHILDREN
	EAST BAY COMMUNITY RECOVERY PROJECT
	lifelong medical care
	st. mary's center
Administration	OAKLAND

2012

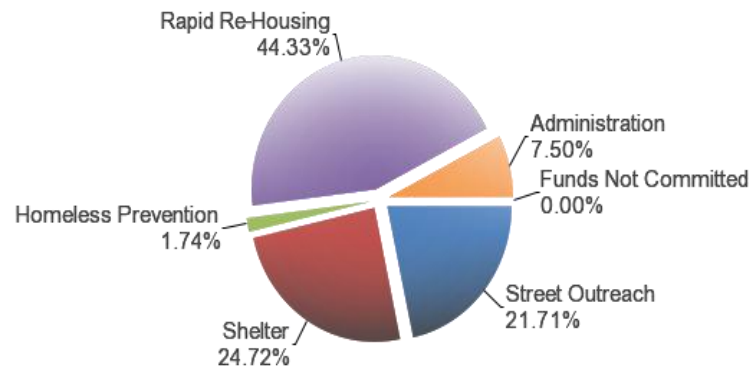
ESG Program Level Summary

Grant Number	Total Grant Amount	Total Funds Committed	Total Funds Available to Commit	% of Grant Funds Not Committed	Grant Funds Drawn	% of Grant Funds Drawn	Available to Draw	% Remaining to Draw
E12MC060013	\$656,315.00	\$656,314.63	\$0.37	0.00%	\$546,399.74	83.25%	\$109,915.26	16.75%

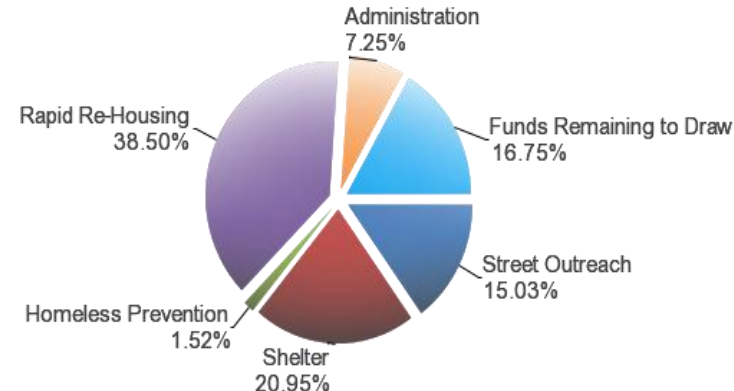
ESG Program Components

Activity Type	Total Committed to Activities	% of Grant Committed	Drawn Amount	% of Grant Drawn
Street Outreach	\$142,500.00	21.71%	\$98,656.28	15.03%
Shelter	\$162,224.00	24.72%	\$137,475.38	20.95%
Homeless Prevention	\$11,429.00	1.74%	\$10,000.00	1.52%
Rapid Re-Housing	\$290,938.00	44.33%	\$252,658.59	38.50%
Data Collection (HMIS)	\$0.00	0.00%	\$0.00	0.00%
Administration	\$49,223.63	7.50%	\$47,609.49	7.25%
Funds Not Committed	\$0.37	0.00%	\$0.00	0.00%
Funds Remaining to Draw	\$0.00	0.00%	\$109,915.26	16.75%
Total	\$656,315.00	100.00%	\$656,315.00	100.00%

Funds Committed



Funds Drawn



Street Outreach Shelter Homeless Prevention Rapid Re-Housing Data Collection (HMIS) Street Outreach Shelter Homeless Prevention Rapid Re-Housing Data Collection (HMIS)
Administration Funds Not Committed Funds Remaining to Draw Administration Funds Not Committed Funds Remaining to Draw

24-Month Grant Expenditure Deadline

All of the recipient's grant must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the recipient. Expenditure means either an actual cash disbursement for a direct charge for a good or service or an indirect cost or the accrual of a direct charge for a good or service or an indirect cost. This report uses draws in IDIS to measure expenditures. HUD allocated Fiscal Year 2011 ESG funds in two allocations. For FY2011, this Obligation Date is the date of the first allocation. This report does not list the Obligation Date, does not calculate the Expenditure Deadline, and does not track the Days Remaining for the FY 2011 second allocation.

Grant Amount: \$656,315.00

Grant Number	Draws to Date	HUD Obligation Date	Expenditure Deadline	Days Remaining to Meet Requirement Date	Expenditures Required
E12MC060013	\$546,399.74	08/13/2012	08/13/2014	322	\$109,915.26

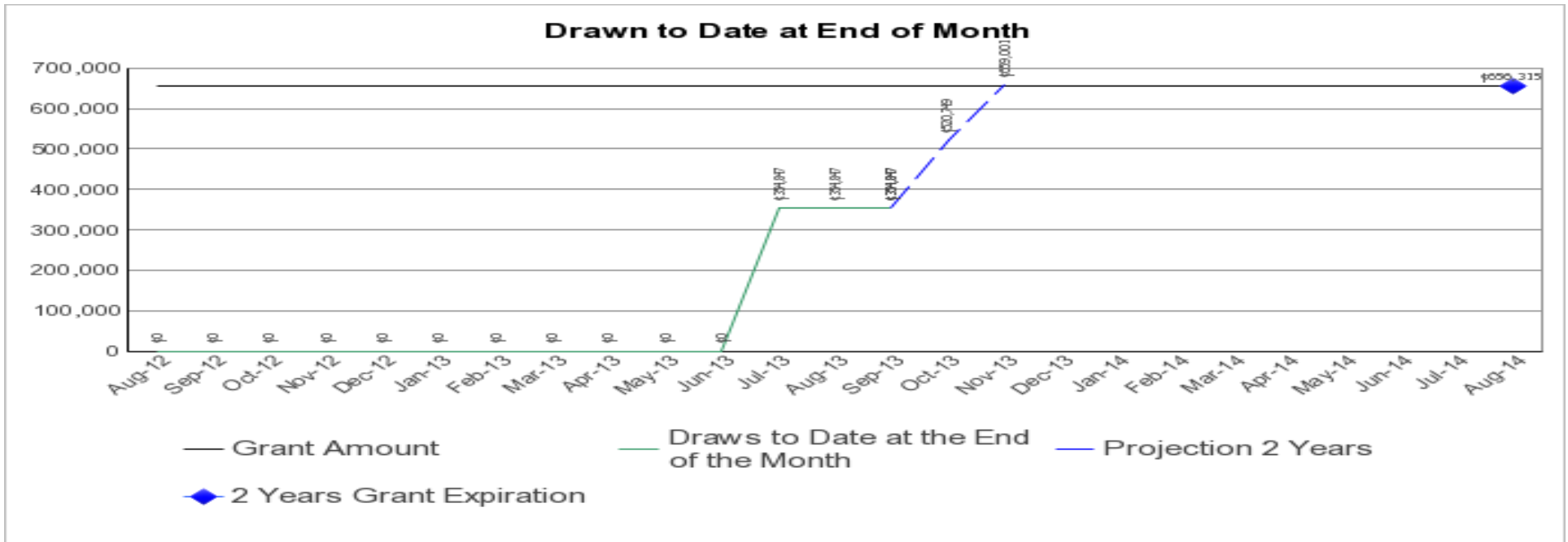
60% Cap on Emergency Shelter and Street Outreach

The cap refers to the total amount of the recipient's fiscal year grant, allowed for emergency shelter and street outreach activities, is capped at 60 percent. This amount cannot exceed the greater of: (1) 60% of the overall grant for the year; or, (2) the amount of Fiscal Year 2010 ESG funds committed for homeless assistance activities.

Amount Committed to Shelter	Amount Committed to Street Outreach	Total Amount Committed to Shelter and Street Outreach	% Committed to Shelter and Street Outreach	2010 Funds Committed to Homeless Assistance Activities	Total Drawn for Shelter and Street Outreach	% Drawn for Shelter and Street Outreach
\$162,224.00	\$142,500.00	\$304,724.00	46.43%		\$236,131.66	35.98%

ESG Draws By Month (at the total grant level):

Grant Amount: 656,315.00



ESG Draws By Quarter (at the total grant level):

Quarter End Date	Quarter	the End of the	Quarter	End of Quarter
09/30/2012	\$0.00	\$0.00	0.00%	0.00%
12/31/2012	\$0.00	\$0.00	0.00%	0.00%
03/31/2013	\$0.00	\$0.00	0.00%	0.00%
06/30/2013	\$0.00	\$0.00	0.00%	0.00%
09/30/2013	\$354,846.87	\$354,846.87	54.07%	54.07%

ESG Subrecipient Commitments and Draws by Activity Category :

Subrecipient	Activity Type	Committed	Drawn
OAKLAND	Administration	\$49,223.63	\$47,609.49
	Total	\$49,223.63	\$47,609.49
	Total Remaining to be Drawn		\$1,614.14
	Percentage Remaining to be Drawn		3.28%
OPERATION DIGNITY	Street Outreach	\$142,500.00	\$98,656.28
	Total	\$142,500.00	\$98,656.28
	Total Remaining to be Drawn		\$43,843.72
	Percentage Remaining to be Drawn		30.77%
	Homeless Prevention	\$11,429.00	\$10,000.00
	Rapid Re-Housing	\$68,571.00	\$47,324.23

BUILDING FUTURES FOR WOMEN WITH CHILDREN	Total	\$80,000.00	\$57,324.23
	Total Remaining to be Drawn		\$22,675.77
	Percentage Remaining to be Drawn		28.34%
EAST OAKLAND COMMUNITY PROJECT	Shelter	\$99,150.00	\$74,401.38
	Total	\$99,150.00	\$74,401.38
	Total Remaining to be Drawn		\$24,748.62
	Percentage Remaining to be Drawn		24.96%
lifelong medical care	Rapid Re-Housing	\$54,643.00	\$37,610.38
	Total	\$54,643.00	\$37,610.38
	Total Remaining to be Drawn		\$17,032.62
	Percentage Remaining to be Drawn		31.17%
FIRST PLACE FOR YOUTH	Rapid Re-Housing	\$167,724.00	\$167,723.98
	Total	\$167,724.00	\$167,723.98
	Total Remaining to be Drawn		\$0.02
	Percentage Remaining to be Drawn		0.00%
st. mary's center	Shelter	\$63,074.00	\$63,074.00
	Total	\$63,074.00	\$63,074.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%

ESG Subrecipients by Activity Category

Activity Type	Subecipient
Street Outreach	OPERATION DIGNITY
Shelter	EAST OAKLAND COMMUNITY PROJECT
	st. mary's center
Homeless Prevention	BUILDING FUTURES FOR WOMEN WITH CHILDREN
Rapid Re-Housing	BUILDING FUTURES FOR WOMEN WITH CHILDREN
	lifelong medical care
	FIRST PLACE FOR YOUTH
Administration	OAKLAND

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)

1. Specific HOPWA Submission Requirements

The City of Oakland is the recipient of HOPWA funds for the Oakland Eligible Metropolitan Area (EMA) consisting of Alameda and Contra Costa Counties. The City allocates funds to Contra Costa and Alameda Counties for distribution within their respective counties through a Request For Proposals (RFP) process. By agreement, HOPWA funds are distributed between Alameda and Contra Costa counties based on the relative proportion of AIDS cases in the two counties. Two percent of the HOPWA grant is allocated to the City for costs associated with administering the grant. One percent is of the grant will go to Alameda County for their associated grant administration costs.

Urgent Housing and Supportive Service Needs Not Currently Being Addressed

Estimating the number of homeless people who are HIV positive is difficult. Many people that are infected are unaware of, or do not reveal their status until they have developed full blown AIDS. Estimates of HIV positive/AIDS cases are therefore based on numbers of known AIDS cases. These estimates provide one basis for assessing the number of people with urgent housing and supportive service needs.

The Oakland EMA utilizes the U.S. Health Resources and Services Administration (HRSA) unmet needs framework to estimate the total number of individuals with unmet HIV care needs living in the Oakland EMA. The following estimates were prepared in collaboration with the California Department of Health Services. Of those estimated to be living with HIV/AIDS in the Oakland EMA, 16% demonstrated an unmet need of HIV/AIDS primary medical care, 25% indicated they needed more case management, 34% stated they needed more dental services; Emergency Financial Assistance was cited by 32%; Food voucher 29%; Food and household items 25%; transportation 23%, Housing Assistance 25%; and therapy and counseling 20%. Another indispensable component in the continuum of effective HIV care is personalized and assertive HIV prevention education and support for persons living with HIV and AIDS.

Alameda County

In the late 1990's, the National Commission on AIDS estimated the rate of HIV infection among homeless people to be between 15 and 25 percent.^[3] The National Coalition for the Homeless 1999 studies indicate that the prevalence of HIV among homeless people is between 3-20%, with some subgroups having a much higher burden of the disease. The 1997 Alameda County HIV/AIDS Epidemiology and Surveillance Office reported that more than 13,500 individuals had been infected with HIV in Alameda County since the early 1980s.

Today, studies still indicate the prevalence of HIV among homeless people is between 3-20%. People who are homeless have higher rates of chronic diseases than those who are housed. Further those who are living with HIV/AIDS are at a higher risk of becoming homeless^[4].

The threat of homelessness remains an ominous and continual fact of life in the Oakland EMA for most low-income persons living with HIV and AIDS. According to a report by the National Low

^[3] Alameda County-Wide Homeless Continuum of Care Plan - 1997

^[4] HIV/AIDS and Homelessness – National Coalition for the Homeless – June 2006

Income Housing Coalition, both Alameda and Contra Costa County rank among the seven least affordable counties in the entire United States in terms of costs of rental housing. Because of the high costs of housing and low vacancy rates in the two counties, on any given night it is estimated that 15,000 individuals are homeless on the streets of the Oakland EMA, a rate of 626.9 per 100,000 population. Over the course of a given year, an estimated 42,000 men, women, and children will find themselves without an adequate place to find shelter. The Contra Costa County HIV/AIDS Housing Survey, conducted in late 1995 among persons living with HIV throughout the county, found that 31% of respondents had experienced homelessness since learning of their HIV status; 35% of respondents had experienced at least one episode of homelessness within the past five years; and 4% of respondents were currently homeless, living on the streets or in cars, abandoned buildings, or shelters. In addition, the California Comprehensive Housing Assistance Plan estimates that 25% to 30% of the state's homeless suffer from severe mental illness, while the Contra Costa County Drug and Alcohol Needs Assessment estimates that between 23% and 40% of the homeless population abuses drugs or alcohol. Another 5% to 10% of California's homeless population is estimated to be runaway youth, according to the Comprehensive Housing Assistance Plan.¹

The Alameda County Public Health Department's AIDS Epidemiology Report (July 2007)² indicated a total of 7,064 diagnosed cases of AIDS from 1980 through 2006.

From March 1983 through December 2011, a total 8,235 AIDS cases have been diagnosed in Alameda County³. In the December 2011 California Department of Public Health (CDPH) HIV/AIDS Surveillance report, it is reported that a total of 3,689 cases of people living with AIDS (PLWA) in Alameda County. As of December 2011, a total of 1,466 cases of people living with HIV are reported. Among Alameda County PLWA, the majorities are African Americans, Whites, Hispanic, adults age 30-39, and men who have sex with men.

The largest number of AIDS cases diagnosed in a single year from 1980 - 2010 in Alameda County occurred in 1992 (621 cases). Since then, there has been a steady decline in the number of cases diagnosed each year with 98 PLWA diagnosed in 2010. While the case rates in Alameda County (per 100,000 residents) have consistently declined since 1992, it has historically been higher than both the State of California and national rates. In 2006, the AIDS case rates in Alameda County were 10.9 per 100,000 residents. The decreasing trend in AIDS case rates is similar to those observed for California and the United States.

The AIDS fatality rate has continued to decline each year. Increased availability of anti-retroviral therapies, improved clinical management and earlier diagnosis has resulted in longer life expectancy for individuals infected with HIV/AIDS.

¹ OAKLAND, CALIFORNIA ELIGIBLE METROPOLITAN AREA 2006 – 2009 COMPREHENSIVE HIV SERVICES PLAN

² AIDS Epidemiology Report for Alameda County, published in July of 2007 for year ending December 31, 2006.

³ California Department of Health HIV/AIDS Surveillance In California Report, December 2011.
<http://www.cdph.ca.gov/programs/aids/Documents/SSSemiAnnualRptDec2011.pdf>

Contra Costa County

From March 1983 to December 31, 2011, a total of 1,262 cases of people living with AIDS (PLWA) in Contra Costa County and 676 are reported as living with HIV. CPDH reported in the December 2011 HIV/AIDS Surveillance report an estimated cumulative total of 3,072 diagnosed cases of AIDS and 721 diagnosed cases of HIV from March 1983 through December 2011.

The largest number of AIDS cases diagnosed in a single year from 1982 - 2010 in Contra Costa County occurred in 1992 (247 cases). Since then, there has been a steady decline in the number of AIDS cases diagnosed each year. The number of diagnosed HIV cases have risen from 1992 to 2002, the highest reported cases in 2002 (approximately 87 cases). HIV reported cases has since tapered between 62 and 74 cases. Increased availability of anti-retroviral therapies, improved clinical management and earlier diagnosis has resulted in longer life expectancy for individuals infected with HIV/AIDS.

Proposed HOPWA activities for the Alameda & Contra Costa Counties will increase housing development within the Oakland EMA, with units set-aside for persons with HIV/AIDS and their families. Each of the counties will release Notifications Of Funding Available (NOFA) for HOPWA services awarding those agencies successful in providing needed HIV/AIDS services identified as priority within the EveryOne Home Plan and Contra Costa County Consolidated Plan.

Public and Private Resources Expected to be Made Available in Connection with HOPWA Activities

Housing development for people with HIV/AIDS will continue to leverage local government funds such as HOME, CDBG, Community Services Block Grant (CSBG) and/or Redevelopment Agency funds, and private sector financing. Supportive services funding will be supplemented through the Ryan White CARE Act Title II funds, private donations, local General Purpose Funds, local public funds, the AIDS Drug Assistance Program, the State of California and Home-Based Care Program, and, the Residential AIDS Shelter Program.

Method of Selecting Project Sponsors

Alameda County

The EveryOne Home Plan (Alameda Countywide Homeless and Special Needs Housing Plan) identifies priority areas for HIV/AIDS housing and services, including those provided under the HOPWA program. Requests for Proposals or Requests for Letters of Interest will be developed for the selected priorities, and distributed widely to interested developers and service providers. Proposals will be reviewed according to criteria established in the RFP/RLOI by City and County staff, and, where appropriate, by review panels convened from the community. Alameda County will oversee this process and will make recommendations to the City of Oakland for final approval. Evaluation of the HOPWA priorities set will be ongoing and shared responsibility of the Alameda County Office of AIDS and the Oakland EMA Collaborative Community Planning Council.

Contra Costa County

The Contra Costa County 2010-14 Consolidated Action Plan identifies priority areas for HIV/AIDS housing and services, including those provided under the HOPWA program. HOPWA funds for supportive services will be awarded through a competitive application process executed

by the AIDS Program of the Contra Costa County Health Services Department. HOPWA funds used for development of affordable rental housing for people with HIV/AIDS, will be awarded through a competitive application process involving Contra Costa's Entitlement Jurisdictions: the cities of Antioch, Concord, Pittsburg, Richmond, and Walnut Creek, and the Urban County - and the Title I Ryan White Planning Council. Applications will be reviewed by the participating jurisdictions according to criteria established in the HOPWA application, with recommendations submitted to the Contra Costa County Board of Supervisors for approval.

2. ATTACHMENT A

GRANTEE AND COMMUNITY OVERVIEW:

The City of Oakland is the grantee for the Oakland Eligible Metropolitan Statistical Area (EMSA) under HUD's Housing Opportunity for Persons With AIDS (HOPWA) formula grant. HUD selected the City of Oakland in 1993 as the designated grantee for the Oakland Eligible Statistical Metropolitan Area (EMSA) due to Oakland being the most populous unit of general, local government in the EMSA. The Oakland EMSA consist of the City of Alameda, Alameda County, Antioch, Berkeley, Concord, Contra Costa County, Fremont, Hayward, Livermore, Oakland, Richmond, San Leandro, Union City and Walnut Creek.

The Oakland EMSA is geographically, ethnically and economically diverse, spanning 1,458 square miles. Approximately 2.63 million people reside within the Oakland EMSA¹, with an estimated 11,481 cumulative AIDS cases from 1983 – 2012², the Oakland EMSA continues to have the 21st largest number of cumulative diagnosed AIDS cases of any U.S. Metropolitan Area.

Alameda County Housing & Community Development Department³ and Contra Costa County Community Development Department⁴ are the project sponsors for the City's HOPWA grants. A wide range of AIDS housing and related services are administered by and through each of the counties. Said services include, but not limited to housing and benefits advocacy, HIV/AIDS housing (community residence housing, family housing, single resident occupancy housing, transitional housing, and permanent supportive housing), tenant services, end-stage care, substance abuse counseling, mental health services, service enriched emergency housing and other supportive services for people with HIV/AIDS and their families. HIV/AIDS housing developments are implemented to increase HIV/AIDS housing inventory throughout Alameda County and Contra Costa County through new construction projects, rehabilitation and renovation projects, and housing set-asides for special needs populations.

In FY 2012-13 the Oakland EMSA HOPWA program provided housing assistance (tenant based rental assistance, permanent supportive housing, and other housing services) to more than 836 persons living with HIV/AIDS and their families. Information and referral services were provided to approximately 600 households for HIV/AIDS housing and other services. 297 HOPWA clients

¹ 2012 census totals for Alameda County (1,554,720) and Contra Costa County (1,079,597), <http://quickfacts.census.gov/qfd/states/06/06001.html>

² CDPH Office of AIDS, 2012 California HIV/AIDS Surveillance Report; <http://www.cdph.ca.gov/programs/aids/Documents/SSSemiAnnualRptDec2012.pdf>

³ Alameda County Point of Contact: Hazel Weiss, Community Development Manager – hazel.weiss@acgov.org

⁴ Contra Costa County Points of Contact: Kristin Sherk, Housing Planner - Kristin.Sherk@dcd.cccounty.us or Carmen Bayer, Program Coordinator of Client Services - cbeyer@hsd.cccounty.us

gained access to or maintained stable permanent housing. Fifteen new units of HOPWA housing were completed in FY 2012/13, increasing the Oakland EMSA HOPWA housing inventory to 144. Acquisition, rehabilitation and/or development efforts for additional HIV/AIDS living units is underway for completion during fiscal year 2013-2014 which will produce 27 new HOPWA units.

3. ATTACHMENT B

ANNUAL PERFORMANCE UNDER THE ACTION PLAN

The Oakland EMSA, comprised of Alameda and Contra Costa Counties distributes annual HOPWA awards to the two counties based on the number of People Living With AIDS (PLWA) as reported in each of the county's latest (prior year) Epidemiology reports. PLWA totals for both counties are added to provide total number of PLWA in the Oakland EMSA. Each County's individual PLWA total is divided by the Oakland EMSA PWLA total, yielding each County's percentage share of PLWA cases in the Oakland EMSA. This percentage is then applied to the fund allocation of HOPWA service and housing funds. The PLWA percentages results are applied to the distribution of the overall grant award to the member counties for housing, services and project sponsor administration.

Once funds are allocated to each county, the counties then publish Notices of Fund Availability (NOFA) of HOPWA funds. In each NOFA, housing and service priorities are outlined. Applicants submit proposals based on the stated housing and service priorities (to be accomplished in a 2-3 year period). Once proposals are ranked, proposed HOPWA projects are submitted to the City for approval. As a result, the following activities were accomplished during the 2012/13 fiscal year:

SERVICES

Alameda County funded agencies to provide licensed care housing, shelter, housing information services, financial assistance and permanent supportive housing through the following Agencies: Yvette A. Flunder Foundation (YAFF) Walker House, East Oakland Community Project, Eden I&R, Catholic Charities East Bay and Alameda Point Collaborative.

Yvette A. Flunder Foundation/Walker House provided licensed care services and alcohol and drug abuse treatment to 6 residents at the Walker House facility in Oakland.

Seventy six clients with HIV/AIDS received shelter, transitional housing and support services at East Oakland Community Project (EOCP). 89% (68) of residents with or affected by HIV maintained connection to dental and/or health care during the contract year, 77,216 meals were served to shelter residents, and 29 HIV positive individuals secured permanent or transitional housing.

Eden I&R provided housing information services to over 639 households. Updated "AHIP" database to include 79,615 market rate, subsidized, and low-income housing units in 7,460 buildings. AHIP'S ability to access this database increases the permanent housing outcomes by providing leads that can lead to immediate permanent housing while many clients remain on the

long subsidized waiting lists. The AHIP housing database contains 34 buildings that include 192 dedicated HOPWA units.

After final decision was made between Alameda County and Catholic Charities East Bay (CCEB) to terminate the HOPWA agreement for financial assistance to persons with HIV/AIDS, CCEB agreed to help transition clients to other agencies that provide similar services. Eight clients have successfully connected to medical case managers and also to agencies such as APEB and Tri-City, whom provide Project Independence services.

Provided permanent housing with services to 91 clients at the Alameda Point Collaborative. All HOPWA funds were utilized to cover operating expenses for 15 units of permanent supportive housing. APC's primary goal is to enable residents to obtain and maintain permanent housing, and in this regard 95% of APC residents maintained housing for a period of 1 year or more.

The Contra Costa County AIDS/STD Program contracted with Contra Costa Interfaith Housing (CCIH) and Greater Richmond Interfaith Program (GRIP) to provide housing advocacy services to HIV+ individuals in Contra Costa County from July 1, 2012 through February 29, 2013, as Contra Costa County's HOPWA contracts follows the contract period for our Ryan White funded contracts, and the fiscal year extends from March 1 through February 28th of every year.

The goal of the service for Contra Costa County's subcontractors, CCIH and GRIP is to assist clients in acquiring and/or maintaining housing that will help stabilize their lives in such a way that they are better able to access medical care and other services that will prolong life and improve client health outcomes.

Both agencies are able to provide bilingual services for their clients. The AIDS Program also utilizes HOPWA funds to provide utility assistance to HIV+ individuals.

GRIP and CCIH combined provided housing advocacy services to 121 clients in the period, March 1, 2012 through February 28, 2013. Twenty five households received tenant based rental assistance and Forty nine received Short Term Rental & Utility Assistance.

HOUSING DEVELOPMENT PROJECTS

HOUSING DEVELOPMENT-PROJECTS IN PROCESS

Project: Park Alameda (formerly The Alameda Islander)
Developer: Resources for Community Development
Total Units: 62
HOPWA units: 9
Project Status: Renovation completed April 2013

Project Description: The Park Alameda (formerly the Alameda Islander) transforms a 40-year old blighted motel into 62 units of permanent, green, and affordable workforce housing. It serves one- and two-person households with incomes from 20% to 50% of Area Median Income. Previous residents who qualify based on income, household size, and special needs had the option of moving back into the renovated property.

Located two blocks from the vibrant Park Street commercial district in the City of Alameda, residents of the Alameda Islander have immediate access to local transit, jobs, and services. Two new structures, an office building and a community room, accommodate onsite resident services and property management. Green and sustainable features, such as insulated low-e windows and water-heating solar thermal collectors, have been incorporated into the renovation as well. Renovation completed in April of 2013. Nine of the sixty-two units are set aside for HOPWA eligible clients.

Project: The Ambassador
Developer: Resources for Community Development
Total Units: 69
HOPWA units: 5
Project Status: Completed March 2013

Project Description: Located on the Oakland/Emeryville border, the Ambassador is a new construction project of 69 units of affordable, service-enriched housing for families and persons living with HIV/AIDS. The site is that of the former Ambassador Laundry, owned by the City of Emeryville. The property will serve households with incomes at or below 50% Area Median Income (AMI). The central structure of the building consists of a structured parking garage, at grade, with four stories of wood-frame construction above. There are also two separate town home buildings. To promote green building, the project will have solar thermal pre-heated hot water for domestic hot water and space heating, and construction waste recycling. All units will be adaptable for people with disabilities.

An pre-application was announced for units at The Ambassador. The lease waiting list closed in June of 2013. All pre-applications submitted prior to the June 21, 2013 deadline are included in a random lottery. Applicants whose names are selected from the lottery will be contacted by mail with additional information. The Ambassador is expected to open in October 2013.

Project: Cathedral Gardens
Developer: EAH Inc.
Total Units: 100
HOPWA units: 8
Project Status: Finalizing construction-financing. In Construction.
Expected Completion Date: March 2014

Project Description: In 2007, EAH identified a 1.37 acre lot at Martin Luther King Jr. Way between 21st and 22nd St. in Oakland for the development of the Cathedral Gardens affordable housing project. Cathedral Gardens is an affordable rental housing community designed for families. Centrally located near downtown, 1/4 mile from BART, the community consists of 100 apartment homes built on an ideal infill site. The development incorporates a mix of new construction and historic rehabilitation. Two new buildings, one three-stories and the other four-stories, will be constructed on either side of a rehabilitated 110 year old historic Rectory building. The four story building is constructed over a sunken structured parking garage with a landscaped podium deck to provide usable outdoor space for tenants. Eight of the 100 units in construction will be dedicated to persons living with HIV/AIDS.

Project: Clinton Commons (formerly 720 E. 11th Street)
Developer: Resources for Community Development
Total Units: 55
HOPWA units: 3
Project Status: Completed October 2012

Project Description: Clinton Commons, formerly “720 E. 11th Street” is the development of 55 new affordable housing units with one manager's unit on this site. The design consists of two four-story buildings with 9 live/work units and 46 standard apartments. The development will have a mix of one to four-bedroom units. Targeted incomes range from 30% to 60% of Area Median Income, with three units set aside for households living with HIV/AIDS and five set aside for households with mental illnesses. All tenants living in the building will have access to a variety of supportive services and on-site amenities such as a community room and laundry facilities. The Mental Health Services Act (MHSA)-eligible tenants, and HOPWA tenants will have access to additional supportive services provided under the two programs.

Project: The William Byron Rumford, Sr. Plaza
Developer: Resources for Community Development
Total Units: 43
HOPWA units: 4
Project Status: Major rehabilitation to start in 2013

The William Byron Rumford, Sr. Plaza, administered by Resources for Community Development for Alameda County, located at 3017 Stanton Street, Berkeley is a 43-unit project for households at or below 50% AMI. Four of the 43 units will be set aside for people living with AIDS.

Rehabilitation includes repainting exterior, installing new sidings, replacing railings and archways, and roof replacements. Energy efficient lighting, appliances, windows and plumbing will be installed. Improvements and updates to common areas will be made as well.

HOUSING DEVELOPMENT-PROJECTS IN STEWARDSHIP

Project: Erna P. Harris
Developer: Resources for Community Development
Completion Date: November 2011
Total Units: 35
HOPWA units: 5
Total of persons served in HOPWA units: 2

Project Description: Erna Harris was constructed in 1952, and in 1994 RCD purchased the property and made renovations. The development includes 4 buildings, including a community room with laundry facilities, services and resources office, and a manager's office. There is also a central outdoor courtyard for the tenants. RCD has partnered with Lifelong Medical Care, Toolworks and Bonita House to provide a variety of supportive services including intensive case management and employment counseling. The scope of work includes the construction of a site drainage system, bathroom upgrades, mechanical upgrades, new windows, painting, new flooring, accessibility improvements, and replacement of kitchen cabinets and countertops. RCD's approach to the

replacement of systems will be to maximize green building features and improve the health and comfort of the tenants.

Project: Fairmount Apartments

Developer: Affordable Housing Associates

Completion Date: May 2011

Total Units: 31

HOPWA units: 4

Total of persons served in HOPWA units: 4

Project Description: The Fairmount Apartments project is the acquisition and substantial rehabilitation of a 35-unit apartment building to create 31 units of permanent affordable housing, including expanded community and office spaces. The site serves households at affordability levels of 20-50% of Area Median Income. Four of the one bedroom units are set aside for persons living with HIV/AIDS. The service provider for the project, Toolworks, provides life skills, employment training development, case management, budgeting, and nutrition services. The scope of work consisted of seismic upgrading, wheelchair access improvements, exterior façade upgrades, and the replacement the roof, windows, and hot water system. The project also includes reconfiguring six of the existing studios to create two 2-bedroom units, a new community room, and two offices. The community room, building entrance and two units are fully accessible.

Project: Merritt Crossing Senior Apartments (formerly 6th and Oak Senior Apartments)

Developer: Satellite Affordable Housing Associates (formerly Affordable Housing Associates)

Total Units: 70

HOPWA units: 3

Project Status: Project Completed May 2012

Project Description: Merritt Crossing Senior Apartments includes 70 apartments affordable to seniors with incomes between 30 and 50 percent of median. The development features 5 studios, 55 one-bedroom units, 10 two-bedroom units and an enclosed garage with 18 parking spaces. Three of the 70 units are dedicated to HOPWA clients.

The project has received a fifteen-year commitment for fifty (50) Oakland Housing Authority project-based Section 8 vouchers that will ensure that the apartments are affordable.

The building includes a large main community/multipurpose area as well as a number of other areas where residents can interact with each other throughout their daily activities. The community room is on the first floor and opens onto the large, 3,000 square foot open space. The open space provides space for a community garden for residents, and also encourages physical exercise and social interactions among residents. The community room includes computer workstations; drop-in computer classes will be provided at no cost to residents. A large services office off the lobby provides space for visiting service providers to conduct health outreach and case management. The main laundry facilities are nearby, further encouraging resident contact. Each unit in the development includes access to high-speed internet at no cost to the resident.

Project: Tassafaronga Phase II
Developer: Oakland Housing Authority
Completion Date: May 2010
Total Units: 20
HOPWA units: 5

Total of persons served in HOPWA units: 6

Project Description: The Tassafaronga Village project is a formerly 87 unit, severely distressed public housing development. The 87-unit building was demolished to construct the first phase of 137 new residential units. Phase 2, for which HOPWA funding is for, is the adaptive reuse of the existing two-story, 20,000 square foot Pasta Factory that created 20 residential units. Nineteen of the units are set aside for households that are homeless or at risk of homelessness with incomes at no more than 30% of area median income. The AIDS Project of the East Bay (APEB) has an on-site clinic providing case management and other supportive services for residents. The clinic provides ambulatory care, physical examinations, immunizations and diagnostics.

Project: Fox Courts
Developer: Resources for Community Development
Completion Date: June 2009
Total Units: 80
HOPWA units: 6
Total of persons served in HOPWA units: 8

Project Description: Fox Courts is a high-density urban infill project consisting of 80 extremely low and very low-income units. It serves a range of households and income levels including families with children and foster youth earning no more than 60% of area median income. In addition, four units are designated for Mental Health Services Act (MHSA)-eligible tenants.

Project: Oxford Plaza
Developer: Resources for Community Development
Completion Date: February 2009
Total Units: 97
HOPWA units: 4

Project Description: Oxford Plaza has 97 units with four units set aside for persons with mental illness and five of the units for people living with HIV/AIDS. Oxford Plaza serves extremely low, low- and very low-income individuals and families with children. Located in the City of Berkeley, Oxford Plaza is a model for green design and sustainable building, incorporating high energy efficiency design during the construction phase. All of the units are designed to be adaptable to accommodate the varying physical needs of future tenants with 5% of the units being fully disabled-accessible. The development is a mixed-use project that includes an environmental center with retail and commercial space, and a below grade public parking garage.

Project: Lorenzo Creek/Housing Alliance.
Developer: Allied Housing, Inc.
Completion Date: 2006.
Total Units: 28
HOPWA units: 2
Total of persons served in HOPWA units: 2

Project Description: Located in Castro Valley, the Housing Alliance Project is 28-units of permanent affordable and supportive housing for formerly homeless individuals and families with children. The housing units are affordable to very low-income households and includes on and off-site supportive services. Universal design features are incorporated throughout the entire development. The unit mix includes 1, 2 and 3 bedroom units and all are adaptable and accessible to persons with disabilities. The development also includes office space for property management and supportive services, a laundry room, elevator, two community rooms, courtyards and 1.5 parking spaces per unit.

Project: Walker House

Developer: Yvette A. Flunder Foundation

Completion Date: December of 2005

Total Units: 10

HOPWA units: 6

Project Description: The Ark of Refuge was awarded HOPWA funds for the rehabilitation of Walker House in Oakland. The building provides 10 units of permanent and transitional shared housing for persons living with HIV/AIDS. The rehabilitation included installing disabled access doors, emergency lighting for hearing impaired, enhanced security and improvement to community rooms for service delivery to residents, i.e. computer stations, computer equipment, exercise equipment, an entertainment system and retrofitting windows for ventilation.

Project: Allen Temple Manor

Developer: Allen Temple Housing Corporation IV

Completion Date: Rehabilitation completed in December 2004

Total Units: 26

HOPWA units: 4

Total of persons served in HOPWA units: 4

Project Description: Allen Temple Manor (formerly Allen Temple Arms IV) was awarded HOPWA funding to rehabilitate the property. Allen Temple IV is a 26-unit special needs housing development. It is a part of a larger development that serves seniors, disabled persons, and other low-income households. Allen Temple IV is located in close proximity to transportation, commercial and other community facilities. It has a community room, a kitchen, and office space. Two units are designed to serve those with mobility impairments. This funding provides items that were deleted from the final development budget for the larger HUD 811 construction project that was completed in 2001.

Project: Sacramento Senior (Outback Senior Homes)

Developer: Affordable Housing Associates

Completion Date: 2004

Total Units: 40

HOPWA units: 2

Total of persons served in HOPWA units: 1

Project Description: Sacramento Senior housing is new construction project in Berkeley with 40 apartments for seniors, including seniors with disabilities and HIV/AIDS. Two of these units serve senior households in which one or more persons have HIV/AIDS, or a single person with HIV/AIDS who requires attendant care.

Project: University Avenue

Developer: Affordable Housing Associates

Completion Date: 2004

Total Units: 29

HOPWA units: 2

Total of persons served in HOPWA units: 5

Project Description: Affordable Housing Associates (AHA) and Hearth Homes were awarded HOPWA funds to create 29 new units at the University Neighborhood Apartments in Berkeley. The mixed unit development has ground floor commercial development and three floors of affordable housing for families and special need populations.

Project: Eastmont Court Apartments

Developer: Resources for Community Development

Completion Date: February 2005

Total Units: 19

HOPWA units: 4

Total of persons served in HOPWA units: 4

Project Description: Eastmont Court, a new construction project in Oakland is a 19-unit development. The project consists of nine one-bedroom units, ten two-bedroom units and a manager's unit. Of these units four are be HOPWA units (three 1-BR and one 2-BR). The development is conveniently located near transportation and the Eastmont Mall, where tenants have access to many social and commercial services.

Project: East Oakland Community Project

Developer: East Oakland Community Project

Completion Date: 2007

Total Units: 100 beds

HOPWA units: 25

Total of persons served in HOPWA units: 29

Project Description: EOCP is a new construction emergency shelter in Oakland. The project accommodates over 100 homeless individuals, families, and people with HIV/AIDS. The new structure serves as an emergency housing facility and provides over 8,000-sq. ft. of office, services, and living space for EOCP. On site amenities include a communal dining room, a commercial grade kitchen, patio, respite care area, offices for counseling, computer room, and meeting space.

Project: California Hotel

Developer: East Bay Area Local Development Corporation (transferred from Oakland Community Housing Inc.)

Completion Date: The rehabilitation by EBALDC is expected to be completed in January 2012

Total Units: 150

HOPWA units: 5

Program Barriers: The developer declared bankruptcy and the property has been receivership until February 2012. It has been transferred from to EBALDC through a foreclosure and the HOPWA loan was transferred to EBALDC. The property is now undergoing rehabilitation. The receiver did

not keep records of the tenants; therefore the number of HOPWA tenants, service funding, and leveraging information is not available. The new owner will collect the information about the 37 tenants and will report to the County regarding any HOPWA tenants that may be living there, when available.

Project Description: The California Hotel was constructed in 1929 and currently has 150 units comprised of studios and SROs. The California Hotel was most recently owned and operated by Oakland Community Housing, Inc. (OCHI). OCHI took over the property in 1987, rehabilitated the building, and operated it as rental affordable housing until it dissolved in 2008. Most of the units have remained vacant since this time. From 2008 until present, a court appointed trustee has worked with the remaining 37 tenants to keep the building in operation. In 2009, at the trustee's request, EBALDC began an analysis of long-term feasibility and ownership of the hotel. The City of Oakland foreclosed on the property for one of its unpaid loans, and EBALDC purchased the property at the Trustee's sale on February 13, 2012. The County cancelled the loan documents with OCHI and EBALDC assumed OCHI's HOPWA loan. The County executed and recorded new loan documents with EBALDC in March 2012. .

EBALDC plans to rehabilitate the building to create 137 units (120 studios, 12 1-bedrooms, and 5 2-bedrooms), add kitchenettes to units without them, replace the first elevator and add a second one, replace the plumbing and heating systems, and perform a complete seismic upgrade. The project's green building features include a solar thermal system, energy efficient appliances, water efficient fixtures, and low emission flooring in the unit

4. ATTACHMENT C

BARRIERS & TRENDS OVERVIEW

The state of the economy continues to be an overlaying barrier to housing development projects and continuing needed services for persons living with AIDS and their families. Increased interest rates and escalated difficulty in obtaining loans has caused delays in the development and the securing of mixed funds to support these projects. As a result, developments are experiencing larger funding gaps, and for longer periods in project development timelines. Agencies are collaborating and applying for less traditional fund sources in order to fully fund these highly needed projects, for the provision of affordable housing for persons with HIV/AIDS, as the availability of affordable housing in the bay area is another frequently stated barrier, especially for those clients living with HIV/AIDS that have bad credit and/or criminal records.

HOPWA clients are experiencing not only the barriers of housing affordability and housing availability, but also the effects of unsatisfactory credit and rental history, criminal justice history, discrimination and dealing with multiple diagnoses. For many of HOPWA clients these barriers decrease the effective utilization of housing advocacy services. Many individuals experience severe and complex mental health and substance abuse issues, reducing the client's self-efficacy and ability to follow through in resolving housing issues. These issues added to the increasing rate of landlords experiencing foreclosures, forcing them to evict tenants.

Affordable housing in the Oakland EMSA is difficult to find. Alameda County is one of the top 10 least affordable housing markets in the United States. A family earning minimum wage needs to work over 142 hours a week, 52 weeks a year to afford a modest two bedroom apartment. Approximately 34,000 (6%) of Alameda County's 523,000 households are at severe risk of homelessness because they are extremely low-income renters paying more than 50% of their income on housing. A disabled individual earns less per month from SSI (\$812) than the fair market value of a studio apartment (\$900).¹

HOPWA clients have expressed that the effects of bad credit or criminal history creates even deeper barriers in accessing housing. Those with history in the criminal justice system find it difficult to secure and keep a job. Those with bad credit history are equally unsuccessful in accessing or maintaining housing.

Another barrier is discrimination against transgendered individuals. It is reported that several service agencies are regularly experiencing issues in housing transgendered clients. It is reported that transgendered individuals are best placed in environments where their living space does not have to be shared with a non-transgendered individual.

In response to these issues, increased inventory of affordable housing for persons living with AIDS is a major focus with continued efforts to obtain traditional and less traditional funding sources to support this effort.

Housing advocates and medical case managers recommend focus on prevention of eviction and assisting clients in remaining house while attending to their medical needs. Further recommended, is requiring medical case managers and housing advocates to assist those clients experiencing loss of employment, in accessing the benefits they are entitled to and affordable housing.

Another suggestion is to assist clients with HIV/AIDS, experiencing mental health and substance abuse issues, with Ryan White funds, allowing HOPWA funds to be used more for housing and housing assistance.

5. ATTACHMENT D

CERTIFICATIONS OF CONTNUED USE FOR FACILITY BASED STEWARDSHIP
UNITS ONLY. (HOPWA STEWARD CERT. FORMS)

¹ EveryOne Home Plan Fact Sheet - 2009

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) CA-H01-F001, CA-H02-F001 CA-H03-F001, and CA-H04-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input checked="" type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 1/27/2008

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Crossroads	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	25 (beds)	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	East Oakland Community Project – Crossroads Transitional Housing
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	District 9
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Wendy Jackson, Executive Director, wendvujackson@gmail.com 510-746-3602

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Wendy U. Jackson, Executive Director Robin Gaston, Executive Assistant to Executive Director 510-746-3604	Signature & Date (mm/dd/yy) <div style="text-align: right;">Signed original on file</div>
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H00-F001 and CA-H01-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2001

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Allen Temple Manor	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	811/PRAC Payment: \$8299.20 HUD Assistance Payment Tenant Portion:\$3556.80 Tenant Payment

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Allen Temple Manor
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Allen Temple Manor, 7607 International Boulevard, Oakland, CA 94621 Krobert@abhow.com

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: American Baptist Homes of the West Kendra Roberts, Property Supervisor 925-924-7182	Signature & Date (mm/dd/yy) <div style="text-align: right;">Kendra Roberts</div>
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Eastmont Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	\$35,316

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Eastmont Court
Site Information: Project Zip Code(s)	94605
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	6850 Foothill Boulevard, Oakland, CA 94605 510-841-4410

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510.841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H07-F001 and CA-H08-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2011

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Erna P. Harris Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$42,540

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Erna P. Harris Court
Site Information: Project Zip Code(s)	94704
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1330 University Avenue, Berkeley, CA 94704

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H05-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2009

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Fox Courts, LP		
Total Stewardship Units (subject to 3- or 10- year use periods)	6	\$30,898

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Fox Courts Apartments
Site Information: Project Zip Code(s)	94612
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	555 – 19th Street, Oakland, CA 94612

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2006

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lorenzo Creek Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	\$45,912

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Lorenzo Street Apartments – Housing Alliance LP
Site Information: Project Zip Code(s)	94546
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	22198 Center Street, Castro Valley, CA 94546

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H03-F001 and CA-H04-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2009

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Oxford Plaza LP	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	\$63,499

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Oxford Plaza Apartments
Site Information: Project Zip Code(s)	94704
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2175 Kittredge Street, Berkeley, CA 94704

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H04-F001 and CA-H05-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 5-13-2010

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Tassafaronga Village Phase 2	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$49,382

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Tassafaronga Village Phase 2
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	930 84th Avenue, Oakland, CA 94621

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I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Phil Neville, Secretary, Tassafaronga Partners II, L.P. Bridget Galka, Assistant Director of Real Estate Development Department 510-587-2142	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H08-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 6-22-2012

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Merritt Crossing	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$22.6 million

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Merritt Crossing/6th & Oak
Site Information: Project Zip Code(s)	94607
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	609 Oak Street, Oakland, CA 94607 510-891-1264

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H07-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2011

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Fairmount Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Fairmount Apartments
Site Information: Project Zip Code(s)	94611
Site Information: Congressional District(s)	9 th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	401 Fairmount Avenue, Oakland, CA 94611 510-271-1826

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-01-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input checked="" type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2006

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Sacramento Senior Homes	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Sacramento Senior Homes (Outback)
Site Information: Project Zip Code(s)	94703
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2517 Sacramento Street, Berkeley, CA 94703 510-665-1930

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2004

2. Number of Units and Non-HOPWA Expenditures

Facility Name: University Neighborhood Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	University Neighborhood Apartments
Site Information: Project Zip Code(s)	94703
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1719 University Avenue, Berkeley, CA 94703 510-845-1223

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H01-F001, CA-H08-F001, and CA-H09-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2003

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Walker House		
Total Stewardship Units (subject to 3- or 10- year use periods)	9	\$63,391.53

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Yvette A. Flunder Foundation/Walker House
Site Information: Project Zip Code(s)	94603
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	9702 International Boulevard, Oakland, CA 94603, Sharon Thomas 510-635-8422

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H10-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2013

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Park Alameda	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	9	\$49,341

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Park Alameda
Site Information: Project Zip Code(s)	94501
Site Information: Congressional District(s)	13th
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2348 Central Avenue, Alameda, CA 94501

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H10-F001 and CA-H11-f001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2012

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Clinton Commons/720 E. 11th Street	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$34,565

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Clinton Commons
Site Information: Project Zip Code(s)	94606
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input checked="" type="checkbox"/> <i>Yes, protect information; do not list</i> <input type="checkbox"/> <i>Not confidential; information can be made available to the public</i>
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	720 E. 11th Street, Oakland, CA 94606

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 05/3/2013

2. Number of Units and Non-HOPWA Expenditures

Facility Name: California Hotel	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	City of Oakland Rehab Loan:\$ 265,319; US Bank Construction Loan \$418,955 = \$684,274

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	California Hotel
Site Information: Project Zip Code(s)	94608
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	3501 San Pablo, Oakland, CA 94608

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) CA-H01-F001, CA-H02-F001 CA-H03-F001, and CA-H04-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input checked="" type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 1/27/2008

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Crossroads	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	25 (beds)	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	East Oakland Community Project – Crossroads Transitional Housing
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	District 9
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Wendy Jackson, Executive Director, wendvujackson@gmail.com 510-746-3602

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Wendy U. Jackson, Executive Director Robin Gaston, Executive Assistant to Executive Director 510-746-3604	Signature & Date (mm/dd/yy) Signed original on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H00-F001 and CA-H01-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2001

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Allen Temple Manor	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	811/PRAC Payment: \$8299.20 HUD Assistance Payment Tenant Portion:\$3556.80 Tenant Payment

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Allen Temple Manor
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Allen Temple Manor, 7607 International Boulevard, Oakland, CA 94621 KRobert@abhow.com

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: American Baptist Homes of the West Kendra Roberts, Property Supervisor 925-924-7182	Signature & Date (mm/dd/yy) Kendra Roberts
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Eastmont Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	\$35,316

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Eastmont Court
Site Information: Project Zip Code(s)	94605
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	6850 Foothill Boulevard, Oakland, CA 94605 510-841-4410

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510.841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H07-F001 and CA-H08-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2011

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Erna P. Harris Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$42,540

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Erna P. Harris Court
Site Information: Project Zip Code(s)	94704
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1330 University Avenue, Berkeley, CA 94704

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H05-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2009

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Fox Courts, LP		
Total Stewardship Units (subject to 3- or 10- year use periods)	6	\$30,898

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Fox Courts Apartments
Site Information: Project Zip Code(s)	94612
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	555 – 19th Street, Oakland, CA 94612

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2006

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lorenzo Creek Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	\$45,912

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Lorenzo Street Apartments – Housing Alliance LP
Site Information: Project Zip Code(s)	94546
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	22198 Center Street, Castro Valley, CA 94546

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H03-F001 and CA-H04-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2009

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Oxford Plaza LP	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	\$63,499

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Oxford Plaza Apartments
Site Information: Project Zip Code(s)	94704
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2175 Kittredge Street, Berkeley, CA 94704

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H04-F001 and CA-H05-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 5-13-2010

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Tassafaronga Village Phase 2	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$49,382

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Tassafaronga Village Phase 2
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	930 84th Avenue, Oakland, CA 94621

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Name & Title of Authorized Official of the organization that continues to operate the facility: Phil Neville, Secretary, Tassafaronga Partners II, L.P. Bridget Galka, Assistant Director of Real Estate Development Department 510-587-2142	Signature & Date (mm/dd/yy) <div style="text-align: right;">Signed copy on file</div>
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H08-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 6-22-2012

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Merritt Crossing	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$22.6 million

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Merritt Crossing/6th & Oak
Site Information: Project Zip Code(s)	94607
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	609 Oak Street, Oakland, CA 94607 510-891-1264

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Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H07-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2011

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Fairmount Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Fairmount Apartments
Site Information: Project Zip Code(s)	94611
Site Information: Congressional District(s)	9 th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	401 Fairmount Avenue, Oakland, CA 94611 510-271-1826

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-01-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input checked="" type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2006

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Sacramento Senior Homes	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Sacramento Senior Homes (Outback)
Site Information: Project Zip Code(s)	94703
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2517 Sacramento Street, Berkeley, CA 94703 510-665-1930

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Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2004

2. Number of Units and Non-HOPWA Expenditures

Facility Name: University Neighborhood Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	University Neighborhood Apartments
Site Information: Project Zip Code(s)	94703
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1719 University Avenue, Berkeley, CA 94703 510-845-1223

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H01-F001, CA-H08-F001, and CA-H09-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2003

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Walker House		
Total Stewardship Units (subject to 3- or 10- year use periods)	9	\$63,391.53

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Yvette A. Flunder Foundation/Walker House
Site Information: Project Zip Code(s)	94603
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	9702 International Boulevard, Oakland, CA 94603, Sharon Thomas 510-635-8422

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA- H08; CA H09; CA H10-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2013

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Park Alameda		
Total Stewardship Units (subject to 3- or 10- year use periods)	9	\$49,341

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Park Alameda
Site Information: Project Zip Code(s)	94501
Site Information: Congressional District(s)	13th
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2348 Central Avenue, Alameda, CA 94501

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA H06-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2012

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Clinton Commons/720 E. 11th Street	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$34,565

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Clinton Commons
Site Information: Project Zip Code(s)	94606
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input checked="" type="checkbox"/> <i>Yes, protect information; do not list</i> <input type="checkbox"/> <i>Not confidential; information can be made available to the public</i>
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	720 E. 11th Street, Oakland, CA 94606

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I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA H11- F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 05/3/2013

2. Number of Units and Non-HOPWA Expenditures

Facility Name: California Hotel	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	City of Oakland Rehab Loan:\$ 265,319; US Bank Construction Loan \$418,955 = \$684,274

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	California Hotel
Site Information: Project Zip Code(s)	94608
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	3501 San Pablo, Oakland, CA 94608

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<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H99-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) May 2003

HUD Grant Number(s) CA-H99-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input checked="" type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) May 2003

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Alvarez Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	10	\$90,120

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Alvarez Court
Site Information: Project Zip Code(s)	94564
Site Information: Congressional District(s)	5th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	760 Alvarez Court, Pinole, CA 94564 510-724-1928

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Kristin Shark, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA- H01-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Garden Park	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	6	\$1,103

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Garden Park
Site Information: Project Zip Code(s)	94523
Site Information: Congressional District(s)	10
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2387 Lisa Lane; Pleasant Hill, CA 94523

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Kristin Shark, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H03-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Bella Monte Apartments		
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$14,052

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Bella Monte Apartments
Site Information: Project Zip Code(s)	94565
Site Information: Congressional District(s)	10th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2410 Willow Pass Road, Bay Point, CA 94565 925-261-1256

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Krisin Shark, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H04-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2008

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Villa Vasconcellos		
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$11,884.00

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Villa Vasconcellos
Site Information: Project Zip Code(s)	94597
Site Information: Congressional District(s)	11th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1515 Geary Road, Walnut Creek, CA 94597 925-465-3858

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Krisin Sherk, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H03-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lakeside Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$139,209

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Lakeside Apartments
Site Information: Project Zip Code(s)	94520
Site Information: Congressional District(s)	11th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1897 Oakmead Drive, Concord, CA 94520 925-827-2805

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Krisin Sherk, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H05-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2011

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lillie Mae Jones Plaza	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$ 5,439.00

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Lillie Mae Jones Plaza
Site Information: Project Zip Code(s)	94801
Site Information: Congressional District(s)	11th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	120 MacDonald Avenue, Richmond, CA 94801 510-232-1530

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Krisin Sherk, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

6. FY 2012-13 HOPWA Submittal Forms



Housing Opportunities for Persons with AIDS (HOPWA) Program

CITY OF OAKLAND

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

For Fiscal Year 2012/13

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. **The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.**

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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 - b. Annual Performance under the Action Plan
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PART 2: Sources of Leveraging and Program Income

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3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

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PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse,

Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	25
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	15
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	76
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	17
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	49
5.	Adjustment for duplication (subtract)	
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	182

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post-traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of

\$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number CA-H11-F001, CA-H10-F001, CA-H09-F001, CA-H08-F001, and CA-H07-F001		Operating Year for this report <i>From</i> 7/01/12 <i>To</i> 6/30/13		
Grantee Name City of Oakland				
Business Address		150 Frank H. Ogawa Plaza, Suite 4340		
City, County, State, Zip		Oakland	Alameda	CA 94612
Employer Identification Number (EIN) or Tax Identification Number (TIN)		94-6000-384		
DUN & Bradstreet Number (DUNs):		137137977	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 36QES	
*Congressional District of Grantee's Business Address		9th		
*Congressional District of Primary Service Area(s)		6, 7, 9, 11, and 13th Districts		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Alameda, Berkeley, Oakland, Castro Valley, Hayward, Emeryville, Martinez, Danville, Antioch, Martinez, Concord, Pacheco, Walnut Creek, Pittsburg, Bay Point, Pinole		Counties: Alameda County and Contra Costa County
Organization's Website Address http://www2.oaklandnet.com/		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Alameda County Department of Housing & Community Development		Parent Company Name, if applicable Alameda County	
Name and Title of Contact at Project Sponsor Agency	Hazel Weiss, Community Development Manager		
Email Address	michelle.starratt@acgov.org		
Business Address	224 West Winton Avenue, Room 108		
City, County, State, Zip,	Hayward, Alameda, California, 94544		
Phone Number (with area code)	510-670-5904		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000-501	Fax Number (with area code) 510-670-6378	
DUN & Bradstreet Number (DUNs):	021116418		
Congressional District of Project Sponsor's Business Address	13 th		
Congressional District(s) of Primary Service Area(s)	9 th and 13 th		
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: Alameda, Hayward, Oakland, Castro Valley, and Berkeley	Counties: Alameda County	
Total HOPWA contract amount for this Organization for the operating year	\$ 1,959,297		
Organization's Website Address	www.acgov.org http://www.acgov.org/cda/hcd/		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name Contra Costa County Health Services Department		Parent Company Name, if applicable Contra Costa County	
Name and Title of Contact at Project Sponsor Agency	Carmen E. Beyer, Program Coordinator of Clients Services/Contract Monitor		
Email Address	Kara.Douglas@dcd.cccounty.us		
Business Address	597 Center Avenue, Suite 200		
City, County, State, Zip,	Martinez, Contra Costa County, CA 94553		
Phone Number (with area code)	925-313-6783		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-6000-509	Fax Number (with area code) 925-313-6798	
DUN & Bradstreet Number (DUNs):	139441955		
Congressional District of Project Sponsor's Business Address	7 th		
Congressional District(s) of Primary Service Area(s)	7 th , 10 th and 11 th		
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: Richmond, Concord, Pittsburg, Martinez, Danville, Walnut Creek, Antioch, and Oakley	Counties: Contra Costa County	
Total HOPWA contract amount for this Organization for the operating year	\$ 658,130		
Organization's Website Address	www.cchealth.org		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Sub-recipient Name	N/A		Parent Company Name, if applicable	
	N/A			
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency	N/A			
Email Address	N/A			
Business Address	N/A			
City, County, State, Zip	N/A	N/A	N/A	N/A
Phone Number (include area code)	N/A		Fax Number (include area code)	
			N/A	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	N/A			
DUN & Bradstreet Number (DUNs)	N/A			
North American Industry Classification System (NAICS) Code	N/A			
Congressional District of the Sub-recipient's Business Address	N/A			
Congressional District(s) of Primary Service Area	N/A			
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities: N/A		Counties: N/A	
Total HOPWA Subcontract Amount of this Organization for the operating year	N/A			

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	Alameda Point Collaborative	Parent Company Name, if applicable
Name and Title of Contact at Project Sponsor Agency	Doug Biggs Executive Director	
Email Address	dbiggs@apcollaborative.org	
Business Address	677 W. Ranger Ave.	
City, County, State, Zip,	Alameda, CA 94501	
Phone Number (with area code)	510-898-7849	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-3362464	Fax Number (with area code) 510-898-7858
DUN & Bradstreet Number (DUNs):	003685489	
Congressional District of Project Sponsor's Business Address	9 th	
Congressional District(s) of Primary Service Area(s)	9 th	
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities Alameda Oakland Berkeley Hayward	
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Oakland	Counties: Alameda County
Total HOPWA contract amount for this Organization for the operating year	\$ 91,000	

Sub-recipient Name	Catholic Charities of the East Bay	Parent Company Name, if applicable
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Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency	Laura Sharples – Safety Net & Asset Development Services Program Coordinator			
Email Address	lsharples@cceb.org			
Business Address	433 Jefferson Street			
City, County, State, Zip	Oakland	CA	94607	
Phone Number (included area code)	510.768.3125		Fax Number (include area code) 510.451.6998	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2677202			
DUN & Bradstreet Number (DUNs)	835199548			
North American Industry Classification System (NAICS) Code	624190			
Congressional District of the Sub-recipient's Business Address	2			
Congressional District(s) of Primary Service Area	2			
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities: Oakland, San Leandro		Counties: Alameda	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$127,525			

Sub-recipient Name	East Oakland Community Project		Parent Company Name, if applicable	
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency	Kim Parrish, Program Director			
Email Address	kimp@eocp.net			
Business Address	7515 International Blvd.			
City, County, State, Zip	Oakland	Alameda	Oakland	Alameda
Phone Number (included area code)	(510) 746-3608		Fax Number (include area code) (510) 532-3211	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-3078181			
DUN & Bradstreet Number (DUNs)	847360567			
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address	9			
Congressional District(s) of Primary Service Area	9			
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities: Oakland		Counties: Alameda	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$111,778			

Subrecipient Name	Walker House (formerly Ark of Refuge)	Parent Company Name, if applicable Yvette A. Flunder Foundation, Inc.
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Name and Title of Contact at Subrecipient	Franzetta Houston, Chief Operating Officer				
Email Address	fhouston@pacbell.net				
Business Address	9702 International Boulevard				
City, State, Zip, County	Oakland	CA	94603	Alameda	
Phone Number (with area code)				Fax Number (include area code)	
	415-931-3046			415-931-4066	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	32-0095516				
DUN & Bradstreet Number (DUNs):	140454666				
North American Industry Classification System (NAICS) Code	623990				
Congressional District of Subrecipient’s Business Address	9 th				
Congressional District of Primary Service Area	9 th				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Oakland			Counties: Alameda County	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$84,055.00				

Subrecipient Name	Eden I&R/AHIP			Parent Company Name, if applicable	
Name and Title of Contact at Subrecipient	Barbara Bernstein, Executive Director				
Email Address	bbernstein@edenir.org				
Business Address	570 B Street				
City, State, Zip, County	Hayward	CA	94541	Alameda County	
Phone Number (with area code)	510-537-2710, ext. 511			Fax Number (include area code) 510-537-0986	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2339050				
DUN & Bradstreet Number (DUNS):	134391861				
North American Industry Classification System (NAICS) Code	624190				
Congressional District of Subrecipient’s Business Address	10 th & 18 th				
Congressional District of Primary Service Area	9 th , 10 th , 11 th , and 13 th				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: : Alameda, Berkeley, Oakland, Castro Valley, Hayward, Emeryville			Counties: Alameda County	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$ 80,003.00				

Subrecipient Name	Cathedral Gardens			Parent Company Name, if applicable Excellence To Affordable Housing
Name and Title of Contact at Subrecipient	Benny Kwong, Senior Project Manager			
Email Address	bkwong@eahhousing.org			
Business Address	2169 East Francisco Boulevard, Suite B			
City, State, Zip, County	San Rafael	CA	94901	Alameda County
Phone Number (with area code)	415-258-1800			Fax Number (include area code) 415-453-4927
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-1699153			
DUN & Bradstreet Number (DUNs):	078765088			
North American Industry Classification System (NAICS) Code	236116			
Congressional District of Subrecipient's Business Address	6 th			
Congressional District of Primary Service Area	9 th			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Oakland			Counties: Alameda County
Total HOPWA Subcontract Amount of this Organization for the operating year	\$ 663,580.00			

Subrecipient Name	Merritt Crossing/6 th & Oak Senior			Parent Company Name, if applicable Affordable Housing Associates
Name and Title of Contact at Subrecipient	Eva Stewart, Director of Housing Development			
Email Address	estewart@ahainc.org			
Business Address	1250 Addison Street, Suite G			
City, State, Zip, County	Berkeley	CA	94702	Alameda County
Phone Number (with area code)	510-649-8500			Fax Number (include area code) 510-451-0312
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-31386770			
DUN & Bradstreet Number (DUNs):	840184629			
North American Industry Classification System (NAICS) Code	236116			
Congressional District of Subrecipient's Business Address	9 th			
Congressional District of Primary Service Area	9 th			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Oakland			Counties: Alameda County
Total HOPWA Subcontract Amount of this Organization for the operating year	\$300,000.00			

Subrecipient Name	The Ambassador Apartment			Parent Company Name, if applicable Resources for Community Development
Name and Title of Contact at Subrecipient	Dan Sawislak, Executive Director			

Email Address	contactred@reddev.org			
Business Address	2220 Oxford Street			
City, State, Zip, County	Berkeley	CA	94704	Alameda County
Phone Number (with area code)	510-841-4110		Fax Number (include area code) 510-548-3502	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2952466			
DUN & Bradstreet Number (DUNs):	363812082			
North American Industry Classification System (NAICS) Code	236117			
Congressional District of Subrecipient's Business Address	9 th			
Congressional District of Primary Service Area	9 th			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Emeryville		Counties: Alameda County	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$ 242,515.00			

Subrecipient Name	720 e. 11 th Street		Parent Company Name, if applicable Resources for Community Development	
Name and Title of Contact at Subrecipient	Dan Sawislak, Executive Director			
Email Address	contactred@reddev.org			
Business Address	2220 Oxford Street			
City, State, Zip, County	Berkeley	CA	94704	Alameda County
Phone Number (with area code)	510-841-4110		Fax Number (include area code) 510-548-3502	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2952466			
DUN & Bradstreet Number (DUNs):	363812082			
North American Industry Classification System (NAICS) Code	236117			
Congressional District of Subrecipient's Business Address	9 th			
Congressional District of Primary Service Area	9 th			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Berkeley		Counties: Alameda County	
Total HOPWA Subcontract Amount of this Organization for the operating year				

Subrecipient Name	California Hotel		Parent Company Name, if applicable East Bay Asian Local Development Corporation (EBALDC)	
	Peter Sopka, Chief Financial Officer & Interim Executive Director			

Name and Title of Contact at Subrecipient				
Email Address	psopka@ebaldc.org			
Business Address	310 Eighth Street, Suite 200			
City, State, Zip, County	Oakland	CA	94607	Alameda County
Phone Number (with area code)	510.287-5353		Fax Number (include area code) 510-763-4143	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	510171851			
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address	7 th			
Congressional District of Primary Service Area	7 th			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Oakland			Counties: Alameda
Total HOPWA Subcontract Amount of this Organization for the operating year	\$114,000			

Subrecipient Name	Riley Court		Parent Company Name, if applicable Resources for Community Development	
Name and Title of Contact at Subrecipient	Dan Sawislak, Executive Director			
Email Address	contactred@reddev.org			
Business Address	2220 Oxford Street			
City, State, Zip, County	Berkeley	CA	94704	Alameda County
Phone Number (with area code)	510-841-4110		Fax Number (include area code) 510-548-3502	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2952466			
DUN & Bradstreet Number (DUNs):	363812082			
North American Industry Classification System (NAICS) Code	236117			
Congressional District of Subrecipient's Business Address	7 th			
Congressional District of Primary Service Area	10 th			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Concord			Counties: Contra Costa County
Total HOPWA Subcontract Amount of this Organization for the operating year	\$ 303,730			

Subrecipient Name	Berrellesa Palms		Parent Company Name, if applicable Resources for Community Development	
Name and Title of Contact at Subrecipient	Dan Sawislak, Executive Director			
Email Address	contactred@rcddev.org			

Business Address	2220 Oxford Street			
City, State, Zip, County	Berkeley	CA	94704	Alameda County
Phone Number (with area code)	510-841-4110		510-841-4110	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	94-2952466			
DUN & Bradstreet Number (DUNs):	363812082			
North American Industry Classification System (NAICS) Code	236117			
Congressional District of Subrecipient's Business Address	9 th			
Congressional District of Primary Service Area	7 th			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Martinez		Counties: Contra Costa County	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$136,274.			

Subrecipient Name	Third Avenue Apartments		Parent Company Name, if applicable Sattelite Housing Inc	
Name and Title of Contact at Subrecipient	Dori Kojima, Acting Executive Director			
Email Address	dkojima@sattelitehousing.org			
Business Address	1521 University Avenue			
City, State, Zip, County	Berkeley	CA	94703	Alameda County
Phone Number (with area code)	510-647-0700		Fax Number (include area code) 510-647-0820	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	943031375			
DUN & Bradstreet Number (DUNs):	626484778			
North American Industry Classification System (NAICS) Code	236116			
Congressional District of Subrecipient's Business Address	9 th			
Congressional District of Primary Service Area	10 th			
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities: Walnut Creek		Counties: Contra Costa County	
Total HOPWA Subcontract Amount of this Organization for the operating year	\$208,802.00			

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The City of Oakland is the grantee for the Oakland Eligible Metropolitan Statistical Area (EMSA) under HUD's Housing Opportunity for Persons With AIDS (HOPWA) formula grant. HUD selected the City of Oakland in 1993 as the designated grantee for the Oakland Eligible Statistical Metropolitan Area (EMSA) due to Oakland being the most populous unit of general, local government in the EMSA. The Oakland EMSA includes Alameda County which encompasses the cities of Alameda, Berkeley, Fremont, Hayward, Livermore, Oakland, San Leandro, Union City and select cities in Contra Costa County which include Antioch, Concord, Richmond and Walnut Creek. The Oakland EMSA is geographically, ethnically and economically diverse, spanning 1,458 square miles. Approximately 1.5 million people reside within the Oakland EMSA¹², with an estimated 13,822 cumulative HIV/AIDS cases from 1983 – 2012¹³.

Alameda County Housing & Community Development Department¹⁴ and Contra Costa County Community Development Department¹⁵ are the project sponsors for the City's HOPWA grants. A wide range of AIDS housing and related services are administered by and through each of the counties. Said services include, but not limited to housing and benefits advocacy, HIV/AIDS housing (community residence housing, family housing, single resident occupancy housing, transitional housing, and permanent supportive housing), tenant services, end-stage care, substance abuse counseling, mental health services, service enriched emergency housing and other supportive services for people with HIV/AIDS and their families. HIV/AIDS housing developments are implemented to increase HIV/AIDS housing inventory throughout Alameda County and Contra Costa County through new construction projects, rehabilitation and renovation projects, and housing set-asides for special needs populations.

In FY 2012-13 the Oakland EMSA HOPWA program provided housing assistance (tenant based rental assistance, permanent supportive housing, and other housing services) to more than 820 persons living with HIV/AIDS and their families. Information and referral services were provided to approximately 600 households for HIV/AIDS housing and other services. 281 HOPWA clients gained access to or maintained stable permanent housing. Seventeen new units of HOPWA housing were completed in FY 2012/13, increasing the Oakland EMSA HOPWA housing inventory to 144. Acquisition, rehabilitation and/or development efforts for additional HIV/AIDS living units is underway for completion during fiscal year 2013-2014 which will produce 27 new HOPWA units.

Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

¹² 2011 census totals for Alameda County (1,554,720) and Contra Costa County (1,079,597), <http://quickfacts.census.gov/qfd/states/06/06001.html>

¹³ CDPH Office of AIDS, 2011 California HIV/AIDS Surveillance Report

¹⁴ Alameda County Point of Contact: Hazel Weiss, Community Development Manager – hazel.weiss@acgov.org

¹⁵ Contra Costa County Points of Contact: Kristin Sherk, Housing Planner - Kristin.Sherk@ded.cccounty.us or Carmen Bayer, Program Coordinator of Client Services - cbeyer@hsd.cccounty.us

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

The Oakland EMSA, comprised of Alameda and Contra Costa Counties distributes annual HOPWA awards to the two counties based on the number of People Living With AIDS (PLWA) as reported in each of the county's latest (prior year) Epidemiology reports. PLWA totals for both counties are added to provide total number of PLWA in the Oakland EMSA. Each County's individual PLWA total is divided by the Oakland EMSA PWLA total, yielding each County's percentage share of PLWA cases in the Oakland EMSA. This percentage is then applied to the fund allocation of HOPWA service and housing funds. The PLWA percentages results are applied to the distribution of the overall grant award to the member counties for housing, services and project sponsor administration.

Once funds are allocated to each county, the counties then publish Notices of Fund Availability (NOFA) of HOPWA funds. In each NOFA, housing and service priorities are outlined. Applicants submit proposals based on the stated housing and service priorities (to be accomplished in a 2-3 year period). Once proposals are ranked, proposed HOPWA projects are submitted to the City for approval. As a result of this process, the following activities were accomplished during the 2012/13 fiscal year:

Services

Alameda County funded agencies to provide licensed care housing, shelter, housing information services, financial assistance and permanent supportive housing through the following Agencies: Yvette A. Flunder Foundation (YAFF) Walker House, East Oakland Community Project, Eden I&R, Catholic Charities East Bay and Alameda Point Collaborative.

Yvette A. Flunder Foundation/Walker House provided licensed care services and alcohol and drug abuse treatment to 6 residents at the Walker House facility in Oakland.

Seventy six clients with HIV/AIDS received shelter, transitional housing and support services at East Oakland Community Project (EOCP). 89% (68) of residents with or affected by HIV maintained connection to dental and/or health care during the contract year, 77,216 meals were served to shelter residents, and 29 HIV positive individuals secured permanent or transitional housing.

Eden I&R provided housing information services to over 639 households. Updated "AHIP" database to include 79,615 market rate, subsidized, and low-income housing units in 7,460 buildings. AHIP'S ability to access this database increases the permanent housing outcomes by providing leads that can lead to immediate permanent housing while many clients remain on the long subsidized waiting lists. The AHIP housing database contains 34 buildings that include 192 dedicated HOPWA units.

After final decision was made between Alameda County and Catholic Charities East Bay (CCEB) to terminate the HOPWA agreement for financial assistance to persons with HIV/AIDS, CCEB agreed to help transition clients to other agencies that provide similar services. Eight clients have successfully connected to medical case managers and also to agencies such as APEB and Tri-City, whom provide Project Independence services.

HOPWA funds provided permanent housing with services to 91 clients at the Alameda Point Collaborative. All HOPWA funds were utilized to cover operating expenses for 15 units of permanent supportive housing. APC's primary goal is to enable residents to obtain and maintain permanent housing, and in this regard 95% of APC residents maintained housing for a period of one year or more.

Contra Costa County AIDS/STD Program contracted with Contra Costa Interfaith Housing (CCIH) and Greater Richmond Interfaith Program (GRIP) to provide housing advocacy services to HIV+ individuals in Contra Costa County. The AIDS Program also utilizes HOPWA funds to provide utility assistance to HIV+ individuals. The County's HOPWA contracts follows the contract period for Ryan White funded contracts and the fiscal year extends from March 1 through February 28th of every year.

Contra Costa County's subcontractors, CCIH and GRIP, goal is to assist clients in acquiring and/or maintaining housing that will help stabilize their lives in such a way that they are better able to access medical care and other services that will prolong life and improve client health outcomes. Both agencies to provide bilingual services for their clients.

GRIP and CCIH combined provided housing advocacy services to 99 clients in the period, March 1, 2012 through February 28, 2013. Twenty five households received tenant based rental assistance and Forty nine received Short Term Rental & Utility Assistance.

Several HOPWA properties were completed in FY 2012-2013 and future HOPWA dedicated units are underway for both Alameda and Contra Costa Counties. Development of 27 HOPWA dedicated units are in process with expected completion dates ranging from Summer of 2013 to Spring of 2014. Alameda County is currently constructing 12 units and Contra Costa County has 15 HOPWA units in development. Updates for recently completed projects, pending developments, and previously completed projects, currently in stewardship are provided below:

Completed Projects: FY 2012-2013

Project: Park Alameda (formerly The Alameda Islander)

Developer: Resources for Community Development

Total Units: 62

HOPWA units: 9

Project Status: Renovation completed April 2013

Project Description: The Park Alameda (formerly the Alameda Islander) transforms a 40-year old blighted motel into 62 units of permanent, green, and affordable workforce housing. It serves one- and two-person households with incomes from 20% to 50% of Area Median Income. Previous residents who qualify based on income, household size, and special needs had the option of moving back into the renovated property.

Two new structures, an office building and a community room, accommodate onsite resident services and property management. Green and sustainable features have been incorporated into the renovation as well. Nine of the sixty-two units are set aside for HOPWA eligible clients.

Project: The Ambassador

Developer: Resources for Community Development

Total Units: 69

HOPWA units: 5

Project Status: Completed March 2013

Project Description: Located on the Oakland/Emeryville border, the Ambassador is a new construction project of 69 units of affordable, service-enriched housing for families and persons living with HIV/AIDS. The site is that of the former Ambassador Laundry, owned by the City of Emeryville. The property serves households with incomes at or below 50% Area Median Income (AMI). To promote green building, the project is equipped with solar thermal pre-heated hot water for domestic hot water and space heating, and construction waste recycling. All units will be adaptable for people with disabilities.

A pre-application was announced for units at The Ambassador. The lease waiting list closed in June of 2013. All pre-applications submitted prior to the June 21, 2013 deadline are included in a random lottery. Applicants whose names are selected from the lottery will be contacted by mail with additional information. The Ambassador is expected to open in October 2013.

Project: Clinton Commons (formerly 720 E. 11th Street)

Developer: Resources for Community Development

Total Units: 55

HOPWA units: 3

Project Status: Completed October 2012

Project Description: Clinton Commons, formerly “720 E. 11th Street” is the development of 55 new affordable housing units with one manager's unit on this site. The design consists of two four-story buildings with 9 live/work units and 46 standard apartments. The development consists of one to four-bedroom units. Targeted incomes range from 30% to 60% of Area Median Income, with three units set aside for households living with HIV/AIDS and five set aside for households experiencing mental health concerns. Mental Health Services Act eligible tenants and HOPWA tenants will have access to additional supportive services provided within the two programs.

Development Projects

Project: Cathedral Gardens

Developer: EAH Inc.

Total Units: 100

HOPWA units: 8

Project Status: Finalizing construction financing and expected completion date is March 2014.

Project Description: Cathedral Gardens is an affordable rental housing community designed for families. Centrally located near downtown, 1/4 mile from BART, the community consists of 100 apartment homes built on an ideal infill site. The development incorporates a mix of new construction and historic rehabilitation. Two new buildings, one three-story and the other four-stories, are currently being constructed on either side of a rehabilitated 110 year old historic Rectory building. Eight of the 100 units in construction will be dedicated to persons living with HIV/AIDS.

Project: The William Byron Rumford, Sr. Plaza

Developer: Resources for Community Development

Total Units: 43

HOPWA units: 4

Project Status: Major Rehabilitation to Start in 2013

The William Byron Rumford, Sr. Plaza, administered by Resources for Community Development for Alameda County, located at 3017 Stanton Street, Berkeley is a 43-unit project for households at or below 50% AMI. This property will be rehabilitated which will include repainting the exterior, installing new sidings, replacing railings and archways, and roof replacements. Energy efficient lighting, appliances, windows and plumbing will be installed. Improvements and updates to common areas will be made as well. Four of the 43 units will be dedicated to people living with AIDS.

Project: Ohlone Gardens

Developer: Resources for Community Development

Total Units: 57

HOPWA units: 5

Project Status: 2014 Estimated Completion

Resource for Community Development (RCD) is the developer of the Ohlone Gardens project in El Cerrito for Contra Costa County. This project is a new construction of 57 units of rental units affordable to and occupied by lower income families. Five units will be designated as HOPWA assisted funds. RCD expects to complete construction in spring 2014.

Project: Berrellesa Palms

Developer: Resources for Community Development

Total Units: 49

HOPWA units: 3

Project Status: 2014 Estimated Completion

RCD is also the developer of the Berrellesa Palms project in Martinez. The project involves new construction of a 49-unit affordable rental complex, to be occupied by lower-income seniors. Three units will be designated as HOPWA units. Construction is currently underway and expected to be completed in Spring 2014.

Project: Riley Court

Developer: Resources for Community Development

Total Units: 48

HOPWA units: 5

Project Status: Construction to begin Fall 2013

RCD is the owner of the Riley Court project in Concord. This project includes rehabilitation of 48 units of rental units affordable to and occupied by lower income families. Five units will be designated as HOPWA assisted units. Phase 1 of construction began in October 2011 and completed in December 2011. Phase II of construction is scheduled to begin Fall 2013.

Project: Third Avenue Apartments

Developer: Satellite Housing

Total Units: 47

HOPWA units: 2

Project Status: Construction to begin 2014

Satellite Housing Inc. (Satellite) is the developer of the Third Avenue Apartments project in Walnut Creek. Satellite was awarded HOPWA funds for the new construction of 47 units of rental units affordable to and occupied by lower income families. Two units will be designated as HOPWA assisted. Satellite submitted an application for low income housing tax credits in March 2012, but did not receive an award. They reapplied in July 2012 round and if awarded tax credits, Satellite expects to start construction in 2014.

Previously Completed Projects

Project: Erna P. Harris

Developer: Resources for Community Development

Completion Date: November 2011

Total Units: 35

HOPWA units: 5

Project Description: Erna Harris was constructed in 1952, and in 1994 RCD purchased the property and made renovations. The development includes 4 buildings, including a community room with laundry facilities, services and resources office, and a manager's office. There is also a central outdoor courtyard for the tenants. RCD partnered with Lifelong Medical Care, Toolworks and Bonita House to provide a variety of supportive services including intensive case management and employment counseling.

Project: Fairmount Apartments

Developer: Affordable Housing Associates

Total Units: 31

HOPWA units: 4

Project Status : Completed May 2011

Project Description: The Fairmount Apartments project is the acquisition and substantial rehabilitation of a 35-unit apartment building to create 31 units of permanent affordable housing, including expanded community and office spaces. The site serves households at affordability levels of 20-50% of Area Median Income. Four of the one bedroom units are set aside for persons living with HIV/AIDS. The service provider for the project, Toolworks, provides life skills, employment training development, case management, budgeting, and nutrition services. The scope of work consisted of seismic upgrading, wheelchair access improvements, exterior façade upgrades, and the replacement the roof, windows, and hot water system.

Project: Merritt Crossing Senior Apartments (formerly 6th and Oak Senior Apartments)

Developer: Satellite Affordable Housing Associates (formerly Affordable Housing Associates)

Total Units: 70

HOPWA units: 3

Project Status: Completed May 2012

Merritt Crossing Senior Apartments includes 70 apartments affordable to seniors with incomes between 30 and 50 percent of median. The development features 5 studios, 55 one-bedroom units, 10 two-bedroom units and an enclosed garage with 18 parking spaces. Three of the 70 units are dedicated to HOPWA clients. The open space provides space for a community garden for residents, and also encourages physical exercise and social interactions among residents. The community room includes computer workstations; drop-in computer classes are provided at no cost to residents.

The project has received a fifteen-year commitment for fifty (50) Oakland Housing Authority project-based Section 8 vouchers that will ensure that the apartments are affordable.

Project: Tassafaronga Phase II
Developer: Oakland Housing Authority
Total Units: 20
HOPWA units: 5
Project Status: Completed May 2010

Project Description: The Tassafaronga Village project is a formerly 87 unit, severely distressed public housing development. The 87-unit building was demolished to construct the first phase of 137 new residential units. Phase 2, for which HOPWA funding was used for, is the adaptive reuse of the existing two-story, 20,000 square feet Pasta Factory that created 20 residential units. Nineteen of the units are set aside for households that are homeless or at risk of homelessness with incomes at no more than 30% of area median income and five units are HOPWA specific.

The AIDS Project of the East Bay (APEB) has an on-site clinic providing case management and other supportive services for residents. The clinic provides ambulatory care, physical examinations, immunizations and diagnostics.

Project Description: Allen Temple Manor (formerly Allen Temple Arms IV) was awarded HOPWA funding to rehabilitate the property. Allen Temple IV is a 26-unit special needs housing development. It is a part of a larger development that serves seniors, disabled persons, and other low-income households. Allen Temple IV is located in close proximity to transportation, commercial and other community facilities. It has a community room, a kitchen, and office space. Two

Project: Fox Courts
Developer: Resources for Community Development
Total Units: 80
HOPWA units: 6
Project Status: Completed June 2009

Project Description: Fox Courts is a high-density urban infill project consisting of 80 extremely low and very low-income units. It serves a range of households and income levels including families with children and foster youth earning no more than 60% of area median income. In addition, four units are designated for Mental Health Services Act (MHSA)-eligible tenants.

Project: Oxford Plaza
Developer: Resources for Community Development
Total Units: 97
HOPWA units: 5
Project Status: February 2009

Project Description: Oxford Plaza has 97 units with four units set aside for persons with mental illness and five of the units for people living with HIV/AIDS. Oxford Plaza serves extremely low, low- and very low-income individuals and families with children. Located in the City of Berkeley, Oxford Plaza is a model for green design and sustainable building, incorporating high energy efficiency design during the construction phase. All of the units are designed to be adaptable to accommodate the varying physical needs of future tenants with 5% of the units being fully disabled-accessible. The development is a mixed-use project that includes an environmental center with retail and commercial space, and a below grade public parking garage.

Project: Lorenzo Creek/Housing Alliance.
Developer: Allied Housing, Inc.
Total Units: 28
HOPWA units: 2
Project Status: Completed 2006

Project Description: Located in Castro Valley, the Housing Alliance Project is 28-units of permanent affordable and supportive housing for formerly homeless individuals and families with children. The housing units are affordable to very low-income households and include on-site and off-site supportive services. Universal design features are incorporated throughout the entire development. The unit mix includes 1, 2 and 3 bedroom units and all are adaptable and accessible to persons with disabilities. The development also includes office space for property management and supportive services, a laundry room, elevator, two community rooms, courtyards and 1.5 parking spaces per unit.

Project: Walker House
Developer: Yvette A. Flunder Foundation
Completion Date:
Total Units: 10
HOPWA units: 6
Project Status: December of 2005

Project Description: The Ark of Refuge was awarded HOPWA funds for the rehabilitation of Walker House in Oakland. The building provides 10 units of permanent and transitional shared housing for persons living with HIV/AIDS. The rehabilitation included installing disabled access doors, emergency lighting for hearing impaired, enhanced security and improvement to community rooms for service delivery to residents, i.e. computer stations, computer equipment, exercise equipment, an entertainment system and retrofitting windows for ventilation.

Project: Allen Temple Manor
Developer: Allen Temple Housing Corporation IV
Total Units: 26
HOPWA units: 4
Rehabilitation completed in December 2004

Units are designed to serve those with mobility impairments. This funding provides items that were deleted from the final development budget for the larger HUD 811 construction project that was completed in 2001.

Project: Sacramento Senior (Outback Senior Homes)
Developer: Affordable Housing Associates
Total Units: 40
HOPWA units: 2
Total of persons served in HOPWA units: 1
Project Status: Completed 2004

Project Description: Sacramento Senior housing is new construction project in Berkeley with 40 apartments for seniors, including seniors with disabilities and HIV/AIDS. Two of these units serve senior households in which one or more persons have HIV/AIDS, or a single person with HIV/AIDS who requires attendant care.

Project: University Avenue
Developer: Affordable Housing Associates
Total Units: 29
HOPWA units: 2
Project Status: Completed 2004

Project Description: Affordable Housing Associates (AHA) and Hearth Homes were awarded HOPWA funds to create 29 new units at the University Neighborhood Apartments in Berkeley. The mixed unit development has ground floor commercial development and three floors of affordable housing for families and special need populations.

Project: Eastmont Court Apartments
Developer: Resources for Community Development
Total Units: 19
HOPWA units: 4
Project Status: Completed February 2005

Project Description: Eastmont Court, a new construction project in Oakland is a 19-unit development. The project consists of nine one-bedroom units, ten two-bedroom units and a manager's unit. Of these units four are be HOPWA units (three 1-BR and one 2-BR). The development is conveniently located near transportation and the Eastmont Mall, where tenants have access to many social and commercial services.

Project: East Oakland Community Project
Developer: East Oakland Community Project
Total Units: 100
HOPWA units: 25
Project Status: Completed 2007

Project Description: EOCP is a new construction emergency shelter in Oakland. The project accommodates over 100 homeless individuals, families, and people with HIV/AIDS. The new structure serves as an emergency housing facility and provides over 8,000-sq. ft. of office, services, and living space for EOCP. On site amenities include a communal dining room, a commercial grade kitchen, patio, respite care area, offices for counseling, computer room, and meeting space.

Project: Garden Park Apartments
Developer: Resources for Community Development
Total Units: 27
HOPWA units: 6
Project Status: Completed 2004

Project Description: Garden Park Apartments : This apartment complex is home to 27 formerly homeless families. Many of these families have lived on the streets and in shelters, and their children have moved from school to school. Many have never had stable housing. Families pay 30% of their gross income for rent and hold a lease; they may live at Garden Park for as long as they need to, providing they meet the requirements of their lease. CCIH co-owns Garden Park Apartments with Mercy Housing California. Garden Park Apartments opened in December 2004 and is the first and only permanent supportive housing program that is designated for all formerly homeless families with parents who have disabilities.

Project: Bella Monte Apartments
Developer: Resources for Community Development
Total Units: 52
HOPWA units: 5
Project Status: Completed 2005

Project Description: Bella Monte Apartments is a 52 unit apartment complex located in Bay Point, Los Medanos Village (Pittsburg) is a 71 unit apartment complex located in Pittsburg, both of these sites are owned by Resources for Community Development (RCD). These programs offer affordable housing to low-income families. CCIH offers afterschool programs, case management, and mental health services to these families and their children with the goals of helping them remain housed, increase self-reliance, and succeed academically.

Project: Lakeside Apartments
Developer: Resources for Community Development
Total Units: 124
HOPWA units: 5
Project Status: Completed 2005

Project Description: Lakeside Apartments is a 124 unit affordable housing program owned by RCD. Beginning this fall, CCIH started providing case management and youth enrichment services to this predominately Spanish speaking local community.

Project: Lillie Mae Jones Plaza
Developer: East Bay Asian Local Development Corporation
Total Units: 26
HOPWA units: 5
Project Status: Completed 2011

Project Description: Lillie Mae Jones Plaza is a 26-unit new construction rental development to be located at the corner of Macdonald Avenue and 2nd Street in Richmond, CA. The project is a joint venture between Community Housing Development Corporation and the East Bay Asian Local Development Corporation. The development includes one, two, three, and four bedroom units whose rents will be set to serve households with incomes below 45% of the area median income.

Project: Villa Vasconcellos

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input checked="" type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

Developer: Resources for Community Development
Total Units: 70
HOPWA units: 3
Project Status: Completed 2008

Project Description: Villa Vasconcellos is a senior housing project located in Walnut Creek, CA. This is one of the first green multi-family housing projects in the city, with solar energy and hot water heating. Designed for independent seniors, the modern two- and three-story building contains fully accessible and adaptable units, organized around two courtyards for gatherings, outdoor exercise and community functions.

Goals and Outcomes Overview

The City projected in FY 2012-2013 to assist approximately 370 persons with HIV/AIDS and their families with HIV/AIDS housing assistance; provide between 60- 90 households with access to stable permanent housing ; provide information and referral for HIV/AIDS services and housing to 370 individuals and households; Maintain capacity of existing housing inventory and support services; Continue acquisition, rehabilitation and/or development of 20 additional set-aside of HIV/AIDS living units; and complete acquisition , rehabilitation and/or development of 15-18 set aside of HIV/AIDS living units.

During the 2012/13 HOPWA program year, 820 people were provided housing assistance services. 92 units of Short Term Rent Mortgage & Utility (STRMU) payments or Tenant Based Rental Assistance (TBRA) were provided to HOPWA clients. Approximately 144 facility based stewardship living units were made available to HOPWA clients. Information and referrals were provided 639 persons for HIV/AIDS housing and service; HIV/AIDS housing inventory in Oakland EMSA has been maintained and 17 additional HOPWA living units were completed this year.

Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.
2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

Affordable housing in the Oakland EMSA is difficult to find. Alameda County is one of the top 10 least affordable housing markets in the United States. A family earning minimum wage needs to work over 142 hours a week, 52 weeks a year to afford a modest two bedroom apartment. Approximately 34,000 (6%) of Alameda County's 523,000 households are at severe risk of homelessness because they are extremely low-income renters paying more than 50% of their income on housing. A disabled individual earns less per month from SSI (\$812) than the fair market value of a studio apartment (\$900).¹⁶

The state of the economy continues to be an overlaying barrier to housing development projects and continuing needed services for persons living with AIDS and their families. Increased interest rates and escalated difficulty in obtaining loans has caused delays in the development and the securing of mixed funds to support these projects. As a result, developments are experiencing larger funding gaps, and for longer periods in project development timelines. Agencies are collaborating and applying for less traditional funding sources to fully fund highly needed projects. The availability of affordable housing in the bay area is a frequently stated barrier, especially for those clients living with HIV/AIDS that have bad credit and/or criminal records.

HOPWA clients are experiencing not only the barriers of housing affordability and housing availability, but also the effects of unsatisfactory credit ratings and rental history. Prior arrests and convictions result in discrimination as well as mental health diagnoses. Another barrier is discrimination against transgendered individuals. It is reported that several service agencies are regularly experiencing issues in housing transgendered clients. It is reported that transgendered individuals are best placed in environments where their living space does not have to be shared with a non-transgendered individual. These issues create barriers that ultimately decrease effective utilization of housing advocacy services.

In response to these issues, increased inventory of affordable housing for persons living with AIDS is a major focus with continued efforts to obtain traditional and less traditional funding sources to support this effort.

Housing advocates and medical case managers recommend focus on prevention of eviction and assisting clients in remaining housed while attending to their medical needs. Further recommended, is requiring medical case managers and housing advocates to assist those clients experiencing loss of employment, in accessing the benefits they are entitled to and affordable housing.

Another suggestion is to assist clients with HIV/AIDS, experiencing mental health and substance abuse issues, with Ryan White funds, allowing HOPWA funds to be used more for housing and housing assistance.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provides an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in Unmet Needs for Persons with HIV/AIDS, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: *Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: *In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

¹⁶ EveryOne Home Plan Fact Sheet - 2009

1. Total number of households that have unmet housing subsidy assistance need.	4,861
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	a) 729
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	b) 2,431
<ul style="list-style-type: none"> • Assistance with rental costs • Assistance with mortgage payments • Assistance with utility costs. 	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	c) 1,701

iv. 2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	\$129,891	Case Mgmt. Serv.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White-Other (Part A, B, and C)	\$2,333,533	Multiple Core service defined by HRSA	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program	\$261,906		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME	\$753,502	Capital Funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant	\$48,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: HCD, MHSA, RDA	\$8,102,155	Capital Funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: HUD 811	\$33,020		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: County Behavioral Health Care	\$183,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City Government	\$9,592,679	Capital funds/subsidies	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: HOPWA	\$276,370		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City of Oakland	143,507		<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Private Funding			
Grants	\$48,000		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: Bank, AHP	\$4,801,328	Capital funds	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			

Grantee/Project Sponsor/Sub-recipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$121,269		
TOTAL (Sum of all Rows)	26,828,160		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	N/A
2.	Resident Rent Payments made directly to HOPWA Program	\$25,694.00
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	\$25,694.00

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	N/A
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	\$25,694.00
3.	Total Program Income Expended (Sum of Rows 1 and 2)	\$25,694.00

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
	HOPWA Housing Subsidy Assistance	[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	30	25			\$110,100	\$107,700
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	15	15			\$182,000	\$143,414
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	75	76			\$266,279	\$124,019
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	27	17			\$799,440	\$799,440
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	50	49			\$110,000	\$86,964
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)					\$1,467,819	\$1,261,537
	Housing Development (Construction and Stewardship of facility based housing)	[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	25	27			\$2,008,554	\$554,472.09
9.	Stewardship Units subject to 3 or 10 year use agreements	134	123				
10.	Total Housing Developed (Sum of Rows 78 & 9)					\$2,008,554	\$554,472.09
	Supportive Services	[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance					\$255,050	\$210,984
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0				
12.	Adjustment for duplication (subtract)						

13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)					\$255,050	\$210,984
	Housing Information Services					[2] Output: Funding	
14.	Housing Information Services	300	639			\$131,161	\$80,004
15.	Total Housing Information Services					\$131,161	\$80,004
	Grant Administration and Other Activities					[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$97,034	\$62,675
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$288,053	\$99,890.
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					\$385,087	\$162,565
	Total Expended					[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$4,247,671	\$2,269,562

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	114	\$210,984
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)		
16.	Adjustment for Duplication (subtract)		
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	114	\$210,984

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	49	\$ 86,963.93
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.		
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.		
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.		
g.	Direct program delivery costs (e.g., program operations staff time)		\$ 86,963.93

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program. **Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column [1]. **Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death		Life Event
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death		Life Event

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/unknown		
			9 Death		Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
	Maintain Private Housing <u>without</u> subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)		<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution (e.g. residential and long-term care)		
	Likely that additional STRMU is needed to maintain current housing arrangements		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)		
	Temporary/Non-Permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected		
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			

Section 3. HOPWA Outcomes on Access to Care and Support

Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	
b. Case Management	
c. Adjustment for duplication (subtraction)	
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing			<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)			<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan			<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance			<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income			<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

• MEDICAID Health Insurance Program, or use local program name	• Veterans Affairs Medical Services	• Ryan White-funded Medical or Dental Assistance
• MEDICARE Health Insurance Program, or use local program name	• AIDS Drug Assistance Program (ADAP)	
	• State Children's Health Insurance Program (SCHIP), or use local program name	

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

• Earned Income	• Child Support	• General Assistance (GA), or use local program name
• Veteran's Pension	• Social Security Disability Income (SSDI)	• Private Disability Insurance
• Unemployment Insurance	• Alimony or other Spousal Support	• Temporary Assistance for Needy Families (TANF)
• Pension from Former Job	• Veteran's Disability Payment	• Other Income Sources
• Supplemental Security Income (SSI)	• Retirement Income from Social Security	
	• Worker's Compensation	

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	n/a	n/a

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				

Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s) CA-H01-F001, CA-H02-F001 CA-H03-F001, and CA-H04-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input checked="" type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 1/27/2008

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Crossroads	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	25 (beds)	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	East Oakland Community Project – Crossroads Transitional Housing
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	District 9
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Wendy Jackson, Executive Director, wendvujackson@gmail.com 510-746-3602

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Wendy U. Jackson, Executive Director Robin Gaston, Executive Assistant to Executive Director 510-746-3604	Signature & Date (mm/dd/yy) <div style="text-align: right;">Signed original on file</div>
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H00-F001 and CA-H01-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2001

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Allen Temple Manor	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	811/PRAC Payment: \$8299.20 HUD Assistance Payment Tenant Portion:\$3556.80 Tenant Payment

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Allen Temple Manor
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	Allen Temple Manor, 7607 International Boulevard, Oakland, CA 94621 Krobert@abhow.com

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: American Baptist Homes of the West Kendra Roberts, Property Supervisor 925-924-7182	Signature & Date (mm/dd/yy) <div style="text-align: right;">Kendra Roberts</div>
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Eastmont Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	\$35,316

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Eastmont Court
Site Information: Project Zip Code(s)	94605
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	6850 Foothill Boulevard, Oakland, CA 94605 510-841-4410

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510.841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H07-F001 and CA-H08-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2011

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Erna P. Harris Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$42,540

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Erna P. Harris Court
Site Information: Project Zip Code(s)	94704
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1330 University Avenue, Berkeley, CA 94704

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H05-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2009

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Fox Courts, LP		
Total Stewardship Units (subject to 3- or 10- year use periods)	6	\$30,898

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Fox Courts Apartments
Site Information: Project Zip Code(s)	94612
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	555 – 19th Street, Oakland, CA 94612

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input checked="" type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2006

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lorenzo Creek Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	\$45,912

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Lorenzo Street Apartments – Housing Alliance LP
Site Information: Project Zip Code(s)	94546
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	22198 Center Street, Castro Valley, CA 94546

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H03-F001 and CA-H04-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input checked="" type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2009

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Oxford Plaza LP		
Total Stewardship Units (subject to 3- or 10- year use periods)	4	\$63,499

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Oxford Plaza Apartments
Site Information: Project Zip Code(s)	94704
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2175 Kittredge Street, Berkeley, CA 94704

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Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management 510-841-4410	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H04-F001 and CA-H05-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 5-13-2010

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Tassafaronga Village Phase 2	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$49,382

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Tassafaronga Village Phase 2
Site Information: Project Zip Code(s)	94621
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	930 84th Avenue, Oakland, CA 94621

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Name & Title of Authorized Official of the organization that continues to operate the facility: Phil Neville, Secretary, Tassafaronga Partners II, L.P. Bridget Galka, Assistant Director of Real Estate Development Department 510-587-2142	Signature & Date (mm/dd/yy) <div style="text-align: right;">Signed copy on file</div>
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H08-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 6-22-2012

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Merritt Crossing	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$22.6 million

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Merritt Crossing/6th & Oak
Site Information: Project Zip Code(s)	94607
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	609 Oak Street, Oakland, CA 94607 510-891-1264

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H07-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2011

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Fairmount Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	4	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Fairmount Apartments
Site Information: Project Zip Code(s)	94611
Site Information: Congressional District(s)	9 th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	401 Fairmount Avenue, Oakland, CA 94611 510-271-1826

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-01-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input checked="" type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2006

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Sacramento Senior Homes	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Sacramento Senior Homes (Outback)
Site Information: Project Zip Code(s)	94703
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2517 Sacramento Street, Berkeley, CA 94703 510-665-1930

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) <div style="text-align: right;">Signed copy on file</div>
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H02-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2004

2. Number of Units and Non-HOPWA Expenditures

Facility Name: University Neighborhood Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	2	

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	University Neighborhood Apartments
Site Information: Project Zip Code(s)	94703
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1719 University Avenue, Berkeley, CA 94703 510-845-1223

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Susan Friedland, Executive Director Rosa Yee, Compliance Manager, 510-649-8500, ext. 13	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H01-F001, CA-H08-F001, and CA-H09-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2003

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Walker House	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	9	\$63,391.53

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Yvette A. Flunder Foundation/Walker House
Site Information: Project Zip Code(s)	94603
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	9702 International Boulevard, Oakland, CA 94603, Sharon Thomas 510-635-8422

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA- H08; CA H09; CA H10-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2013

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Park Alameda	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
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Total Stewardship Units (subject to 3- or 10- year use periods)	9	\$49,341
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3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Park Alameda
Site Information: Project Zip Code(s)	94501
Site Information: Congressional District(s)	13th
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2348 Central Avenue, Alameda, CA 94501

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA H06-F001;	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2012

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Clinton Commons/720 E. 11th Street	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$34,565

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Clinton Commons
Site Information: Project Zip Code(s)	94606
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input checked="" type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	720 E. 11th Street, Oakland, CA 94606

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA H11- F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input checked="" type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 05/3/2013

2. Number of Units and Non-HOPWA Expenditures

Facility Name: California Hotel	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	City of Oakland Rehab Loan: \$ 265,319; US Bank Construction Loan \$418,955 = \$684,274

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	California Hotel
Site Information: Project Zip Code(s)	94608
Site Information: Congressional District(s)	9th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	3501 San Pablo, Oakland, CA 94608

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Sabrina Butler, Director of Asset Management, 510-635-8422	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H99-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input checked="" type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) May 2003

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Alvarez Court	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	10	\$90,120

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Alvarez Court
Site Information: Project Zip Code(s)	94564
Site Information: Congressional District(s)	5th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	760 Alvarez Court, Pinole, CA 94564 510-724-1928

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility: Kristin Shark, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA- H01-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input checked="" type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Garden Park	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
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Total Stewardship Units (subject to 3- or 10- year use periods)	6	\$1,103
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3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Garden Park
Site Information: Project Zip Code(s)	94523
Site Information: Congressional District(s)	10
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2387 Lisa Lane; Pleasant Hill, CA 94523

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Name & Title of Authorized Official of the organization that continues to operate the facility: Kristin Shark, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H03-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Bella Monte Apartments		
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$14,052

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Bella Monte Apartments
Site Information: Project Zip Code(s)	94565
Site Information: Congressional District(s)	10th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	2410 Willow Pass Road, Bay Point, CA 94565 925-261-1256

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Krisin Shark, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H04-F001	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input checked="" type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2008

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Villa Vasconcellos	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$11,884.00

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Villa Vasconcellos
Site Information: Project Zip Code(s)	94597
Site Information: Congressional District(s)	11th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1515 Geary Road, Walnut Creek, CA 94597 925-465-3858

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

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Name & Title of Authorized Official of the organization that continues to operate the facility: Krisin Sherk, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H03-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input checked="" type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2005

2. Number of Units and Non-HOPWA Expenditures

Facility Name: Lakeside Apartments	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)	5	\$139,209

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Lakeside Apartments
Site Information: Project Zip Code(s)	94520
Site Information: Congressional District(s)	11th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	1897 Oakmead Drive, Concord, CA 94520 925-827-2805

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility: Krisin Sherk, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program) Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

HUD Grant Number(s) CA-H05-F001	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input checked="" type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name City of Oakland	Date Facility Began Operations (mm/dd/yy) 2011

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Lillie Mae Jones Plaza		
Total Stewardship Units (subject to 3- or 10- year use periods)	3	\$ 5,439.00

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	Lillie Mae Jones Plaza
Site Information: Project Zip Code(s)	94801
Site Information: Congressional District(s)	11th
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input checked="" type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	120 MacDonald Avenue, Richmond, CA 94801 510-232-1530

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Name & Title of Authorized Official of the organization that continues to operate the facility: Krisin Sherk, Housing Planner, 925-674-7887	Signature & Date (mm/dd/yy) Signed copy on file
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i> Angela Pride, Housing Development Planner	Contact Phone (with area code) 510.238.6187

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	281

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	
13.	House you own	
14.	Staying or living in someone else's (family and friends) room, apartment, or house	
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	

17.	Don't Know or Refused	
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance		

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	281
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	

b. Age and Gender use your chart

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18					
2.	18 to 30 years					
3.	31 to 50 years					
4.	51 years and Older					
	<i>Info to be collected</i>					
5.	Subtotal (Sum of Rows 1-4)	110	34	3		
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18					
7.	18 to 30 years					
8.	31 to 50 years					
9.	51 years and Older					
	<i>Info to be collected</i>					
10.	Subtotal (Sum of Rows 6-9)					
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)					

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American				
4.	Native Hawaiian/Other Pacific Islander				
5.	White				
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White				
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial <i>or Unknown</i>				
11.	Column Totals (Sum of Rows 1-10)				
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	
2.	31-50% of area median income (very low)	
3.	51-80% of area median income (low)	
4.	Total (Sum of Rows 1-3)	

Part 7: Summary Overview of Grant Activities**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

Alameda County Housing & Community Development/Excellence to Affordable Housing (EAH), Inc.

2. Capital Development**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Cathedral Gardens
<input checked="" type="checkbox"/> New construction	\$ 111,665.00	\$	Type of Facility [Check <u>only one</u> box.] <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy): 2009
b.	Rehabilitation/Construction Dates:		Date started: 12/2011 Date Completed: n/a
c.	Operation dates:		Date residents began to occupy: n/a <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: n/a <input checked="" type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 5 Total Units = 100
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g.	What is the address of the facility (if different from business address)?		Between 21 st and 22 nd Street, Oakland, ,CA

h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public
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2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab		8		
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- ☒ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: Excellence in Affordable Housing Inc. (EAH)

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence		8				
c.	Project-based rental assistance units or leased units						
d.	Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		

c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) Specify:		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	N/A	N/A

1. Project Sponsor/Subrecipient Agency Name (Required)

Alameda County Housing & Community Development/Affordable Housing Associates
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2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: 6 th & Oak Senior Home Apartments (Merritt Crossing)
<input type="checkbox"/> New construction	\$ 10,000.00		Type of Facility [Check only one box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: March 2012 Date Completed:
c.	Operation dates:		Date residents began to occupy: 6-22-2012 <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: N/A <input type="checkbox"/> 6-22-2012
e.	Number of units in the facility:		HOPWA-funded units = 3 Total Units = 70
f.	Is a waiting list maintained for the facility?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		609 Oak Street, Oakland, CA
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible

Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed		3		
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- ☒ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units		3				
d.	Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	N/A	N/A

1. Project Sponsor/Subrecipient Agency Name (Required)

Alameda County Housing & Community Development/Resources for Community

2. Capital Development**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)***Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Ambassador Apartments
<input checked="" type="checkbox"/> New construction	\$ 290,820.00	\$	Type of Facility [Check <u>only one</u> box.] <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: March 2012 Date Completed: n/a
c.	Operation dates:		Date residents began to occupy: N/A <input checked="" type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: N/a <input checked="" type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 5 Total Units = 69
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		1168 36TH Street, Emeryville, CA
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab		5	5	5
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- ☒ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling	4					
b.	Community residence						
c.	Project-based rental assistance units or leased units		1				
d.	Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/sub recipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)	N/A	N/A

1. Project Sponsor/Subrecipient Agency Name (Required)

Contra Costa County Conservation & Development/Resources for Community Development
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2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Riley Court
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$ 433.50	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: January 2011 Date Completed:
c.	Operation dates:		Date residents began to occupy: <input checked="" type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input checked="" type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 5 Total Units = 48
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year
g.	What is the address of the facility (if different from business address)?		2061 Riley Ct Apt 1, Concord, CA

h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public
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2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed		5		
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- ☒ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units		5				
d.	Other housing facility Specify:						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

Project Sponsor/Subrecipient Agency Name (Required)

Contra Costa County Conservation & Development/Resources for Community Development
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2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility: Berrellesa Palms
<input checked="" type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input checked="" type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$ 199,858.27	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: January 2012 Date Completed:
c.	Operation dates:		Date residents began to occupy: <input checked="" type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input checked="" type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = 3 Total Units = 49
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>

g.	What is the address of the facility (if different from business address)?	Martinez, CA 94535
h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input checked="" type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab		3		
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- ☒ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units		3				
d.	Other housing facility Specify:						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

PART III:

IDIS REPORTS

Reports on expenditures and accomplishments generated by HUD's Integrated Disbursement and Information System (IDIS) are available from the City upon request.